Consolidated Annual Performance and Evaluation Report

Plan Year 2019

Prepared by

Maine State Housing Authority

Maine Department of Economic and Community Development

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EQUAL HOUSING OPPORTUNITY

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CR-05 - Goals and Outcomes

Progress the jurisdiction has made in carrying out its strategic plan and its action plan. 91.520(a)

This could be an overview that includes major initiatives and highlights that were proposed and executed throughout the program year.

This Maine Annual Performance Report describes the implementation of Maine's Consolidated Plan during 2019. The Consolidated Plan covers the use of HOME Investment Partnership Program (HOME), Housing Trust Fund (HTF), Emergency Solutions Grant (ESG), Community Development Block Grant (CDBG), and leveraged funds for the period 1/1/2019 to 12/31/2019. Objectives and expected outcomes for CDBG, HOME, HTF and ESG have been identified through the Consolidated Plan process. The Annual Action Plan addresses how objectives are to be met each year.

During 2019 the Maine State Housing Authority (MaineHousing) administered the HOME, HTF and ESG funds. The Maine State Department of Economic and Community Development (DECD) administered the CDBG funds.

It is important to note that the state provides affordable housing and community development through a variety of assistance programs, many of which are funded through agency resources or state appropriations. In 2019 MaineHousing assisted more than 1,100 first time home buyers, 8,800 rental households, 880 homeowners (not including over 52,000 helped with energy assistance) and more than 5,900 homeless individuals. MaineHousing used funds to complete 18 multifamily projects, for a total of 781 units (316 for families and 461 for seniors). In 2019 13 projects are under construction (331 family units and 215 units for seniors).

Significant progress has been made to address Consolidated Plan priorities and objectives. Use of tenant-based rental assistance (122% of 2019 goal), support emergency shelter operations & service (111% of 2019 goal), improve the quality of existing housing (143% of rental unit goal for 2019),()improve public infrastructure (209% of 2019 goal) and increase the quality and supply of rental housing (176% of rental unit rehabilitated goal) all exceeded 2019 goals.

This report covers the fifth year in the five year (2015-2019) Consolidated Plan.

Comparison of the proposed versus actual outcomes for each outcome measure submitted with the consolidated plan and explain, if applicable, why progress was not made toward meeting goals and objectives. 91.520(g)

Categories, priority levels, funding sources and amounts, outcomes/objectives, goal outcome indicators, units of measure, targets, actual outcomes/outputs, and percentage completed for each of the grantee's program year goals.

OMB Control No: 2506-0117 (exp. 06/30/2018)

Goal	Category	Source / Amount	Indicator	Unit of Measure	Expected – Strategic Plan	Actual – Strategic Plan	Percent Complete	Expected – Program Year	Actual – Program Year	Percent Complete
Improve Economic Opportunity	Non-Housing Community Development	CDBG: $	Facade treatment/business building rehabilitation	Business	100	60	60.00%			
Improve Economic Opportunity	Non-Housing Community Development	CDBG: $	Jobs created/retained	Jobs	600	463	77.17%	120	60	50.00%
Improve Economic Opportunity	Non-Housing Community Development	CDBG: $	Businesses assisted	Businesses Assisted	100	575	575.00%	125	124	99.20%
Improve Public Infrastructure	Non-Housing Community Development	CDBG: $	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit	Persons Assisted	5000	30939	618.78%	2500	5235	209.40%
Improve the Quality of Existing Housing	Affordable Housing	CDBG: $	Rental units rehabilitated	Household Housing Unit	60	246	410.00%	30	43	143.33%
Improve the Quality of Existing Housing	Affordable Housing	CDBG: $	Homeowner Housing Rehabilitated	Household Housing Unit	800	1291	161.38%	400	216	54.00%

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Increase the Quality and Supply of Rental Housing	Affordable Housing	CDBG: $ / HOME: $ / ESG: $ / HTF: $2700000 / Housing Trust Fund: $ / Other: $	Rental units constructed	Household Housing Unit	593	523	88.20%	100	98	98.00%
Increase the Quality and Supply of Rental Housing	Affordable Housing	CDBG: $ / HOME: $ / ESG: $ / HTF: $2700000 / Housing Trust Fund: $ / Other: $	Rental units rehabilitated	Household Housing Unit	592	546	92.23%	55	97	176.36%
Increase the Quality and Supply of Rental Housing	Affordable Housing	CDBG: $ / HOME: $ / ESG: $ / HTF: $2700000 / Housing Trust Fund: $ / Other: $	Housing for Homeless added	Household Housing Unit	0	0		0	0	
Increase Use of Rapid Re-Housing	Homeless	HOME: $ / ESG: $	Tenant-based rental assistance / Rapid Rehousing	Households Assisted	2000	1588	79.40%	140	172	122.86%
Support Emergency Shelter Operations & Services	Homeless	ESG: $	Homeless Person Overnight Shelter	Persons Assisted	38825	33992	87.55%	6200	6921	111.63%

OMB Control No: 2506-0117 (exp. 06/30/2018)

Table 1 - Accomplishments – Program Year & Strategic Plan to Date

Assess how the jurisdiction's use of funds, particularly CDBG, addresses the priorities and specific objectives identified in the plan, giving special attention to the highest priority activities identified.

One example of MaineHousing's success in meeting goals is the increased use of Rapid Re-Housing. This goal is being met with the help of Emergency Shelter and Housing Assistance Program navigators, funded through ESG. The navigators are a relocation and stabilization resource and have access to rapid re-housing rental subsidies through the Stability Through Engagement Program (STEP) and Home to Stay (HTS) Housing Choice Vouchers (HCV). STEP (funded with HOME) and HTS HCV are available to households experiencing homelessness. STEP can provide rental assistance for two years and HTS is an ongoing subsidy provided the household remains eligible within the program guidelines. Navigators follow household members after housing placement to ensure housing stability is maintained and that appropriate referrals are in place. The use of navigators, in coordination with the multiple system level changes in Maine, has resulted in continued progress toward the rapid re-housing of homeless families and individuals in 2019. There are no jurisdictional requirements or limits on STEP or HTS vouchers and they can be utilized throughout the state.

The State of Maine CDBG program identified the highest priorities as Housing, Economic Development, and Public Infrastructure. For the program year 2019, over 90% of funding went to those three areas.

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CR-10 - Racial and Ethnic composition of families assisted

Describe the families assisted (including the racial and ethnic status of families assisted). 91.520(a)

	CDBG	HOME	ESG	HTF
White	2,832	327	4,995	22
Black or African American	48	31	1,401	2
Asian	45	1	30	0
American Indian or American Native	6	6	108	0
Native Hawaiian or Other Pacific Islander	0	0	18	1
Total	**2,931**	**365**	**6,552**	**25**
Hispanic	24	16	253	0
Not Hispanic	2,901	349	6,613	25

Table 2 – Table of assistance to racial and ethnic populations by source of funds

Narrative

The CDBG, HOME and HTF data in the table above is populated from the HUD IDIS system; it does not include information on all activities covered by this report. This racial and ethnic data is only from HOME and HTF activities completed in 2019; many other individuals and families were assisted with HOME in 2019. Additionally, persons identifying as multi-racial are not included in the data above.

According to the IDIS Report **HOME Summary of Accomplishments for FY 2019**, 10% of HOME assisted households were of a race other than White and 4% of the HOME assisted households were Hispanic.

Racial and ethnic data available for ESG households is reported by individual persons served through the ESG program. This information indicates that the majority of families assisted have self-reported as "White," which comprises 76% of the total shelter population. This total does not include individuals with multiple races and individuals assisted by ESG who did not provide race and ethnicity information() . U.S. Census data projects that Maine's population was 94% White in 2019, indicating that shelter guests reporting other races are overrepresented in homeless shelters funded by ESG.

The data in IDIS does not accurately reflect the racial and ethnic diversity of families assisted with the State of Maine CDBG funding.

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CR-15 - Resources and Investments 91.520(a)

Identify the resources made available

Source of Funds	Source	Resources Made Available	Amount Expended During Program Year
CDBG	public - federal	11,566,645	11,566,645
HOME	public - federal	4,556,383	2,683,348
ESG	public - federal	1,352,454	2,595,136
HTF	public - federal	3,000,000	4,384,599

Table 3 - Resources Made Available

Narrative

Funding that HUD provided to Maine for 2019 CDBG, HOME, HTF and ESG programs is shown above. Note that amounts may include funds from previous allocations.

For FY 2019, MaineHousing allocated a total of $6,151,019.95 for the Operations, Stabilization, and Performance Shares of ESHAP. These funds assisted 36 homeless shelter programs which served 6,921 individuals and families who were homeless.

The combination of ESG, Maine Real Estate Transfer Tax fees, and State General funds were used to support homeless shelter providers with shelter operating expenses, relocation, and stabilization services.

The Operations Share was funded with $625,509.98 of ESG funds and $1,839,426.00 of State General funds.

Stabilization Share was funded with $625,509.97of ESG, $660,574.00of State General funds and $1,178,852.00 of State Home funds.

Performance Share was funded with $1,222,148 of State Home funds.

Identify the geographic distribution and location of investments

Target Area	Planned Percentage of Allocation	Actual Percentage of Allocation	Narrative Description
State Of Maine	100		State Of Maine

Table 4 – Identify the geographic distribution and location of investments

Narrative

The State of Maine has not chosen to target particular geographical areas for special assistance.

In 2019 MaineHousing completed HOME Rental Housing in four Maine counties. ESG funds assisted shelters in eleven Maine counties.

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Leveraging

Explain how federal funds leveraged additional resources (private, state and local funds), including a description of how matching requirements were satisfied, as well as how any publicly owned land or property located within the jurisdiction that were used to address the needs identified in the plan.

ESG requires a one-to-one matching of funds. For FY 2019, MaineHousing utilized approximately $4.9 million of State of Maine funds for match. Two of the HOME assisted multifamily developments involved new construction of units on publicly owned land.

Fiscal Year Summary – HOME Match	
1. Excess match from prior Federal fiscal year	45,354,589
2. Match contributed during current Federal fiscal year	771,199
3. Total match available for current Federal fiscal year (Line 1 plus Line 2)	46,125,788
4. Match liability for current Federal fiscal year	446,583
5. Excess match carried over to next Federal fiscal year (Line 3 minus Line 4)	45,679,205

Table 5 – Fiscal Year Summary - HOME Match Report

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Match Contribution for the Federal Fiscal Year								
Project No. or Other ID	**Date of Contribution**	**Cash (non-Federal sources)**	**Foregone Taxes, Fees, Charges**	**Appraised Land/Real Property**	**Required Infrastructure**	**Site Preparation, Construction Materials, Donated labor**	**Bond Financing**	**Total Match**
10403	07/01/2019	0	0	0	0	0	133,255	133,255
10417	09/03/2019	432,211	0	0	0	0	0	432,211
2019.01.NON	09/30/2019	205,732	0	0	0	0	0	205,732

Table 6 – Match Contribution for the Federal Fiscal Year

HOME MBE/WBE report

Program Income – Enter the program amounts for the reporting period				
Balance on hand at begin-ning of reporting period $	**Amount received during reporting period $**	**Total amount expended during reporting period $**	**Amount expended for TBRA $**	**Balance on hand at end of reporting period $**
356,601	570,105	407,679	307,642	519,027

Table 7 – Program Income

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Minority Business Enterprises and Women Business Enterprises – Indicate the number and dollar value of contracts for HOME projects completed during the reporting period						
	Total	**Minority Business Enterprises**				**White Non-Hispanic**
		Alaskan Native or American Indian	**Asian or Pacific Islander**	**Black Non-Hispanic**	**Hispanic**	
Contracts						
Dollar Amount	20,616,934	0	0	0	0	20,616,934
Number	4	0	0	0	0	4
Sub-Contracts						
Number	0	0	0	0	0	0
Dollar Amount	0	0	0	0	0	0

	Total	**Women Business Enterprises**	**Male**
Contracts			
Dollar Amount	20,616,934	0	20,616,934
Number	4	0	4
Sub-Contracts			
Number	0	0	0
Dollar Amount	0	0	0

Table 8 - Minority Business and Women Business Enterprises

Minority Owners of Rental Property – Indicate the number of HOME assisted rental property owners and the total amount of HOME funds in these rental properties assisted						
	Total	**Minority Property Owners**				**White Non-Hispanic**
		Alaskan Native or American Indian	**Asian or Pacific Islander**	**Black Non-Hispanic**	**Hispanic**	
Number	4	0	0	0	0	4
Dollar Amount	20,616,934	0	0	0	0	20,616,934

Table 9 – Minority Owners of Rental Property

Relocation and Real Property Acquisition – Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition		
Parcels Acquired	4	168,242
Businesses Displaced	0	0

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Nonprofit Organizations Displaced	0	0
Households Temporarily Relocated, not Displaced	0	0

Households Displaced	Total	Minority Property Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	1	0	0	0	0	1
Cost	19,000	0	0	0	0	19,000

Table 10 – Relocation and Real Property Acquisition

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CR-20 - Affordable Housing 91.520(b)

Evaluation of the jurisdiction's progress in providing affordable housing, including the number and types of families served, the number of extremely low-income, low-income, moderate-income, and middle-income persons served.

