



State of Maine Recovery Housing Plan

Annual Report
October 1, 2021 - September 30, 2022



Prepared by

Maine State Housing Authority

January 2023

mainehousing.org | 207-626-4600

Grantee: Maine

Grant: RHP-ME

October 1, 2021 thru September 30, 2022

Grant Number: RHP-ME	Obligation Date:	Award Date: 08/16/2021
Grantee Name: Maine	Contract End Date:	Review by HUD: Original - In Progress
Grant Award Amount: \$2,745,940.00	Grant Status: Active	QPR Contact: Paula Weber
LOCCS Authorized Amount:	Estimated PI/RL Funds:	

Total Budget:
\$2,745,940.00

Disasters:

Declaration Number

No Disasters Found

Narratives

Program Summary:

The purpose of the Recovery Housing Program (RHP) is to provide stable, temporary housing (up to 24 months) to low- or moderate-income persons in recovery from a substance use disorder. The State of Maine has been allocated a total of \$2,745,940 in RHP funding from the US Department of Housing and Urban Development (HUD). Allocations have been awarded for FY 2020 to FY 2022 (FY 2020 - \$951,000, FY 2021 - \$860,778 and FY2022 - \$934,162). The RHP is authorized under Section 8071 of the SUPPORT for Patients and Communities Act and is a pilot program. Section 8071 requires RHP funds be treated as Community Development Block Grants (CDBG) funds, with certain waivers and alternative requirements. The State of Maine received RHP funding because it has an age-adjusted rate of drug overdose deaths above the national overdose mortality rate, according to the Centers for Disease Control and Prevention.

MaineHousing, in partnership with the Maine Department of Health and Human Service's Office of Behavioral Health (OBH), has identified a need for additional housing for individuals exiting recovery residences, as well as individuals for who a recovery residence may not be an appropriate setting. RHP funds will be awarded by MaineHousing to one or more entities to produce, preserve and/or rehabilitate rental housing. Funds will be made available through an application process to ensure all HUD requirements are met. Applicants must demonstrate an ability to operate the housing and directly or indirectly provide recovery related services for participants. Operating and service funds are not provided with RHP funding. Suggested services include case management, direct connection to peer support, clinical addiction services, employment, vocational and educational opportunities, assistance with social, personal and living skills and mental health services.

Resources:

MaineHousing will make available \$2,608,643 of RHP funding (an RFP for FY2020 - \$874,924 was released in early 2021). No program income or other federal program funding are expected to be available for RHP eligible activities.

Administration Summary:

MaineHousing has been designated as the Recovery Housing Administrator and primary point of public contact for the Recovery Housing Plan. The Draft Recovery Housing Plan including the 2022 Substantial Amendment can be viewed and downloaded on the MaineHousing website

Use of Funds - Method of Distribution:

MaineHousing will distribute RHP funds through a competitive process by selecting applications that are submitted by eligible recipients. MaineHousing will issue a Request for Proposals to eligible applicants that will assign scoring based upon a combination of the required selection criteria located in FR-6225-N-01 and selection criteria adopted by MaineHousing. All activities identified in the Notice shall be eligible, MaineHousing will prioritize obligating funds for acquisition and rehabilitation of existing housing units, adaptive re-use of existing buildings, and new construction of rental housing units. MaineHousing will retain 5% (\$47,550) of RHP funds for administrative costs and 3% (\$28,530) of RHP funds to provide technical assistance.



Use of Funds - Activities Carried Out Directly:

N/A

Use of Funds - Eligible Subrecipients:

Eligible Subrecipients include not-for-profit organizations, including Indian Tribes. Applicants will need to demonstrate experience, or new capacity, to assist individuals in recovery to attain self-sufficiency and individual recovery goals. Additionally, eligible applicants must demonstrate capacity to comply with RHP program requirements as identified in Notice of FY2020 Allocations, Waivers, and Alternative Requirements for the Pilot Recovery Housing Program (Docket No. FR-6225-N-01) and Notice of FY2021 Allocations, Waivers, and Alternative Requirements for the Pilot Recovery Housing Program.