	One-Year Goal	Actual
Number of Homeless households to be provided affordable housing units	140	172
Number of Non-Homeless households to be provided affordable housing units	115	454
Number of Special-Needs households to be provided affordable housing units	0	0
Total	**255**	**626**

Table 11 – Number of Households

	One-Year Goal	Actual
Number of households supported through Rental Assistance	140	172
Number of households supported through The Production of New Units	100	98
Number of households supported through Rehab of Existing Units	15	356
Number of households supported through Acquisition of Existing Units	0	0
Total	**255**	**626**

Table 12 – Number of Households Supported

Discuss the difference between goals and outcomes and problems encountered in meeting these goals.

The one-year goal for HOME-funded programs is established in the 2019 Annual Action plan. HOME data in the table above is populated from the HUD IDIS system; it may not include information on all activities covered by this report. The data above is only from HOME activities completed in 2019; many other individuals and families were assisted with HOME in 2019.

In 2019 MaineHousing exceeded the goal of providing rental assistance and for rehabing existing units and was very close to the goal for production of new units (98% of the 2019 goal). It is difficult to predict the exact breakdown of new units vs rehabbed units in multifamily housing production in a given year due to the application process. Note that in 2019 HOME funds have been allocated to three projects that have been granted notice to proceed (these projects will produce a total of 92 new units and 100 rehab units). Data from these activities will be reported when they are completed. Additionally in 2019 HTF funds have been allocated to two projects, these projects will produce a total of 15 new affordable units.

In 2019 MaineHousing exceeded the goal of providing 140 affordable housing units for the homeless.

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Discuss how these outcomes will impact future annual action plans.

MaineHousing will consider these outcomes when establishing the goals in the 2021 Action Plan.

Include the number of extremely low-income, low-income, and moderate-income persons served by each activity where information on income by family size is required to determine the eligibility of the activity.

Number of Households Served	CDBG Actual	HOME Actual	HTF Actual
Extremely Low-income	64	150	17
Low-income	23	19	
Moderate-income	172	3	
Total	**259**	**172**	

Table 13 – Number of Households Served

Narrative Information

According to the IDIS Report **HOME Summary of Accomplishments for FY 2019**, 97% of beneficiaries of MaineHousing HOME-assisted programs in 2019 had incomes at or below 60% of HUD median income, with the greatest number at or below 30% of area median income.

Maine is assisting extremely low-income, low-income and moderatel income persons and progress is being made towards the goals identified in both the 2019 Annual Action Plan and the Consolidated Plan. Use of tenant-based rental assistance (122% of 2019 goal) exceeded the goal.

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CR-25 - Homeless and Other Special Needs 91.220(d, e); 91.320(d, e); 91.520(c)

Evaluate the jurisdiction's progress in meeting its specific objectives for reducing and ending homelessness through:

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

The Maine CoC's outreach plan is supported in coordination with the Department of Health and Human Services (DHHS) Projects for Assistance in Transition from Homelessness (PATH) grantees. PATH Grantees work closely with ESG funded shelters, the CoC, and the ESG Grantee. The goal of these outreach efforts is to engage individuals who are unsheltered, assess their immediate needs and health care concerns, determine their eligibility for MaineCare and other services, and transition them to a community provider for ongoing assistance.

Maine has two Supportive Services for Veteran Families (SSVF) programs that conduct outreach across the entire state of Maine and work directly with veterans and their families who are either homeless or at risk of homelessness.

There have been new outreach initiatives funded at the local level in Bangor and Waldo County in 2019. Outreach workers engage with unsheltered individuals to build relationships and provide supports with the goal of housing and stabilization.

The Maine CoC continues to develop and implement its Coordinated Entry System and in 2019, hired a dedicated position for this process.

Addressing the emergency shelter and transitional housing needs of homeless persons

Maine continues to address both emergency shelter and transitional housing needs of persons who are homeless through the direction and advocacy of the Maine Continuum of Care and the Maine Statewide Homeless Council. Maine has implemented a Coordinated Entry System (CES) which includes all the CoC and ESG funded programs in the state. The Maine CoC has developed a subcommittee which is tasked with revising the CES system with the goal of addressing the emergency shelter and housing needs of homeless persons in a collaborative way, including coordinated entry processes specifically focusing on the needs of Youth, Veterans, and Victims of Domestic Violence. In addition to prevention and diversion efforts, Maine's Coordinated Entry System is designed to quickly move individuals and families from Emergency Shelter (ES) and Transitional Housing (TH) into the most appropriate form of permanent housing available, thus making ES and TH space available for those needing an immediate, short term solution.

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: likely to become homeless after being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); and, receiving assistance from public or private

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agencies that address housing, health, social services, employment, education, or youth needs

In addition to the prevention and diversion elements of Maine's Coordinated Entry System, there are several ongoing initiatives to address discharge planning from institutions and systems of care. In 2019 the Statewide Homeless Council worked closely with the Maine Department of Corrections to develop a **Maine Criminal Justice System Blueprint for Ending and Preventing Homelessness** that seeks to prevent inmates being released to homelessness. A similar **Blueprint for Ending Homelessness** that was developed with DHHS has been in place for some time to address discharge planning from state run mental health facilities. Maine was awarded a Youth Homelessness Demonstration Program grant in 2019, which will, among other things, establish programs and protocols for youth leaving foster care and other youth facilities.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

ESHAP Shelters are provided a financial incentive to focus efforts on helping guests achieve permanent housing and to ensure that they remain in permanent housing. The table below illustrates the minimum benchmarks shelters must meet to receive this funding. In addition, many ESG funded shelters participate in statewide conferencing initiatives to house chronically homeless persons and long term stayers.

Performance Measures	Low Barrier Shelter Benchmark	Single Adult Shelter Benchmark	Family Shelter Benchmark	Teen Shelter Benchmark	Domestic Violence Shelter Benchmark
1. Percentage of clients exiting to permanent housing.	5% or greater	30% or greater	30% or greater	5% or greater	30% or greater
2. Percentage of clients who return to homelessness within 6 months of exiting to permanent housing.	15% or less	15% or less	15% or less	15% or less	15% or less

Minimum Shelter Benchmarks

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CR-30 - Public Housing 91.220(h); 91.320(j)

Actions taken to address the needs of public housing

MaineHousing is not a public housing authority. In 2019, MaineHousing worked collaboratively with the PHAs in Maine as follows:

- Utilized the statewide centralized Housing Choice Voucher waitlist as mandated by Maine law.
- Invited local PHA inspections staff to educational forums about technical themes and personal safety.
- Participated in 'occupancy meetings' which included staff representatives of PHAs statewide. These meetings include discussions of best practices, new regulations and training.
- MaineHousing Director attends quarterly PHA director meetings.
- MaineHousing Family Self-Sufficiency (FSS) staff continue to lead statewide efforts to improve processes and evaluation of the program amongst those PHAs who administer it, along with bringing together all other PHA FSS staff to analyze and respond to new HUD program regulations. Along with Portland HA, we are members of the National FSS Network through a partnership with Compass Working Capital.
- Collaborated with local PHA's to apply and administer 5 year Mainstream vouchers.

Actions taken to encourage public housing residents to become more involved in management and participate in homeownership

MaineHousing does not own or manage public housing and does not have access to public housing residents to encourage them to participate in management or homeownership.

MaineHousing's affordable mortgage financing and down payment assistance are available to first-time homebuyers that meet income requirements.

Actions taken to provide assistance to troubled PHAs

There are no troubled PHAs in Maine.

CR-35 - Other Actions 91.220(j)-(k); 91.320(i)-(j)

Actions taken to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment. 91.220 (j); 91.320 (i)

The Annual Action Plan states that MaineHousing and the Department of Economic and Community Development will continue to encourage qualified applicants to apply for CDBG, HOME, HTF and ESG funds. Additionally, the agencies monitor public policy with particular interest in issues related to affordable housing and community development.

Actions taken to address obstacles to meeting underserved needs. 91.220(k); 91.320(j)

MaineHousing and the Department of Economic and Community Development encourage qualified applicants to apply for CDBG, HOME, HTF and ESG funds.

According to the IDIS Report **HOME Summary of Accomplishments for FY 2019**, 97% of beneficiaries of MaineHousing HOME-assisted programs in 2019 had incomes at or below 60% of HUD median income, with the greatest number at or below 30% of area median income.

Actions taken to reduce lead-based paint hazards. 91.220(k); 91.320(j)

MaineHousing receives funding from state and federal sources to offer lead safe programs for single-family households and owners of rental properties in Maine. Priority for program funds is granted to remediation and abatement projects of households with a child that has elevated blood lead levels.

A three-year Lead Hazard Reduction Demonstration Grant (LHRD) was awarded to MaineHousing in October 2016. In 2019, MaineHousing's LHRD Program funded lead abatement projects in 116 units with an average cost of $10,612.75 per unit. In addition to the lead abatement work, a variety of health and safety issues were addressed in the 18 units using Healthy Homes funding received in conjunction with the LHRD Grant with an average of $()14,351 per unit. In November 2019, MaineHousing was awarded $3.8 million for another three-year federal LEAD and Healthy Homes Grant to continue this work.

In 2018, MaineHousing was also awarded $4,000,000 from the State of Maine for additional lead abatement and remediation measures. These funds will be used in a similar fashion as those from the LHRD Program, with the exception that an owner match is required (10% of project costs if the residence is not under abatement orders from CDC and 25% if the residence is under an abatement order). The state Lead Program also has an income eligibility limit of 100% of AMI. In 2018 MaineHousing addressed the statewide lead abatement contractor capacity by using LHRD Grant funds to pay for nearly 44 contractors and landlords to participate in Lead Supervisor training.

Actions taken to reduce the number of poverty-level families. 91.220(k); 91.320(j)

The strategy and goals of the Five-Year Consolidated Plan and the Annual Action Plan directly address the

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issues of individuals who are living in poverty.

In Maine, there is a statewide network of Community Action Agencies (CAAs) with a common purpose of providing services to low income people across Maine. The goal of these agencies is to empower low-income people to lift themselves and their families out of poverty.

In 2019, MaineHousing worked with the Community Action Agencies to weatherize 415 homes, improve the heating systems for 1,615 households, and to provide Home Energy Assistance to over 31,000 households.

Actions taken to develop institutional structure. 91.220(k); 91.320(j)

The State of Maine has an efficient institutional structure through which housing and community development programs are delivered. DECD is the administrator of CDBG funds and MaineHousing is the administrator of HOME, HTF and ESG funding. Both MaineHousing and DECD participate in a number of standing meetings with representatives from state and local government, not-for-profit, and private providers of housing, homelessness, and economic development services.

In 2019 MaineHousing worked with the Continuum of Care to assess system gaps and needs and implement programs to serve persons who are experiencing homelessness. Additionally, Maine's CoC continues to coordinate and develop its Coordinated Entry efforts.

Actions taken to enhance coordination between public and private housing and social service agencies. 91.220(k); 91.320(j)

MaineHousing continued to work closely with the Maine Community Action Agencies (CAAs) to deliver services for HEAP, Weatherization, Home Repair and Accessibility, Mobile Home Replacement, Lead Abatement, Arsenic Abatement. Efforts to coordinate these Programs and to deliver services to Maine residents are executed through regular meetings with the CAAs and other partners, including the Maine Center for Disease Control and Prevention, Maine Equal Justice Partners and the Public Advocate's Office. Coordination is also conducted through regular email communications and web-based trainings.

MaineHousing has set aside 33 vouchers for leveraged funding under HUD's 811 Program giving priority for People with Disabilities receiving Medicaid waiver services under Sections 18, 19, 20, 21 and 29. MaineHousing pledged these vouchers in a NOFA that was selected for funding by HUD in 2015. In addition to the 33 vouchers, MaineHousing will set aside up to an additional 7 vouchers for families who are over 61 years of age and are receiving Medicaid waiver services, as listed above.

The Family Unification Program (FUP) is a collaborative effort between MaineHousing and the Maine Department of Health and Human Services (DHHS).

The Family Unification Program provides a Section 8 Housing Choice Voucher to families for whom the lack of adequate housing is the primary factor in the separation (or threat of imminent separation) of a child or children from their families or in the delay in the discharge of the child, or children, to the family from out-of-home care. The child or children must be able to be reunified within four (4) months of the application date to the Family Unification Program. The DHHS Child Protective Caseworker must certify that the family

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meets the above criteria in order to qualify for the FUP voucher.

MaineHousing provides a web-based forum for landlords and property managers to list available units for prospective tenants. This website, www.mainehousingsearch.org, allows landlords to provide details about available units such as accessibility features and voucher eligibility and allows social service agencies to assist their clients in finding appropriate, affordable housing units.

Identify actions taken to overcome the effects of any impediments identified in the jurisdictions analysis of impediments to fair housing choice. 91.520(a)

In 2019 MaineHousing updated the Analysis of Impediments to Fair Housing Choice. The Analysis is available on the MaineHousing website.

See the attached list of actions taken in 2019 to address impediments identified in the 2015 Analysis of Impediments to Fair Housing Choice.

CR-40 - Monitoring 91.220 and 91.230

Describe the standards and procedures used to monitor activities carried out in furtherance of the plan and used to ensure long-term compliance with requirements of the programs involved, including minority business outreach and the comprehensive planning requirements

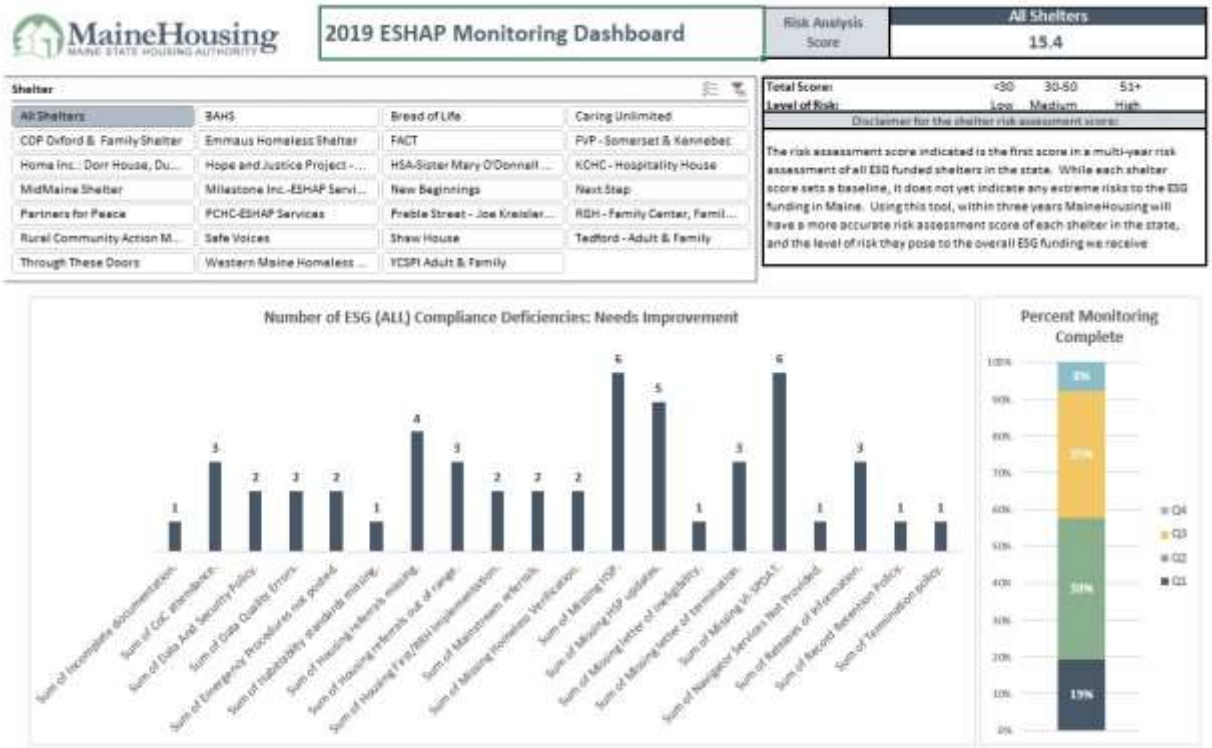
MaineHousing monitors multi-family rental projects receiving HOME funds for compliance with the program rules and property standards through on-site inspections at the required frequency outlined in 24 CFR §92.504(d). As outlined in the 2013 Final HOME Rule, in 2016 MaineHousing implemented a risk-based model which adjusted the frequency intervals for inspections. On-site inspections consist of both tenant file reviews and physical plant inspections of 20% of HOME-assisted units, although the two may not happen simultaneously. In some cases, the file review and inspection may occur in offsetting years to allow for broader coverage – this is especially true in those cases where there is no other financing in the property. Reports are issued for both types of inspections and Owners are provided 30 days to rectify any non-compliance identified during the review (or less if more significant health and safety items are identified through the physical plant inspection of the units). If non-compliance remains uncorrected, the property could be declared to be in default and action taken to call the note. In some circumstances, the period of affordability may be extended for any period the property was deemed non-compliant.

In addition to on-site reviews, annually MaineHousing reviews all financial reports provided by the owner (budgets and Audited Financial Reviews) to identify potential financial risks to the property, as well as the submitted tenant status reports that outline the tenancy and income levels of tenants in HOME units, allowing validation that the targeted population continues to be served in the property and that rents fall within allowable limits.

Maine utilizes a risk-assessment monitoring model for ESG, developed with the assistance of HUD Technical Assistance. Below is a snapshot of the resulting "dashboard" used to track the monitoring and technical assistance needs of shelter providers as well as serve as a conduit to report back to the CoC. MaineHousing conducts annual on site monitoring visits with each ESG sub-recipient.

The State monitors all CDBG grantees for compliance with regulations.

OMB Control No: 2506-0117 (exp. 06/30/2018)



ESHAP Monitoring Dashboard

Citizen Participation Plan 91.105(d); 91.115(d)

Describe the efforts to provide citizens with reasonable notice and an opportunity to comment on performance reports.

The draft 2019 CAPER was available for public comment for 15 days beginning March 12th, 2020. The public was notified of the availability via newspaper ads in the Bangor Daily News, Kennebec Journal, and Portland Press Herald. DECD and MaineHousing posted copies of the draft document on their websites and email announcements were sent to individuals and organizations. The MaineHousing email list includes community leaders, developers, CHDOs, local housing authorities, advocacy groups, not-for-profit organizations, providers of housing and services to the homeless, and individuals.

No Comments were received.

A language translator is available on the MaineHousing website.

OMB Control No: 2506-0117 (exp. 06/30/2018)

CR-45 - CDBG 91.520(c)

Specify the nature of, and reasons for, any changes in the jurisdiction's program objectives and indications of how the jurisdiction would change its programs as a result of its experiences.

There have been no changes to the state's program objectives.

Does this Jurisdiction have any open Brownfields Economic Development Initiative (BEDI) grants?

No

[BEDI grantees] Describe accomplishments and program outcomes during the last year.

OMB Control No: 2506-0117 (exp. 06/30/2018)

CR-50 - HOME 91.520(d)

Include the results of on-site inspections of affordable rental housing assisted under the program to determine compliance with housing codes and other applicable regulations

Please list those projects that should have been inspected on-site this program year based upon the schedule in §92.504(d). Indicate which of these were inspected and a summary of issues that were detected during the inspection. For those that were not inspected, please indicate the reason and how you will remedy the situation.

See the attached summary of projects monitored in 2019.

Provide an assessment of the jurisdiction's affirmative marketing actions for HOME units. 92.351(b)

All property owners are required to have a Management Plan and a Marketing Plan that affirmatively furthers Fair Housing. MaineHousing has provided Marketing Plan Guidance to Owners/Managers that outlines requirements for assuring affirmative fair housing occurs. The owner is responsible for selecting residents in a non-discriminatory manner and maintaining a written waiting list of applicants in accordance with the project's resident selection policies and criteria that comply with all federal and state laws prohibiting discrimination on the grounds of race, color, national origin, religion, sex, physical or mental handicap, sexual orientation, familial status, ancestry, and receipt of public assistance. As a result, there is a diverse ethnic and religious population that resides in MaineHousing funded properties.

Refer to IDIS reports to describe the amount and use of program income for projects, including the number of projects and owner and tenant characteristics

MaineHousing is following HUD guidance for implementation of the HOME Interim Final Rule. The current guidance allows Participating Jurisdictions to accumulate program income (PI) in the current program year for commitment in the following year. In 2019 MaineHousing received $570,105 of program income; $307,642 in program income was expended on TBRA activities with additional program income committed to TBRA but not yet expended. Please see CR 10 and CR 20 for information about persons assisted with HOME funds (including PI).

Describe other actions taken to foster and maintain affordable housing. 91.220(k) (STATES ONLY: Including the coordination of LIHTC with the development of affordable housing). 91.320(j)

MaineHousing uses the Rental Loan Program (RLP) to provide low interest, long term mortgage financing to qualified private, for profit and not-for-profit developers of affordable rental housing. The RLP may be used for new construction and for the acquisition and rehabilitation of existing housing. MaineHousing combines a number of financial resources for the RLP, including HOME and HTF funding, tax-exempt financing, and various state resources. This program is specifically designed to be used in conjunction with the equity provided through the LIHTC.

CR-56 - HTF 91.520(h)

Describe the extent to which the grantee complied with its approved HTF allocation plan and the requirements of 24 CFR part 93.

MaineHousing is in compliance with its approved HTF Allocation Plan. In 2019 three HTF funded projects reached completion status, 3 projects are currently in construction, 3 projects are in the development process, and 2 more projects have been selected to receive HTF funding. When occupied these projects will house tenants with incomes at or below 30% of AMI.

Tenure Type	0 – 30% AMI	0% of 30+ to poverty line (when poverty line is higher than 30% AMI)	% of the higher of 30+ AMI or poverty line to 50% AMI	Total Occupied Units	Units Completed, Not Occupied	Total Completed Units
Rental	21	0	1	22	0	22
Homebuyer	0	0	0	0	0	0

Table 15 - CR-56 HTF Units in HTF activities completed during the period

OMB Control No: 2506-0117 (exp. 06/30/2018)

CR-60 - ESG 91.520(g) (ESG Recipients only)

ESG Supplement to the CAPER in *e-snaps*

For Paperwork Reduction Act

1. Recipient Information—All Recipients Complete

Basic Grant Information

Recipient Name	MAINE
Organizational DUNS Number	809045511
EIN/TIN Number	016000001
Indentify the Field Office	BOSTON
Identify CoC(s) in which the recipient or subrecipient(s) will provide ESG assistance	Maine Balance of State CoC

ESG Contact Name

Prefix	Mrs
First Name	PAULA
Middle Name	M
Last Name	WEBER
Suffix	0
Title	Compliance Officer

ESG Contact Address

Street Address 1	353 Water Street
Street Address 2	0
City	Augusta
State	ME
ZIP Code	04330-4633
Phone Number	2076264600
Extension	4619
Fax Number	2076464678
Email Address	pweber@mainehousing.org

ESG Secondary Contact

Prefix	
First Name	
Last Name	
Suffix	
Title	
Phone Number	
Extension	
Email Address	

2. Reporting Period—All Recipients Complete

Program Year Start Date	01/01/2019

OMB Control No: 2506-0117 (exp. 06/30/2018)

Program Year End Date 12/31/2019

3a. Subrecipient Form – Complete one form for each subrecipient

Subrecipient or Contractor Name: RURAL COMMUNITY ACTION MINISTRY
City: LEEDS
State: ME
Zip Code: 04263,
DUNS Number:
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 12368.68

Subrecipient or Contractor Name: York County Shelter Programs Inc.
City: Alfred
State: ME
Zip Code: 04002, 0820
DUNS Number: 187039425
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 52611.17

Subrecipient or Contractor Name: Bangor Area Homeless Shelter
City: Bangor
State: ME
Zip Code: 04401, 6403
DUNS Number: 783449689
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 53700

Subrecipient or Contractor Name: Bread of Life Ministries
City: Augusta
State: ME
Zip Code: 04330, 4607
DUNS Number: 780854311
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 27273.54

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Subrecipient or Contractor Name: Caring Unlimited Inc.
City: Sanford
State: ME
Zip Code: 04073, 0550
DUNS Number: 780082806
Is subrecipient a victim services provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 19220.49

Subrecipient or Contractor Name: Family Violence Project
City: Augusta
State: ME
Zip Code: 04332, 0304
DUNS Number: 198925133
Is subrecipient a victim services provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 19821.48

Subrecipient or Contractor Name: HOME Inc.
City: Orland
State: ME
Zip Code: 04472, 0010
DUNS Number: 071733240
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 51321.75

Subrecipient or Contractor Name: Homeless Services of Aroostook
City: Presque Isle
State: ME
Zip Code: 04769, 1753
DUNS Number: 195221630
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 31656.76