Use of Funds - Criteria for Evaluation:

Extent to which the applicant will provide housing and services to vulnerable populations. (HUD Criteria One) Applicants will receive points for projects that serve the specific needs of vulnerable populations. The degree of need for the populations served, and appropriateness of plans for providing services to tenants, will be evaluated.

Applicants’ ability to obligate RHP funds in a timely manner. (HUD Criteria Two) Applicants will be evaluated on project readiness, including items like local approvals, architectural plans, and site control. Additionally, MaineHousing will consider their track-record for developing projects successfully within a reasonable timeframe.

Applicant’s ability to undertake required eligible development activities. Applicants will be evaluated on their development capacity, and experience with public sector housing development programs. Past experience of members of the development team will be considered.

Extent to which the application makes use of additional funding sources. Applicants will be evaluated on the quantity, quality and timeliness of leveraged funding (other than MaineHousing resources) that will be committed to the proposed project. Additional points may be awarded to projects that leverage a greater amount of non-federal funding.

Amount of Federal, State, or local rental assistance to ensure rents are affordable to extremely low-income families. Applicants will receive points for projects that include assistance from other entities, which may include rental-assistance or service contracts for the duration of the project reporting period. Priority based upon underserved areas. MaineHousing will regard the entire State of Maine as the eligible area for purposes of the RHP. However, applicants will receive points for projects that will be developed in locations are underserved.

Merits of the application in meeting the State’s housing priority needs. All applications will be required to address one or more of the following Consolidated Plan priorities: Improve and Preserve the Quality of Housing, Expand Affordable Housing Opportunities, and/or Help Maine People Attain Housing Stability.

Definitions - Individual in Recovery:

OBH defines recovery from a substance use disorder as, “A process of change through which individuals improve their health and wellness, live a self-directed life, and strive to reach their full potential.”

For the purposes of this RFP, an individual in recovery shall be defined as an individual engaged in the process of change through which they seek to improve their health and wellness, live a self-directed life, and strive to reach their full potential.

Definitions - Substance Use Disorder:

Substance Use Disorder: OBH defines substance use disorder as the recurrent use of alcohol and/or drugs causing clinically significant impairment, including health problems, disability, and failure to meet major responsibilities at work, school or home.

For the purpose of this RFP, substance use disorder shall be defined as the recurrent use of alcohol and/or drugs causing clinically significant impairment, including health problems, disability, and failure to meet major responsibilities at work, school or home.

Recovery Housing is a non-medical setting designed to support recovery from substance use disorders, providing a substance-free living environment commonly used to help individuals transition from a highly structured residential treatment programs back into their day-to-day lives. Substance-free does not prohibit prescribed medications as directed by a licensed prescriber, such as pharmacotherapies specifically approved by the Food and Drug Administration for the treatment of opioid use disorder as well as other medications with the indication for the treatment of co-occurring disorders.

Anticipated Outcomes:

MaineHousing proposes the following performance measures:

- 3 Persons transitioned to permanent housing through RHP – assisted temporary housing
- 6 Individual assisted in RHP activities

These measures will be reported to HUD annually.

Expenditure Plan:

To ensure that 30% of FY2020 RHP funds are expended within twelve months of MaineHousing's receipt of the RHP grant agreement from HUD, MaineHousing will issue a request for proposals in the fall of 2022. The request for proposals will include timelines and markers to ensure that FY2021 and 2022 RHP funds are expended before the end of the performance period.

Citizen Participation Summary:

MaineHousing made the Recovery Housing Action Plan available for public comment for a period of 15 days from July 22, 2021 to August 10, 2021. A public hearing to solicit input on the Recovery Housing Program Plan was held August 3, 2021.

MaineHousing made the FY21/FY22 Substantial Amendment to the Recovery Housing Action Plan available for public comment for a period of 15 days beginning July 12, 2022 and ending on July 27, 2022. A public hearing to solicit input on



the Recovery Housing Program Plan was held on July 26, 2022. No comments were received on the FY21/FY22 Substantial Amendment.

Partner Coordination:

MaineHousing will coordinate RHP funding with Maine Department of Health and Human Services’ Office of Behavioral Health (OBH). OBH manages State Opioid Response funding which aims to support recovery by increasing access to medication-assisted treatment and reducing unmet treatment needs through the support of evidence-based prevention, treatment and recovery support services. OBH also manages Substance Abuse Prevention and Treatment Block Grant funding, which provides funding with the objective to help plan, implement and evaluate activities that prevent and treat substance abuse.