Subrecipient or Contractor Name: Hope and Justice Project
City: Presque Isle
State: ME
Zip Code: 04769, 2254
DUNS Number: 627646102
Is subrecipient a victim services provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 41332.27

Subrecipient or Contractor Name: Mid-Maine Homeless Shelter
City: Waterville
State: ME
Zip Code: 04903, 2612
DUNS Number: 803876713
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 57928.19

Subrecipient or Contractor Name: Milestone Foundation
City: Portland
State: ME
Zip Code: 04101, 4209
DUNS Number: 073993883
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 45225.95

Subrecipient or Contractor Name: New Beginnings Inc.
City: Lewiston
State: ME
Zip Code: 04240, 6736
DUNS Number: 194538534
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 10277.72

Subrecipient or Contractor Name: The Next Step Domestic Violence Project
City: Ellsworth
State: ME
Zip Code: 04605, 1465
DUNS Number: 046740339
Is subrecipient a victim services provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 14281.84

Subrecipient or Contractor Name: Penobscot Community Health Center Inc.
City: Bangor
State: ME
Zip Code: 04402, 2100
DUNS Number: 034744040
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 86358.16

Subrecipient or Contractor Name: Preble Street: Florence House
City: Portland
State: ME
Zip Code: 04104, 1459
DUNS Number: 780081485
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 21111.62

Subrecipient or Contractor Name: Preble Street: Joe Kreisler Shelter
City: Portland
State: ME
Zip Code: 04104, 1459
DUNS Number: 780081485
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 23358.33

Subrecipient or Contractor Name: Rumford Group Homes Inc.: Norway Shelter
City: Rumford
State: ME
Zip Code: 04276, 1846
DUNS Number: 603062365
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 19554.05

Subrecipient or Contractor Name: Rumford Group Homes Inc.: Rumford/South Paris
City: Rumford
State: ME
Zip Code: 04276, 1846
DUNS Number: 603062365
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 15149.52

Subrecipient or Contractor Name: Safe Voices
City: Auburn
State: ME
Zip Code: 04212, 0713
DUNS Number: 840710149
Is subrecipient a victim services provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 16662.27

Subrecipient or Contractor Name: Shaw House
City: Bangor
State: ME
Zip Code: 04401, 6327
DUNS Number: 927356907
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 14504.46

Subrecipient or Contractor Name: Tedford Housing: Family Shelter
City: Brunswick
State: ME
Zip Code: 04011, 1927
DUNS Number: 781973946
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 34948.23

Subrecipient or Contractor Name: Tedford Housing: Adult Shelter
City: Brunswick
State: ME
Zip Code: 04011, 1927
DUNS Number: 781973946
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 19309.4

Subrecipient or Contractor Name: City of Portland: Family Shelter
City: Portland
State: ME
Zip Code: 04101, 2418
DUNS Number: 071747802
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Unit of Government
ESG Subgrant or Contract Award Amount: 154876.31

Subrecipient or Contractor Name: City of Portland: Oxford Street Shelter
City: Portland
State: ME
Zip Code: 04101, 2418
DUNS Number: 071747802
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Unit of Government
ESG Subgrant or Contract Award Amount: 284724.34

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Subrecipient or Contractor Name: Knox County HOmeless Coalition
City: Rockland
State: ME
Zip Code: 04841, 1696
DUNS Number: 020536997
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 39864.11

Subrecipient or Contractor Name: Through These Doors
City: Cape Elizabeth
State: ME
Zip Code: ,
DUNS Number:
Is subrecipient a victim services provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 16106.11

Subrecipient or Contractor Name: Rumford Group Homes Inc - Rumford Family Center Shelter
City: Rumford
State: ME
Zip Code: 04276, 2212
DUNS Number:
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 14192.94

Subrecipient or Contractor Name: Partners for Peace
City: Bangor
State: ME
Zip Code: 04402, 0653
DUNS Number:
Is subrecipient a victim services provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 13458.97

Subrecipient or Contractor Name: Western Maine Homeless Outreach
City: Farmington
State: ME
Zip Code: 04938, 0830
DUNS Number:
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 18908.99

OMB Control No: 2506-0117 (exp. 06/30/2018)

Subrecipient or Contractor Name: York County Family Emergency Shelter
City: Alfred
State: ME
Zip Code: 04002, 3296
DUNS Number:
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 20911.05

Subrecipient or Contractor Name: Families and Children Together (F.A.C.T.)
City: Bangor
State: ME
Zip Code: 04401, 6573
DUNS Number:
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 0

OMB Control No: 2506-0117 (exp. 06/30/2018)

CR-65 - Persons Assisted

NOTE: The CR-65 Persons Assisted section has been replaced by the SageHMIS CAPER Annual Reporting Tool. The State of Maine Sage 2019 CAPER report is attached to this CAPER.

4. Persons Served

4a. Complete for Homelessness Prevention Activities

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
Total	**0**

Table 16 – Household Information for Homeless Prevention Activities

4b. Complete for Rapid Re-Housing Activities

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
Total	**0**

Table 17 – Household Information for Rapid Re-Housing Activities

4c. Complete for Shelter

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
Total	**0**

Table 18 – Shelter Information

OMB Control No: 2506-0117 (exp. 06/30/2018)

4d. Street Outreach

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
Total	**0**

Table 19 – Household Information for Street Outreach

4e. Totals for all Persons Served with ESG

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
Total	**0**

Table 20 – Household Information for Persons Served with ESG

5. Gender—Complete for All Activities

	Total
Male	0
Female	0
Transgender	0
Don't Know/Refused/Other	0
Missing Information	0
Total	**0**

Table 21 – Gender Information

6. Age—Complete for All Activities

	Total
Under 18	0
18-24	0
25 and over	0
Don't Know/Refused/Other	0
Missing Information	0
Total	**0**

Table 22 – Age Information

7. Special Populations Served—Complete for All Activities

Number of Persons in Households

Subpopulation	Total	Total Persons Served – Prevention	Total Persons Served – RRH	Total Persons Served in Emergency Shelters
Veterans	0	0	0	0
Victims of Domestic Violence	0	0	0	0
Elderly	0	0	0	0
HIV/AIDS	0	0	0	0
Chronically Homeless	0	0	0	0
Persons with Disabilities:				
Severely Mentally Ill	0	0	0	0
Chronic Substance Abuse	0	0	0	0
Other Disability	0	0	0	0
Total (Unduplicated if possible)	0	0	0	0

Table 23 – Special Population Served

OMB Control No: 2506-0117 (exp. 06/30/2018)

CR-70 – ESG 91.520(g) - Assistance Provided and Outcomes

10. Shelter Utilization

Number of New Units - Rehabbed	0
Number of New Units - Conversion	0
Total Number of bed-nights available	486,545
Total Number of bed-nights provided	331,710
Capacity Utilization	68.18%

Table 24 – Shelter Capacity

11. Project Outcomes Data measured under the performance standards developed in consultation with the CoC(s)

Maine's network of 36 emergency homeless shelters statewide use these funds for operating expenses as well as housing relocation and stabilization services in order to rapidly re-house and stabilize individuals and families who are living in shelters and on the streets across Maine. These shelters employ 73 Housing Navigators who conduct comprehensive assessments of clients, create housing stability plans, assist with housing search and placement, and follow clients beyond the shelter to ensure housing stability. The percentage of shelters that met the outcomes for initial assessments within 30 days, including the VISPDAT was 100%; 100% of shelters created Housing Stability Plans for clients with VISPDAT scores greater than 3; and 100% of shelters met the benchmark for providing a 30 day service. Shelters are awarded performance incentives in two categories, exiting clients to permanent housing destinations, and maintaining rare occurances of clients returning into the homeless system after having exited to a permanent destination. This performance data is measured semi-annually.

OMB Control No: 2506-0117 (exp. 06/30/2018)

CR-75 – Expenditures

11. Expenditures

11a. ESG Expenditures for Homelessness Prevention

	Dollar Amount of Expenditures in Program Year		
	2017	**2018**	**2019**
Expenditures for Rental Assistance	0	0	0
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	0	0
Expenditures for Housing Relocation & Stabilization Services - Services	0	0	0
Expenditures for Homeless Prevention under Emergency Shelter Grants Program	0	0	0
Subtotal Homelessness Prevention	**0**	**0**	**0**

Table 25 – ESG Expenditures for Homelessness Prevention

11b. ESG Expenditures for Rapid Re-Housing

	Dollar Amount of Expenditures in Program Year		
	2017	**2018**	**2019**
Expenditures for Rental Assistance	0	0	0
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	0	0
Expenditures for Housing Relocation & Stabilization Services - Services	642,873	594,449	625,510
Expenditures for Homeless Assistance under Emergency Shelter Grants Program	642,873	594,449	625,510
Subtotal Rapid Re-Housing	**1,285,746**	**1,188,898**	**1,251,020**

Table 26 – ESG Expenditures for Rapid Re-Housing

11c. ESG Expenditures for Emergency Shelter

	Dollar Amount of Expenditures in Program Year		
	2017	**2018**	**2019**
Essential Services	0	0	0
Operations	570,065	611,294	625,510
Renovation	0	0	0
Major Rehab	0	0	0
Conversion	0	0	0
Subtotal	**570,065**	**611,294**	**625,510**

Table 27 – ESG Expenditures for Emergency Shelter

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11d. Other Grant Expenditures

	Dollar Amount of Expenditures in Program Year		
	2017	2018	2019
Street Outreach	0	0	0
HMIS	0	0	0
Administration	32,630	80,477	40,160

Table 28 - Other Grant Expenditures

11e. Total ESG Grant Funds

Total ESG Funds Expended	2017	2018	2019
	1,888,441	1,880,669	1,916,690

Table 29 - Total ESG Funds Expended

11f. Match Source

	2017	2018	2019
Other Non-ESG HUD Funds	0	0	0
Other Federal Funds	0	0	0
State Government	4,900,000	4,907,196	4,900,000
Local Government	0	0	0
Private Funds	0	0	0
Other	0	0	0
Fees	0	0	0
Program Income	0	0	0
Total Match Amount	**4,900,000**	**4,907,196**	**4,900,000**

Table 30 - Other Funds Expended on Eligible ESG Activities

11g. Total

Total Amount of Funds Expended on ESG Activities	2017	2018	2019
	6,788,441	6,787,865	6,816,690

Table 31 - Total Amount of Funds Expended on ESG Activities

OMB Control No: 2506-0117 (exp. 06/30/2018)

Attachment

OMB Control No: 2506-0117 (exp. 06/30/2018)

2019 PR 28 PER Financial Summary

: - PR28	U.S. Department of Housing and Urban Development Office of Community Planning and Development Integrated Disbursement and Information System State of Maine Performance and Evaluation Report For Grant Year 2019 As of 01/15/2020 Grant Number B19DC230001	DATE: TIME: PAGE:

Part I: Financial Status

A. Sources of State CDBG Funds

1)	State Allocation	$11,566,645.00
2)	Program Income	
3)	Program income receipted in IDIS	$0.00
3 a)	Program income receipted from Section 108 Projects (for SI type)	$0.00
4)	Adjustment to compute total program income	
5)	Total program income (sum of lines 3 and 4)	$0.00
6)	Section 108 Loan Funds	
7)	Total State CDBG Resources (sum of lines 1,5 and 6)	$11,566,645.00

B. State CDBG Resources by Use

8)	State Allocation	
9)	Obligated to recipients	$4,262,329.00
10)	Adjustment to compute total obligated to recipients	
11)	Total obligated to recipients (sum of lines 9 and 10)	$4,262,329.00
12)	Set aside for State Administration	$331,332.00
13)	Adjustment to compute total set aside for State Administration	
14)	Total set aside for State Administration (sum of lines 12 and 13)	$331,332.00
15)	Set aside for Technical Assistance	
16)	Adjustment to compute total set aside for Technical Assistance	
17)	Total set aside for Technical Assistance (sum of lines 15 and 16)	
18)	State funds set aside for State Administration match	231,332
19)	Program Income	
20)	Returned to the state and redistributed	
20 a)	Section 108 program income expended for the Section 108 repayment	
21)	Adjustment to compute total redistributed	

OMB Control No: 2506-0117 (exp. 06/30/2018)

22)	Total redistributed (sum of lines 20 and 21)	$0.00
23)	Returned to the state and not yet redistributed	$0.00
23 a)	Section 108 program income not yet disbursed	$0.00
24)	Adjustment to compute total not yet redistributed	
25)	Total not yet redistributed (sum of lines 23 and 24)	$0.00
26)	Retained by recipients	$0.00
27)	Adjustment to compute total retained	
28)	Total retained (sum of lines 26 and 27)	$0.00
C.	**Expenditures of State CDBG Resources**	
29)	Drawn for State Administration	$0.00
30)	Adjustment to amount drawn for State Administration	
31)	Total drawn for State Administration	$0.00
32)	Drawn for Technical Assistance	$0.00
33)	Adjustment to amount drawn for Technical Assistance	
34)	Total drawn for Technical Assistance	$0.00
35)	Drawn for Section 108 Repayments	$0.00
36)	Adjustment to amount drawn for Section 108 Repayments	
37)	Total drawn for Section 108 Repayments	$0.00
38)	Drawn for all other activities	$625,999.00
39)	Adjustment to amount drawn for all other activities	
40)	Total drawn for all other activities	$625,999.00
D.	**Compliance with Public Service (PS) Cap**	
41)	Disbursed in IDIS for PS	$55,055.00
42)	Adjustment to compute total disbursed for PS	
43)	Total disbursed for PS (sum of lines 41 and 42)	$55,055.00
44)	Amount subject to PS cap	
45)	State Allocation (line 1)	$11,566,645.00
46)	Program Income Received (line 5)	$0.00
47)	Adjustment to compute total subject to PS cap	
48)	Total subject to PS cap (sum of lines 45-47)	$11,566,645.00
49)	Percent of funds disbursed to date for PS (line 43 / line 48)	0.48%
E.	**Compliance with Planning and Administration (P/A) Cap**	
50)	Disbursed in IDIS for P/A from all fund types - Combined	$87,885.00
51)	Adjustment to compute total disbursed for P/A	
52)	Total disbursed for P/A (sum of lines 50 and 51)	$87,885.00
53)	Amount subject to Combined Expenditure P/A cap	

OMB Control No: 2506-0117 (exp. 06/30/2018)

54)	State Allocation (line 1)	$11,566,645.00
55)	Program Income Received (line 5)	$0.00
56)	Adjustment to compute total subject to P/A cap	
57)	Total subject to P/A cap (sum of lines 54-56)	$11,566,645.00
58)	Percent of funds disbursed to date for P/A (line 52 / line 57) Combined Cap	0.76%
59)	Disbursed in IDIS for P/A from Annual Grant Only	$87,885.00
60)	Amount subject the Annual Grant P/A cap	
61)	State Allocation	$11,566,645.00
62)	Percent of funds disbursed to date for P/A (line 59 / line 61) Annual Grant Cap	0.76%

Part II: Compliance with Overall Low and Moderate Income Benefit

63) Period specified for benefit: grant years 2014

64) Final PER for compliance with the overall benefit test: [ ]

No data returned for this view. This might be because the applied filter excludes all data.

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U.S. Department of Housing and Urban Development
Office of Community Planning and Development
Integrated Disbursement and Information System
State of Maine
Performance and Evaluation Report
For Grant Year 2018
As of 02/05/2020

Grant Number B18DC230001

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Part I: Financial Status

A. Sources of State CDBG Funds

1)	State Allocation	$11,645,252.00
2)	Program Income	
3)	Program income receipted in IDIS	$0.00
3 a)	Program income receipted from Section 108 Projects (for SI type)	$0.00
4)	Adjustment to compute total program income	$0.00
5)	Total program income (sum of lines 3 and 4)	$0.00
6)	Section 108 Loan Funds	$0.00
7)	Total State CDBG Resources (sum of lines 1,5 and 6)	$11,645,252.00

B. State CDBG Resources by Use

8)	State Allocation	
9)	Obligated to recipients	$10,957,699.00
10)	Adjustment to compute total obligated to recipients	$0.00
11)	Total obligated to recipients (sum of lines 9 and 10)	$10,957,699.00
12)	Set aside for State Administration	$332,905.00
13)	Adjustment to compute total set aside for State Administration	$332,905.00
14)	Total set aside for State Administration (sum of lines 12 and 13)	$665,810.00
15)	Set aside for Technical Assistance	
16)	Adjustment to compute total set aside for Technical Assistance	$116,452.00
17)	Total set aside for Technical Assistance (sum of lines 15 and 16)	
18)	State funds set aside for State Administration match	$287,846.00
19)	Program Income	
20)	Returned to the state and redistributed	
20 a)	Section 108 program income expended for the Section 108 repayment	
21)	Adjustment to compute total redistributed	$0.00

OMB Control No: 2506-0117 (exp. 06/30/2018)

22)	Total redistributed (sum of lines 20 and 21)		$0.00
23)	Returned to the state and not yet redistributed		$0.00
23 a)	Section 108 program income not yet disbursed		$0.00
24)	Adjustment to compute total not yet redistributed		$0.00
25)	Total not yet redistributed (sum of lines 23 and 24)		$0.00
26)	Retained by recipients		$0.00
27)	Adjustment to compute total retained		$0.00
28)	Total retained (sum of lines 26 and 27)		$0.00
C.	**Expenditures of State CDBG Resources**		
29)	Drawn for State Administration		$157,192.77
30)	Adjustment to amount drawn for State Administration		$265,027.00
31)	Total drawn for State Administration		$422,219.77
32)	Drawn for Technical Assistance		$0.00
33)	Adjustment to amount drawn for Technical Assistance		$92,052.00
34)	Total drawn for Technical Assistance		$92,052.00
		-$24,400.00	
35)	Drawn for Section 108 Repayments		$0.00
36)	Adjustment to amount drawn for Section 108 Repayments		$0.00
37)	Total drawn for Section 108 Repayments		$0.00
38)	Drawn for all other activities		$6,706,893.83
39)	Adjustment to amount drawn for all other activities		$8,305,336.00
40)	Total drawn for all other activities		$15,012,229.83
D.	**Compliance with Public Service (PS) Cap**		
41)	Disbursed in IDIS for PS		$287,350.00
42)	Adjustment to compute total disbursed for PS		$0.00
43)	Total disbursed for PS (sum of lines 41 and 42)		$287,350.00
44)	Amount subject to PS cap		
45)	State Allocation (line 1)		$11,645,252.00
46)	Program Income Received (line 5)		$0.00
47)	Adjustment to compute total subject to PS cap		$0.00
48)	Total subject to PS cap (sum of lines 45-47)		$11,645,252.00
49)	Percent of funds disbursed to date for PS (line 43 / line 48)		2.47%
E.	**Compliance with Planning and Administration (P/A) Cap**		
50)	Disbursed in IDIS for P/A from all fund types - Combined		$368,176.60
51)	Adjustment to compute total disbursed for P/A		$0.00
52)	Total disbursed for P/A (sum of lines 50 and 51)		$368,176.60

OMB Control No: 2506-0117 (exp. 06/30/2018)

53)	Amount subject to Combined Expenditure P/A cap	
54)	State Allocation (line 1)	$11,645,252.00
55)	Program Income Received (line 5)	$0.00
56)	Adjustment to compute total subject to P/A cap	$0.00
57)	Total subject to P/A cap (sum of lines 54-56)	$11,645,252.00
58)	Percent of funds disbursed to date for P/A (line 52 / line 57) Combined Cap	3.16%
59)	Disbursed in IDIS for P/A from Annual Grant Only	$368,176.60
60)	Amount subject the Annual Grant P/A cap	
61)	State Allocation	$11,645,252.00
62)	Percent of funds disbursed to date for P/A (line 59 / line 61) Annual Grant Cap	3.16%

Part II: Compliance with Overall Low and Moderate Income Benefit

63) Period specified for benefit: grant years 2018 – _____

64) Final PER for compliance with the overall benefit test: [**Yes**]

Metrics	Grant Year	2018
65)	Benefit LMI persons and households (1)	6,100,167.00
66)	Benefit LMI, 108 activities	0.00
67)	Benefit LMI, other adjustments	0.00
68)	Total, Benefit LMI (sum of lines 65-67)	6,100,167.00
69)	Prevent/Eliminate Slum/Blight	395,743.00
70)	Prevent Slum/Blight, 108 activities	0.00
71)	Total, Prevent Slum/Blight (sum of lines 69 and 70)	395,743.00
72)	Meet Urgent Community Development Needs	0.00
73)	Meet Urgent Needs, 108 activities	0.00
74)	Total, Meet Urgent Needs (sum of lines 72 and 73)	0.00
75)	Acquisition, New Construction, Rehab/Special Areas noncountable	0.00
76)	Total disbursements subject to overall LMI benefit (sum of lines 68, 71, 74, and 75)	6,495,910.00
77)	Low and moderate income benefit (line 68 / line 76)	0.94
74)	Other Disbursements	1.00
79)	State Administration	157,192.77
80)	Technical Assistance	0.00

OMB Control No: 2506-0117 (exp. 06/30/2018)

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Integrated Disbursement and Information System
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Performance and Evaluation Report
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Part I: Financial Status

A. Sources of State CDBG Funds

1)	State Allocation	$10,606,496.00
2)	Program Income	
3)	Program income receipted in IDIS	$0.00
3 a)	Program income receipted from Section 108 Projects (for SI type)	$0.00
4)	Adjustment to compute total program income	$0.00
5)	Total program income (sum of lines 3 and 4)	$0.00
6)	Section 108 Loan Funds	$0.00
7)	Total State CDBG Resources (sum of lines 1,5 and 6)	$10,606,496.00

B. State CDBG Resources by Use

8)	State Allocation	
9)	Obligated to recipients	$10,540,846.00
10)	Adjustment to compute total obligated to recipients	$0.00
11)	Total obligated to recipients (sum of lines 9 and 10)	$10,540,846.00
12)	Set aside for State Administration	$312,130.00
13)	Adjustment to compute total set aside for State Administration	$0.00
14)	Total set aside for State Administration (sum of lines 12 and 13)	$312,130.00
15)	Set aside for Technical Assistance	
16)	Adjustment to compute total set aside for Technical Assistance	~~$0.00~~ 106,
17)	Total set aside for Technical Assistance (sum of lines 15 and 16)	
18)	State funds set aside for State Administration match	~~$0.00~~ 212
19)	Program Income	
20)	Returned to the state and redistributed	
20 a)	Section 108 program income expended for the Section 108 repayment	
21)	Adjustment to compute total redistributed	$0.00

OMB Control No: 2506-0117 (exp. 06/30/2018)

22)	Total redistributed (sum of lines 20 and 21)	$0.00
23)	Returned to the state and not yet redistributed	$0.00
23 a)	Section 108 program income not yet disbursed	$0.00
24)	Adjustment to compute total not yet redistributed	$0.00
25)	Total not yet redistributed (sum of lines 23 and 24)	$0.00
26)	Retained by recipients	$0.00
27)	Adjustment to compute total retained	$0.00
28)	Total retained (sum of lines 26 and 27)	$0.00

C. Expenditures of State CDBG Resources

29)	Drawn for State Administration	$312,130.00
30)	Adjustment to amount drawn for State Administration	$0.00
31)	Total drawn for State Administration	$312,130.00
32)	Drawn for Technical Assistance	$0.00
33)	Adjustment to amount drawn for Technical Assistance	$0.00
34)	Total drawn for Technical Assistance	$0.00
35)	Drawn for Section 108 Repayments	$0.00
36)	Adjustment to amount drawn for Section 108 Repayments	$0.00
37)	Total drawn for Section 108 Repayments	$0.00
38)	Drawn for all other activities	$9,210,893.00
39)	Adjustment to amount drawn for all other activities	$0.00
40)	Total drawn for all other activities	$9,210,893.00

D. Compliance with Public Service (PS) Cap

41)	Disbursed in IDIS for PS	$259,188.00
42)	Adjustment to compute total disbursed for PS	$0.00
43)	Total disbursed for PS (sum of lines 41 and 42)	$259,188.00
44)	Amount subject to PS cap	
45)	State Allocation (line 1)	$10,606,496.00
46)	Program Income Received (line 5)	$0.00
47)	Adjustment to compute total subject to PS cap	$0.00
48)	Total subject to PS cap (sum of lines 45-47)	$10,606,496.00
49)	Percent of funds disbursed to date for PS (line 43 / line 48)	2.44%

E. Compliance with Planning and Administration (P/A) Cap

50)	Disbursed in IDIS for P/A from all fund types - Combined	$584,447.00
51)	Adjustment to compute total disbursed for P/A	$0.00
52)	Total disbursed for P/A (sum of lines 50 and 51)	$584,447.00
53)	Amount subject to Combined Expenditure P/A cap	

54)	State Allocation (line 1)	$10,606,496.00
55)	Program Income Received (line 5)	$0.00
56)	Adjustment to compute total subject to P/A cap	$0.00
57)	Total subject to P/A cap (sum of lines 54-56)	$10,606,496.00
58)	Percent of funds disbursed to date for P/A (line 52 / line 57) Combined Cap	5.51%
59)	Disbursed in IDIS for P/A from Annual Grant Only	$584,417.00
60)	Amount subject the Annual Grant P/A cap	
61)	State Allocation	$10,606,496.00
62)	Percent of funds disbursed to date for P/A (line 59 / line 61) Annual Grant Cap	5.51%