Subrecipient Management and Monitoring:

MaineHousing will use existing policies and procedures to monitor RHP subrecipients, ensuring that all activities are carried out in accordance with all applicable laws, regulations and policies. All projects awarded RHP funding will be monitored, on an annual basis after completion and before closeout of the project. If any monitoring findings are identified, findings will be resolved before completion and/or closeout. Prior to awarding funds MaineHousing staff will conduct activities associated with application review, environmental review, underwriting and construction document review. After funds have been awarded, MaineHousing staff will monitor construction process through on-site visits and meetings, budget and process via monthly requisitions and loan closing process. After construction, and for the duration of the compliance period, MaineHousing will perform project monitoring to ensure compliance with loan documents.

Pre-Award/Pre-Agreement Costs:

RHP funds may be used for pre-award/pre-agreement technical assistance and administrative costs of MaineHousing including application and technical assistance to potential subrecipients, development of the RHP Action Plan, to conduct the citizen participation process and related administrative costs.

Overall	This Report Period	To Date
Total Projected Budget from All Sources	\$860,778.00	\$1,811,778.00
B-20-RH-23-0001	\$0.00	\$951,000.00
B-21-RH-23-0001	\$860,778.00	\$860,778.00
Total Budget	\$860,778.00	\$1,811,778.00
B-20-RH-23-0001	\$0.00	\$951,000.00
B-21-RH-23-0001	\$860,778.00	\$860,778.00
Total Obligated	\$47,550.00	\$47,550.00
B-20-RH-23-0001	\$47,550.00	\$47,550.00
B-21-RH-23-0001	\$0.00	\$0.00
Total Funds Drawdown	\$0.00	\$0.00
B-20-RH-23-0001	\$0.00	\$0.00
B-21-RH-23-0001	\$0.00	\$0.00
Program Funds Drawdown	\$0.00	\$0.00
B-20-RH-23-0001	\$0.00	\$0.00
B-21-RH-23-0001	\$0.00	\$0.00
Program Income Drawdown	\$0.00	\$0.00
B-20-RH-23-0001	\$0.00	\$0.00
B-21-RH-23-0001	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
B-20-RH-23-0001	\$0.00	\$0.00
B-21-RH-23-0001	\$0.00	\$0.00
Total Funds Expended	\$0.00	\$0.00
B-20-RH-23-0001	\$0.00	\$0.00
B-21-RH-23-0001	\$0.00	\$0.00
HUD Identified Most Impacted and Distressed	\$0.00	\$0.00
B-20-RH-23-0001	\$0.00	\$0.00
B-21-RH-23-0001	\$0.00	\$0.00
Other Funds	\$ 0.00	\$ 0.00
Match Funds	\$ 0.00	\$ 0.00
Non-Match Funds	\$ 0.00	\$ 0.00



Progress Toward Required Numeric Targets

Requirement	Target	Projected	Actual
Overall Benefit Percentage	.00%	.00%	N/A
Overall Benefit Amount	\$.00	\$.00	\$.00
Limit on Public Services	\$.00	\$.00	\$.00
Limit on Admin/Planning	\$.00	\$137,297.00	\$.00
Limit on Admin	\$137,297.00	\$137,297.00	\$.00
Most Impacted and Distressed	\$.00	\$.00	\$.00

Overall Progress Narrative:

The purpose of the Recovery Housing Program (RHP) is to provide stable, temporary housing (up to 24 months) to low- or moderate-income persons in recovery from a substance use disorder. In 2022 as a result of an RFP MaineHousing awarded RHP funds to one acquisition project. The proposed project includes purchase and minor renovation of the site buildings. The project will become a 5 bedroom recovery home sleeping up to 10 low to moderate income residents.