Part II: Compliance with Overall Low and Moderate Income Benefit

63) Period specified for benefit: grant years 2017

64) Final PER for compliance with the overall benefit test: [No] yes

OMB Control No: 2506-0117 (exp. 06/30/2018)

U.S. Department of Housing and Urban Development
Office of Community Planning and Development
Integrated Disbursement and Information System

Part I: Financial Status

A. Sources of State CDBG Funds

1)	State Allocation	$10,789,352.00
2)	Program Income	
3)	Program income receipted in IDIS	$0.00
3 a)	Program income receipted from Section 108 Projects (for SI type)	$0.00
4)	Adjustment to compute total program income	
5)	Total program income (sum of lines 3 and 4)	$0.00
6)	Section 108 Loan Funds	
7)	Total State CDBG Resources (sum of lines 1,5 and 6)	$10,789,352.00

B. State CDBG Resources by Use

8)	State Allocation	
9)	Obligated to recipients	$10,779,725.00
10)	Adjustment to compute total obligated to recipients	
11)	Total obligated to recipients (sum of lines 9 and 10)	$10,779,725.00
12)	Set aside for State Administration	$315,787.00
13)	Adjustment to compute total set aside for State Administration	
14)	Total set aside for State Administration (sum of lines 12 and 13)	$315,787.00
15)	Set aside for Technical Assistance	107893
16)	Adjustment to compute total set aside for Technical Assistance	
17)	Total set aside for Technical Assistance (sum of lines 15 and 16)	
18)	State funds set aside for State Administration match	215,78
19)	Program Income	
20)	Returned to the state and redistributed	
20 a)	Section 108 program income expended for the Section 108 repayment	
21)	Adjustment to compute total redistributed	

OMB Control No: 2506-0117 (exp. 06/30/2018)

22)	Total redistributed (sum of lines 20 and 21)	$0.00
23)	Returned to the state and not yet redistributed	$0.00
23 a)	Section 108 program income not yet disbursed	$0.00
24)	Adjustment to compute total not yet redistributed	
25)	Total not yet redistributed (sum of lines 23 and 24)	$0.00
26)	Retained by recipients	$0.00
27)	Adjustment to compute total retained	
28)	Total retained (sum of lines 26 and 27)	$0.00

C. Expenditures of State CDBG Resources

29)	Drawn for State Administration	$315,787.00
30)	Adjustment to amount drawn for State Administration	
31)	Total drawn for State Administration	$315,787.00
32)	Drawn for Technical Assistance	$0.00
33)	Adjustment to amount drawn for Technical Assistance	
34)	Total drawn for Technical Assistance	$0.00
35)	Drawn for Section 108 Repayments	$0.00
36)	Adjustment to amount drawn for Section 108 Repayments	
37)	Total drawn for Section 108 Repayments	$0.00
38)	Drawn for all other activities	$10,253,334.00
39)	Adjustment to amount drawn for all other activities	
40)	Total drawn for all other activities	$10,253,334.00

D. Compliance with Public Service (PS) Cap

41)	Disbursed in IDIS for PS	$820,325.00
42)	Adjustment to compute total disbursed for PS	
43)	Total disbursed for PS (sum of lines 41 and 42)	$820,325.00
44)	Amount subject to PS cap	
45)	State Allocation (line 1)	$10,789,352.00
46)	Program Income Received (line 5)	$0.00
47)	Adjustment to compute total subject to PS cap	
48)	Total subject to PS cap (sum of lines 45-47)	$10,789,352.00
49)	Percent of funds disbursed to date for PS (line 43 / line 48)	7.60%

E. Compliance with Planning and Administration (P/A) Cap

50)	Disbursed in IDIS for P/A from all fund types - Combined	$701,056.00
51)	Adjustment to compute total disbursed for P/A	
52)	Total disbursed for P/A (sum of lines 50 and 51)	$701,056.00
53)	Amount subject to Combined Expenditure P/A cap	

OMB Control No: 2506-0117 (exp. 06/30/2018)

54)	State Allocation (line 1)	$10,789,352.00
55)	Program Income Received (line 5)	$0.00
56)	Adjustment to compute total subject to P/A cap	
57)	Total subject to P/A cap (sum of lines 54-56)	$10,789,352.00
58)	Percent of funds disbursed to date for P/A (line 52 / line 57) Combined Cap	6.50%
59)	Disbursed in IDIS for P/A from Annual Grant Only	$701,056.00
60)	Amount subject the Annual Grant P/A cap	
61)	State Allocation	$10,789,352.00
62)	Percent of funds disbursed to date for P/A (line 59 / line 61) Annual Grant Cap	6.50%

Part II: Compliance with Overall Low and Moderate Income Benefit

63) Period specified for benefit: grant years 2016

64) Final PER for compliance with the overall benefit test: [Yes]

IDIS - PR28

U.S. Department of Housing and Urban Development
Office of Community Planning and Development
Integrated Disbursement and Information System
State of Maine
Performance and Evaluation Report
For Grant Year 2015
As of 01/15/2020

Grant Number B15DC230001

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Part I: Financial Status

A. Sources of State CDBG Funds

1)	State Allocation	$10,765,432.00
2)	Program Income	
3)	Program income receipted in IDIS	$0.00
3 a)	Program income receipted from Section 108 Projects (for SI type)	$0.00
4)	Adjustment to compute total program income	
5)	Total program income (sum of lines 3 and 4)	$0.00
6)	Section 108 Loan Funds	
7)	Total State CDBG Resources (sum of lines 1,5 and 6)	$10,765,432.00

B. State CDBG Resources by Use

8)	State Allocation	
9)	Obligated to recipients	$10,678,984.00
10)	Adjustment to compute total obligated to recipients	
11)	Total obligated to recipients (sum of lines 9 and 10)	$10,678,984.00
12)	Set aside for State Administration	$315,308.00
13)	Adjustment to compute total set aside for State Administration	
14)	Total set aside for State Administration (sum of lines 12 and 13)	$315,308.00
15)	Set aside for Technical Assistance	$107,654.00
16)	Adjustment to compute total set aside for Technical Assistance	
17)	Total set aside for Technical Assistance (sum of lines 15 and 16)	$107,654.00
18)	State funds set aside for State Administration match	215,308
19)	Program Income	
20)	Returned to the state and redistributed	
20 a)	Section 108 program income expended for the Section 108 repayment	
21)	Adjustment to compute total redistributed	

OMB Control No: 2506-0117 (exp. 06/30/2018)

22)	Total redistributed (sum of lines 20 and 21)	$0.00
23)	Returned to the state and not yet redistributed	$0.00
23 a)	Section 108 program income not yet disbursed	$0.00
24)	Adjustment to compute total not yet redistributed	
25)	Total not yet redistributed (sum of lines 23 and 24)	$0.00
26)	Retained by recipients	$0.00
27)	Adjustment to compute total retained	
28)	Total retained (sum of lines 26 and 27)	$0.00

C. Expenditures of State CDBG Resources

29)	Drawn for State Administration	$315,308.00
30)	Adjustment to amount drawn for State Administration	
31)	Total drawn for State Administration	$315,308.00
32)	Drawn for Technical Assistance	$107,654.00
33)	Adjustment to amount drawn for Technical Assistance	
34)	Total drawn for Technical Assistance	$107,654.00
35)	Drawn for Section 108 Repayments	$0.00
36)	Adjustment to amount drawn for Section 108 Repayments	
37)	Total drawn for Section 108 Repayments	$0.00
38)	Drawn for all other activities	$10,255,572.00
39)	Adjustment to amount drawn for all other activities	
40)	Total drawn for all other activities	$10,255,572.00

D. Compliance with Public Service (PS) Cap

41)	Disbursed in IDIS for PS	$150,000.00
42)	Adjustment to compute total disbursed for PS	
43)	Total disbursed for PS (sum of lines 41 and 42)	$150,000.00
44)	Amount subject to PS cap	
45)	State Allocation (line 1)	$10,765,432.00
46)	Program Income Received (line 5)	$0.00
47)	Adjustment to compute total subject to PS cap	
48)	Total subject to PS cap (sum of lines 45-47)	$10,765,432.00
49)	Percent of funds disbursed to date for PS (line 43 / line 48)	1.39%

E. Compliance with Planning and Administration (P/A) Cap

50)	Disbursed in IDIS for P/A from all fund types - Combined	$705,027.00
51)	Adjustment to compute total disbursed for P/A	
52)	Total disbursed for P/A (sum of lines 50 and 51)	$705,027.00
53)	Amount subject to Combined Expenditure P/A cap	

OMB Control No: 2506-0117 (exp. 06/30/2018)

54)	State Allocation (line 1)	$10,765,432.00
55)	Program Income Received (line 5)	$0.00
56)	Adjustment to compute total subject to P/A cap	
57)	Total subject to P/A cap (sum of lines 54-56)	$10,765,432.00
58)	Percent of funds disbursed to date for P/A (line 52 / line 57) Combined Cap	6.55%
59)	Disbursed in IDIS for P/A from Annual Grant Only	$705,027.00
60)	Amount subject the Annual Grant P/A cap	
61)	State Allocation	$10,765,432.00
62)	Percent of funds disbursed to date for P/A (line 59 / line 61) Annual Grant Cap	6.55%

Part II: Compliance with Overall Low and Moderate Income Benefit

63) Period specified for benefit: grant years 2015

64) Final PER for compliance with the overall benefit test: [yes

2019 AI Results

MaineHousing submits the following action plan to address impediments identified in its Analysis of Impediments to Fair Housing.

Impediment 1: Lack of Affordable Housing			
Action	**Measurable Objective**	**Timeline**	**Responsible Department**
1.1 Data collection and analysis Identify areas of highest need to prioritize multifamily housing placement	The number of new multifamily housing units for elderly The number of new multifamily housing units for families	Annually	CPD
Results: Elderly units: 30 new and 431 preserved in 2019, for a total of 9,345 Family Units: 192 new and 124 preserved in 2019, for a total of 7,585			
1.2 Data collection and analysis Assess the need for maintaining the level of funding for affordable housing	Changes (increase/decrease) in funding available for programs The number of external requests for data and analysis	Annually	CPD
Results: Program funding has remained level for the last several years, with the exception that State HomeFunds were increased in 2017. MaineHousing needs analysis shows that demand far outweighs supply. The number of external requests for data and analysis was more than 50.			
1.3 Data collection and analysis Conduct local community housing assessments upon request	The number of requests received and analyses completed	Upon Request	CPD
Results: Three requests for local analysis were received through MaineHousing's research unit. MaineHousing provided housing data to more than 50 unique individuals or organizations in 2019 directly over the phone or through e-mail.			
1.4 Data collection and analysis	The number of website hits to Housing Facts site	Annually	CPD

OMB Control No: 2506-0117 (exp. 06/30/2018)

Impediment 1: Lack of Affordable Housing			
Action	**Measurable Objective**	**Timeline**	**Responsible Department**
Publish housing facts and organize data to assist external partners conducting affordable housing related research	The number of website hits to the research site		
Results: During 2019, the Housing Data page on the MaineHousing website received 3,531 hits and the Policy and Research section of the MaineHousing website received 8,363 hits.			
1.5 Program Design Allocate low-income housing tax credits (LIHTC) and funding for new construction and preservation of affordable and mixed-income multifamily housing in Maine	The number of new affordable units and number of affordable units preserved	Annually	AM/DEV/ CPD
Results: In 2019 we provided funding to 6 multi-family projects for significant physical plant improvements that impacted 104 units (preservation of affordable housing). In addition, MaineHousing provided funding to 5 projects for necessary repairs to preserve the projects which serve a total of 32 individuals from various targeted special needs populations (preservation of affordable supportive housing). MaineHousing funded the new construction of 320 units with LIHTC in 2019. An additional 100 were preserved with LIHTC.			
1.6 Program Design Require deeper affordability than federal minimum affordability for LIHTC and tax-exempt bonds	The percentage and number of units awarded LIHTC and funding that are targeted at or below 50% of AMI	Annually	DEV
Results: 151 new Units (48%) are targeted at or below 50% of AMI.			
1.7 Program Design Offer subsidy for affordable units to incent developers to provide more affordable units than required by the program, and allow developers to use other sources to provide deeper	The number of affordable units awarded LIHTC with affordability periods beyond the federally-required minimum and the program-required minimum	Annually	DEV

OMB Control No: 2506-0117 (exp. 06/30/2018)

Impediment 1: Lack of Affordable Housing			
Action	**Measurable Objective**	**Timeline**	**Responsible Department**
affordability in lieu of reducing MaineHousing's subsidy			
Results: To clarify, the objective is the number of affordable units awarded LIHTC with deeper affordability than the federally-required minimum and the program-required minimum. Of the affordable LIHTC units created or preserved, 151 units provide additional affordability beyond the federal and program-required minimums.			
1.8 Program Design Require longer period of affordability than required under the federally-required minimum affordability period	The number of units awarded LIHTC that are required to be affordable beyond the federally-required minimum affordability period	Annually	DEV
Results: 420 units were awarded LIHTC with affordability periods beyond the federally required minimum in 2019.			
1.9 Homebuyer Assistance Maintain or increase the difference between MaineHousing's lower interest rate relative to the average bank rate for low and moderate income homebuyers	Change in yearly differential in MaineHousing interest rate compared to market rate	Annually	HO
Results: Market interest rates remained relatively stable during 2019, with only slight fluctuations up or down. MaineHousing maintained its base interest rate between 25 - 50 basis points below market rates through the year.			
1.10 Homebuyer Assistance Provide down payment assistance to qualified homebuyers	The number of buyers receiving down payment assistance	Annually	HO
Results: 1,072 MaineHousing borrowers utilized the Advantage down payment assistance option, representing 96% of all 2019 loans made within the MaineHousing First Home Loan program.			
1.11 Homeowner Assistance Preserve existing affordable single family homes by	The number of low-income households assisted	Annually	EHS

OMB Control No: 2506-0117 (exp. 06/30/2018)

Impediment 1: Lack of Affordable Housing			
Action	**Measurable Objective**	**Timeline**	**Responsible Department**
providing grants and no interest loans to low-income households to make repairs and improvements			
Results: 5 low-income households were assisted with Mobile Home Replacements, 18 low-income households were assisted with Mobile Home Repairs, 39 low-income households received Accessibility assistance, and 153 low-income households were assisted by the Home Repair program in 2019.			
1.12 Education and Outreach Distribute materials on affordable housing and fair housing at conferences, workshops, and other appropriate public venues	The number of events at which these materials are distributed The number of brochures and other materials distributed	Ongoing	HO
Results: Affordable housing & fair housing materials & resources were distributed at 11 events, to 1,900 conference, workshop, and outreach event attendees.			
1.13 Education and Outreach Publish data to educate the public on regional change and variations in home ownership and rental affordability	The number of website hits to Housing Facts site	Ongoing	CPD
Results: The Housing Data page on the MaineHousing website received 3,531 hits between 1/1/2019 and 12/31/2019.			
1.14 Education and Outreach Sponsor a conference for the affordable housing industry in the State (the Affordable Housing Conference) every other year.	The number in attendance	Biannually	DIR
Results: 450 people attended the 2019 Affordable Housing Conference.			
1.15 Evaluation Examine MaineHousing Programs and Services to determine their success in	The number of programs that achieve performance goals	Annually	CPD

OMB Control No: 2506-0117 (exp. 06/30/2018)

Impediment 1: Lack of Affordable Housing			
Action	**Measurable Objective**	**Timeline**	**Responsible Department**
addressing the lack of affordable housing			
Results: Seven programs achieved performance goals in 2019.			
1.16 Evaluation Review Affirmative Fair Housing Marketing Plans for new and existing multi-family housing.	Number of plans reviewed.	Once upon project review and then every 1 to 3 years depending on the type of project	AM
Results: MaineHousing completed reviews of 28 projects funded with HOME and/or match. In addition, 6 new projects submitted the AFHMP for review prior to leasing activity.			

Impediment 2. Racial, Ethnic and Cultural Barriers			
Action	**Measurable Objective**	**Timeline**	**Responsible Department**
2.1 Assess Maine's effectiveness in reducing racial, ethnic and cultural barriers to housing Examine data on: • incomes of minority populations • changes in the concentration of minority populations in Maine's Census tracts • Research loan origination data for patterns related to race	Summary report shared with MaineHousing program directors and the public	Annually	CPD

OMB Control No: 2506-0117 (exp. 06/30/2018)

Impediment 2. Racial, Ethnic and Cultural Barriers			
Action	**Measurable Objective**	**Timeline**	**Responsible Department**
Results: An analysis of Maine's racial and ethnic group's income, concentrations and home loan activities was completed in 2019. The analysis for 2019 will be completed in early 2020.			
2.2 Ensure program information provided by MaineHousing is available in alternative languages.	The number of website hits to the translation tool Number of language translation services requested Use of Language Line	Ongoing	CPD /HCV
Results: In 2019, 17 consumers utilized Language Line in 4 Languages: Arabic (1), French (3), Vietnamese (1) and Spanish (12). 9 of these calls were directly related to MaineHousing programs and 8 (all Spanish) were not. The translation tool on the MaineHousing.org website was used 50 times during 2019.			
2.3 Provide Fair Housing information and resource notice with housing information to clients. The notice contains fair housing, legal disabilities rights and LEP resources and is included in application packages used by Homeless Initiatives and the Housing Choice Voucher team.	The number of notices sent	Ongoing	HI/HCV
Results: 956 HCV Briefing packets (including notices on Fair Housing) were sent to clients. The Equal Housing Disclaimer is also noted on the Welcome Page of the Maine Centralized Section 8/HCV Waiting List. 171 Fair Housing notices were provided to households while being given the STEP Coupon briefing**.**			
2.4 Coordinate and fund tenant education and financial literacy training for Navigators who in turn deliver financial literacy training for individuals transitioning from homeless shelters to permanent housing.	The number of navigators trained The number of clients trained	Annually	HI
Results:			

OMB Control No: 2506-0117 (exp. 06/30/2018)

Impediment 2. Racial, Ethnic and Cultural Barriers			
Action	**Measurable Objective**	**Timeline**	**Responsible Department**
The number of navigators trained: 72 The number of clients trained: 3460			
2.5 Coordinate and fund Fair Housing Workshops for immigrants and refugees in Lewiston and Portland. Through the use of headsets, interpreters translate the presenter's remarks and printed literature is made available in different languages.	The number of workshops and immigrants/refugees trained	Annually	HO
Results: MaineHousing did not coordinate or fund any Fair Housing Workshops in 2019 due to program transition and staff turnover. MaineHousing did support Fair Housing efforts by providing funding for homebuyer education classes, housing counseling, as well as attending outreach opportunities and providing information related to Fair Housing resources at industry events.			
2.6 Fund English as a Second Language financial literacy group education and one-on-one counseling for individuals who are not proficient in the English language.	Amount of funding and number of groups and individuals counseled	Annually	HO
Results: ProsperityME (formerly Community Financial Literacy), serving the immigrant community, received $26,185 in State Home funding, which supported 18 financial capabilities classes and provided 18 hours of one-on-one housing counseling for 195 individuals. Four Directions Development Corporation, serving the the Native American population, received $11,515 in State Home funding, which supported three in-person financial capabilities education and 342 hours of one-on-one housing counseling services for 88 individuals.			
2.7 Fund training to increase the financial expertise of housing counselors that offer English as a Second Language financial literacy group education in Portland and Lewiston.	Amount of funding and number of counselors trained	Annually	HO

OMB Control No: 2506-0117 (exp. 06/30/2018)

Impediment 2. Racial, Ethnic and Cultural Barriers			
Action	**Measurable Objective**	**Timeline**	**Responsible Department**
Results: MaineHousing did not receive any requests to fund or provide specific training to educators that offer English as a Second Language education and counseling. Funds made available to ProsperityME were not utilized this year.			

OMB Control No: 2506-0117 (exp. 06/30/2018)

Impediment 3. Community Planning and Zoning Decisions that Impede Affordable Housing			
Action	**Measurable Objective**	**Timeline**	**Responsible Department**
3.1 Collaborate with local housing authorities, municipalities, Maine Municipal Association and area planning agencies	The number of requests for assistance	As Needed	CPD
MaineHousing assisted three municipal planners working on the housing portion of their community Comprehensive Plans. MaineHousing is working with another municipality to develop a 4-unit affordable housing project through MaineHousing's new Community Solutions Program.			
3.2 Attend local zoning and planning board meetings to educate members about fair housing laws upon request	The number of meetings Number of requests made and number of presentations delivered	As Needed	LEGAL/All Departments/ CPD??
Results: There were no requests or presentations made in 2019.			
3.3 Develop materials designed to educate the public on the multiple benefits affordable housing can bring to each and every community	Materials Developed	2016	CPD
Results: The following materials were developed in 2019, and use by interested parties has continued to grow. These materials are available on the MaineHousing.org website under the Policy & Research section. Affordability Index and Housing Facts Housing Profile on Energy Use Interactive Maps for Congressional Districts, State Senate Districts and counties showing the distribution of households served in each geography. An economic impact analysis of LIHTC projects for 2017-18.			

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3.4 Upon request, review local and regional (AI) Analysis of Impediments	Number of requests fulfilled	As Needed	CPD
Results: No local or regional AIs reviews were requested in 2019.			
3.5 Support affordable housing projects against NIMBY efforts (discrimination by communities or neighbors) as necessary, to preserve the housing	Number of projects experiencing NIMBYism and number supported by MaineHousing	Ongoing	LEGAL/CPD
Results: None			

Impediment 4. Lack of Availability and Access to housing for disabled individuals			
Action	**Measurable Objective**	**Timeline**	**Responsible Department**
4.1 Create accessible units	Number of accessible units created	Annually	DEV
Results: 105 accessible units were funded in 2019.			
4.2 The QAP incents housing developers to provide more accessible units than required under state and federal law	Number of additional accessible units created	Annually	DEV
Results: 60 of the accessible units funded in 2019 were additional units not required by state and federal law.			
4.3 Educate developers and landlords about accessibility requirements	Number of developers and landlords reached with information	Ongoing	AM/DEV/ HCV/EHS
Results: A total of 20 landlords participated in a Landlord Lunch and Learn forum in 2019 in Augusta Maine where information on accessibility was provided. MaineHousing had no projects undergoing rehab in 2019 that needed information on accessibility requirements or required upgrades to meet federal requirements. 11 developers were reached with accessibility requirement information in 2019.			

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Impediment 4. Lack of Availability and Access to housing for disabled individuals			
Action	**Measurable Objective**	**Timeline**	**Responsible Department**
4.4 Fund home retro program to make single family homes accessible	Number of homes retrofitted	Annually	EHS/CPD
Results: The Accessibility Program assisted 39 households, and the Community Aging in Place Program assisted 258 homes.			
4.5 Continue to encourage the use of MainehousingSearch.org to identify accessible units	Number flagged for accessibility Hits on mainehousingsearch.org	Ongoing	HCV/HI/ CPD
Results: The number of units flagged for accessibility is a point in time number. As of 12/31/2019, there were a total of 19,036 units, of which 195 were available. The number of units flagged as accessible totaled 1,506, of which 12 were available. During 2019, there were 70,586 searches and 280,000page views on MaineHousingSearch.org. 956 HCV Briefing packets (including notices on Fair Housing and MaineHousingSearch) were sent to clients. Use of Mainehousingsearch.org was encouraged as a resource for all Homeless Initiative clients - 171 households were given the resource directly while being given the STEP Coupon briefing.			
4.6 Research and track the need for accessible units based on the concentration of people with disabilities	Research completed		CPD
Results: The research is complete and there are 0 REACs in Maine using HUD's definition as shown on the AFFH tool.			
4.7 Collaborate with members of the Statewide Independent Living Council to move individuals with disabilities from institutions to independent living	Number of homeward bound vouchers Number of individuals with disabilities achieving independence	Ongoing	HCV
Results: There were no individuals leased up in 2019 through the Homeward Bound Voucher Program.			

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Impediment 4. Lack of Availability and Access to housing for disabled individuals			
Action	**Measurable Objective**	**Timeline**	**Responsible Department**
4.8: Continue to utilize Maine Relay 711 for the hearing impaired.	The number of Maine relay 711 calls	Ongoing	FAC
Results: MaineHousing uses Maine relay 711 as needed, and we estimate the service was used a minimum of 6 times in 2019**.**			
4.9 MaineHousing's website, www.mainehousing.org, is accessible to persons with disabilities.	The number of hits to accessibility information pages	Ongoing	CPD
Results: We have accessibility information included in our privacy policy on the MaineHousing webpage. This policy received 97 hits between during calendar year 2019.			