Project Summary

Project #, Project Title	This Report	To Date	
	Program Funds Drawdown	Project Funds Budgeted	Program Funds Drawdown
9999, Restricted Balance	\$0.00	\$0.00	\$0.00
B-20-RH-23-0001	\$0.00	\$0.00	\$0.00
B-21-RH-23-0001	\$0.00	\$0.00	\$0.00
ME2001, Maine RHP 2020 Acquisition and Rehab	\$0.00	\$874,920.00	\$0.00
B-20-RH-23-0001	\$0.00	\$874,920.00	\$0.00
B-21-RH-23-0001	\$0.00	\$0.00	\$0.00
ME2002, Maine RHP 2020 Admin and TA	\$0.00	\$76,080.00	\$0.00
B-20-RH-23-0001	\$0.00	\$76,080.00	\$0.00
B-21-RH-23-0001	\$0.00	\$0.00	\$0.00
ME2003, Maine RHP 2021 Acquisition and Rehab	\$0.00	\$817,739.10	\$0.00
B-20-RH-23-0001	\$0.00	\$0.00	\$0.00
B-21-RH-23-0001	\$0.00	\$817,739.10	\$0.00
ME2004, Maine RHP 2021 Admin	\$0.00	\$43,038.90	\$0.00
B-20-RH-23-0001	\$0.00	\$0.00	\$0.00
B-21-RH-23-0001	\$0.00	\$43,038.90	\$0.00
ME2005, Maine RHP 2022 Acquisition and Rehab	\$0.00	\$887,453.90	\$0.00
B-20-RH-23-0001	\$0.00	\$0.00	\$0.00
B-21-RH-23-0001	\$0.00	\$0.00	\$0.00
ME2006, Maine RHP 2022 Admin	\$0.00	\$46,708.10	\$0.00
B-20-RH-23-0001	\$0.00	\$0.00	\$0.00
B-21-RH-23-0001	\$0.00	\$0.00	\$0.00

Activities

Project # / ME2002 / Maine RHP 2020 Admin and TA



Grantee Activity Number: RHP-MH-Administration-LMC-R1

Activity Title: RHP-MaineHousing-Administration-LMC-R1

Activity Type:

Administration

Activity Status:

Under Way

Project Number:

ME2002

Project Title:

Maine RHP 2020 Admin and TA

Projected Start Date:

08/16/2021

Projected End Date:

09/01/2027

Benefit Type:

N/A

Completed Activity Actual End Date:

National Objective:

N/A

Responsible Organization:

Maine State Housing Authority

Overall	Oct 1 thru Sep 30, 2022	To Date
Total Projected Budget from All Sources	\$0.00	\$47,550.00
B-20-RH-23-0001	\$0.00	\$47,550.00
B-21-RH-23-0001	\$0.00	\$0.00
Total Budget	\$0.00	\$47,550.00
B-20-RH-23-0001	\$0.00	\$47,550.00
B-21-RH-23-0001	\$0.00	\$0.00
Total Obligated	\$47,550.00	\$47,550.00
B-20-RH-23-0001	\$47,550.00	\$47,550.00
B-21-RH-23-0001	\$0.00	\$0.00
Total Funds Drawdown	\$0.00	\$0.00
B-20-RH-23-0001	\$0.00	\$0.00
B-21-RH-23-0001	\$0.00	\$0.00
Program Funds Drawdown	\$0.00	\$0.00
B-20-RH-23-0001	\$0.00	\$0.00
B-21-RH-23-0001	\$0.00	\$0.00
Program Income Drawdown	\$0.00	\$0.00
B-20-RH-23-0001	\$0.00	\$0.00
B-21-RH-23-0001	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
B-20-RH-23-0001	\$0.00	\$0.00
B-21-RH-23-0001	\$0.00	\$0.00
Total Funds Expended	\$0.00	\$0.00
Maine State Housing Authority	\$0.00	\$0.00
Most Impacted and Distressed Expended	\$0.00	\$0.00
B-20-RH-23-0001	\$0.00	\$0.00
B-21-RH-23-0001	\$0.00	\$0.00
Other Funds	\$ 0.00	\$ 0.00
Match Funds	\$ 0.00	\$ 0.00
Non-Match Funds	\$ 0.00	\$ 0.00

Other Funds:

Overall	This Period	To Date
Match Funds	\$ 0.00	\$ 0.00

Activity Description:

2020 RHP Admin Activity



Location Description:

Activity Progress Narrative:

Accomplishments Performance Measures

No Accomplishments Performance Measures

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources

No Other Funding Sources Found

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Activity Supporting Documents:

None