Impediment 5. Limited access to neighborhood opportunities and community assets			
Action	**Measurable Objective**	**Timeline**	**Responsible Department**
5.1 Conduct an economic impact study of housing in Maine	Number published and distributed	2016	CPD
Results: MaineHousing will be publishing a new Economic Impact Study in the second quarter of 2021.			
5.2 Utilize selection criteria in the LIHTC Qualified Allocation Plan to incent the development of affordable housing in high-opportunity areas Remove inherent disincentives for locating affordable housing in high-opportunity areas (municipal approval not required other than applicable zoning approvals and entire State is designated as difficult-to-develop area to eliminate the	The number of projects awarded LIHTC that are located in high-opportunity areas	Annually	DEV

Impediment 5. Limited access to neighborhood opportunities and community assets			
Action	**Measurable Objective**	**Timeline**	**Responsible Department**
economic incentive to locate affordable housing in QCTs).			
Results: 9 LIHTC projects were funded in high opportunity areas in 2019.			
5.3 Qualified Allocation Plan Require a preference to be given to persons on a Section 8 or public housing waiting list in all units in LIHTC projects that are not covered by RD or Section 8 project-based rental assistance	The number of projects awarded LIHTC that are located in high-opportunity areas	Annually	DEV
Results: To clarify, the objective is misstated: It should be the number of LIHTC projects that give a preference to persons on a section 8 or public housing waiting list. 9 LIHTC projects gave preference to persons on a Section 8 or public housing waiting list.			
5.4 Qualified Allocation Plan Incent the development of new housing in areas with access to community assets (location in service center communities with higher need and location near public transportation, schools, employment, services and other amenities important to daily living)	The number of projects awarded LIHTC that are located in service center communities based on need and location near community assets	Annually	DEV
Results: 9 LIHTC projects were located in service center communities in 2019.			
5.5 Qualified Allocation Plan Incent the development of affordable housing in areas where the differential between the maximum LIHTC rent and the market rent is higher	The LIHTC/market rent differential for projects awarded LIHTC	Annually	DEV

Impediment 5. Limited access to neighborhood opportunities and community assets			
Action	**Measurable Objective**	**Timeline**	**Responsible Department**
	(*To clarify, the objective is the number of LIHTC projects in areas where the market rent exceeds the LIHTC rent.)*		
Results: Of the 9 LIHTC projects funded in 2019, 5 were awarded points for being located in areas where the market rent is at least 20% higher than the LIHTC rent. The other 4 LIHTC projects are located in areas where the market rent is higher than the LIHTC rent although they were not funded under programs that incented it.			
5.6 Qualified Allocation Plan Encourage economic diversity by incenting the development of new affordable housing in higher income areas and mixed-income housing in qualified census tracts	The number of projects awarded LIHTC that are located areas with median family incomes higher than the state. The number of mixed-income projects awarded LIHTC that are located in QCTs.	Annually	DEV
Results: 4 LIHTC projects were located in high-income areas in 2019 and 0 mixed-income projects were located in QCTs.			
5.7 Design a Tenant Incentive Program designed to assist lower income tenants to move into areas where public transportation is more readily available	Program developed	2016	HCV
Results: Five households participated in the new Security Deposit Program in 2019 offering landlords in low poverty areas security deposit funds up to $1000.			
5.8 Continue to provide e-HomeAmerica as an on-line option for home buyer education.	% of homebuyers who utilize eHomeAmerica on-line.	Ongoing	HO
Results: Between October 1, 2018 and September 30, 2019, 1,191 individuals completed eHomeAmerica's homebuyer education course online and a one-hour, one-on-one post course educational session with a housing counselor.			

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Impediment 5. Limited access to neighborhood opportunities and community assets			
Action	**Measurable Objective**	**Timeline**	**Responsible Department**
5.9 Continue to sponsor homeownership education classes that contain information about Fair Housing laws that are relevant to prospective home buyers.	Number of participants in home buyer education classes.	Ongoing	HO
Results: Between Oct. 1, 2018 and Sept. 30, 2019, 1,474 individuals completed an in-person homebuyer education class sponsored by MaineHousing. The hoMEworks class curriculum requires instructors to address Fair Housing and Fair Lending.			
5.10 Utilize existing conferences and workshops to educate relevant professionals about fair housing law and enforcement	Number of relevant professionals educated Number of staff trained	Ongoing	HO/HI/HCV/CPD
Results: 29 HCV Department staff completed a Fair Housing Training in 2019 at MaineHousing. 9 Homeless Initiatives Department staff completed Fair Housing Training in 2019. MaineHousing Hosted a 2 hour Fair Housing Workshop for Shelter Navigators and Shelter Directors on 11/14/19. The information was presented by Pine Tree Legal Assistance (PTLA). This event occurred as part of the Homeless Initiatives annual Navigator training, attended by 115 participants. The Homeownership Department provided 3,150 professionals with access to Fair Housing materials at 15 events statewide in 2019.			

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Impediment 6. Lack of Understanding of Fair Housing and Affirmatively Furthering Fair Housing			
Action	**Measurable Objective**	**Timeline**	**Responsible Department**
6.1 Develop education materials aimed at reducing the number of discriminatory advertisements made by landlords	Number of information pieces distributed including newsletters and brochures	Ongoing	CPD/LEGAL/HCV
Results: MaineHousing is in the process of developing these materials.			
6.2 Partner with associations focused on human rights as it pertains to fair housing	Number of joint initiatives	Ongoing	HO and Program Directors
Results: MaineHousing promoted a series of 3-hour Fair Housing Seminars workshops to educate the public about fair housing law and discrimination in housing sponsored by the Maine Human Rights Commission, Pine Tree Legal Assistance, and Disability Rights Maine. The 2019 seminars were held January 14 in Bangor, April 10 in Augusta, April 12 in Portland, and April 29 in Ellsworth. MaineHousing forwarded the promotional flyers for these events to both large and small multifamily **property** managers and owners across the state.			
6.3 Coordinate fair housing complaint resolution with partners	Number of fair housing interventions	Ongoing	LEGAL
Results: One intervention occurred in 2019.			
6.4 Continue fair housing public education programs designed to assist landlords, builders, and relevant professionals	Number of relevant professionals receiving training	Ongoing	DEV/HCV/HO/AM/HI
Results: Upon learning the Human Rights Commission, Pine Tree Legal Assistance and Disability Rights Maine were planning to hold regional Fair Housing Workshops for landlords and property managers workshops during the months of January and April of April 2019, MaineHousing opted not to offer competing Fair Housing Workshops, and instead volunteered to help promote the Human Rights Commission sponsored workshops.			

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Impediment 6. Lack of Understanding of Fair Housing and Affirmatively Furthering Fair Housing			
Action	**Measurable Objective**	**Timeline**	**Responsible Department**
Asset Management continued to promote these workshops in 2019 by sending out the workshop information in the MaineHousing Asset Management newsletters – January and April. Refer to 6.2: Partner with associations focused on human rights as it pertains to fair housing. Educational material continues to be available on MaineHousing's website and HCV will continue to include in the HCV Landlord Newsletters in 2020. MaineHousing Homeless Initiatives Monitors reviewed the Fair Housing Policies of 36 ESHAP grantee agencies to ensure compliance.			
6.5 Maintain MaineHousing's Fair Housing website page which includes information and resources about fair housing and equal access laws.	Number of website hits on the Fair Housing page.	Ongoing	CPD
Results: The Fair Housing page on our MaineHousing.org website received 1,232 hits between 1/1/2019 and 12/31/2019.			
6.6 Continue to administer the HUD Housing Counseling Grant	Number of individuals or families counseled	Ongoing	HO
Results: Between Oct. 1, 2018 and Sept. 30, 2019, 34 individuals received HUD grant funded housing and/or financial literacy education or counseling services.			
6.7 Continue to provide MaineHousing's comprehensive Communications Resource Guide to employees, contractors, agents, and owners/property managers of multi-family projects.	Number of guides distributed./Website hits	Ongoing	LEGAL/AM/CPD

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Impediment 6. Lack of Understanding of Fair Housing and Affirmatively Furthering Fair Housing			
Action	**Measurable Objective**	**Timeline**	**Responsible Department**
Results: The Communication Resource Guide was added to the MaineHousing website on the Asset Management page as a resource to partners under "resources to Assist with Equal Access". The Guide received no hits between 1/1/2019 and 12/31/2019. The Communication Resource Guide is posted on the Intranet for use by Departments with their partners and for employees.			
6.8 MaineHousing refers fair housing complaints to appropriate fair housing and equal access agencies in the State.	Number of complaints referred.	Ongoing	LEGAL
Results: No referrals were documented in 2019.			
6.9 MaineHousing has an internal grievance procedure for applicants and participants to file fair housing complaints about programs and services.	Number of internal grievances resolved.	Ongoing	LEGAL
Results: There were no internal grievances in 2019.			
6.10 Continue to mediate and resolve applicant and landlord/tenant issues through Community Mediation Services	Number of referrals	Ongoing	HCV
Results: There were no referrals made to tenants for community mediation due to landlord/tenant issues in 2019.			
6.11 Continue to distribute MaineHousing's Rental Housing Guide.	Number of guides distributed. Number of Rental Housing Guide views on the website.	Ongoing	HCV/CPD
Results: The Rental Housing Guide continues to be distributed statewide upon request. The Rental Housing Guide was viewed on MaineHousing website 527 times.			
6.12 MaineHousing program documents and contracts include language requiring sub-recipients of MaineHousing funding to	Number of documents that don't comply.	Ongoing	LEGAL

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Impediment 6. Lack of Understanding of Fair Housing and Affirmatively Furthering Fair Housing			
Action	**Measurable Objective**	**Timeline**	**Responsible Department**
adhere to Fair Housing and Civil Rights laws and regulations.			
Results: We have no documents to report.			
6.13 Encourage employees and staff working on behalf of MaineHousing to attend local education and training events on the topics of fair housing and equal access.	Number of training events attended.	Ongoing	CPD/ Department Directors
Results: See response to Actions 2.1 and 5.1.			

OMB Control No: 2506-0117 (exp. 06/30/2018)

Sage HMIS REPORTING REPOSITORY

HUD ESG CAPER FY2020

Grant: **ESG: Maine Nonentitlement - ME - Report** Type: **CAPER**

Report Date Range

1/1/2019 to 12/31/2019

Q01a. Contact Information

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Middle name	M
Last name	Weber
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Q01b. Grant Information

ESG Information from IDIS

As of 3/6/2020

Fiscal Year	Grant Number	Current Authorized Amount	Total Drawn	Balance	Obligation Date	Expenditure Deadline
2019	E19DC230001	$1,352,454.00	$1,291,630.20	$60,823.80	7/10/2019	7/10/2021
2018	E18DC230001	$1,303,506.00	$1,303,506.00	$0	8/22/2018	8/22/2020
2017	E17DC230001	$1,311,285.00	$1,311,285.00	$0	9/22/2017	9/22/2019
2016	E16DC230001	$1,322,743.00	$1,322,743.00	$0	7/22/2016	7/22/2018
2015	E15DC230001	$1,329,400.00	$1,329,400.00	$0	6/24/2015	6/24/2017
2014	E14DC230001	$1,235,790.00	$1,235,790.00	$0	6/4/2014	6/4/2016
2013	E13DC230001	$1,051,868.00	$1,051,868.00	$0	8/9/2013	8/9/2015
2012						
2011						
Total		$8,907,046.00	$8,846,222.20	$60,823.80		

CAPER reporting includes funds used from fiscal year:

Project types carried out during the program year:

Enter the number of each type of projects funded through ESG during this program year.

Street Outreach	0
Emergency Shelter	36
Transitional Housing (grandfathered under ES)	0
Day Shelter (funded under ES)	0
Rapid Re-Housing	36
Homelessness Prevention	0

Q01c. Additional Information

HMIS

Comparable Database

Are 100% of the project(s) funded through ESG, which are allowed to use HMIS, entering data into HMIS?	Yes
Have all of the projects entered data into Sage via a CSV - CAPER Report upload?	Yes
Are 100% of the project(s) funded through ESG, which are allowed to use a comparable database, entering data into the comparable database?	Yes
Have all of the projects entered data into Sage via a CSV - CAPER Report upload?	Yes

Q04a: Project Identifiers in HMIS

Organization Name	Organization ID	Project Name	Project ID	HMIS Project Type	Method for Tracking ES	Affiliated with a residential project	Project IDs of affiliations	CoC Number	Geocode	Victim Service Provider	HMIS Software Name	Report Start Date	Report End Date	CSV Exception?	Uploaded via emailed hyperlink?
Western Maine Homeless Outreach	888	Western Maine Homeless Outreach - Emergency Shelter	889	1	0			ME-500	239007	0	ServicePoint	2019-01-01	2019-12-31	No	Yes
Next Step Domestic Violence Project	Next Step	Next Step	Next Step	1	0	0	Next Step	ME-500	239029	0	EmpowerDB	2019-01-01	2019-12-31	No	Yes
Through These Doors	Through These Doors	Emergency Shelter	Emergency Shelter	1	0	0	Emergency Shelter	ME-500	232484	0	EmpowerDB	2019-01-01	2019-12-31	No	Yes
Knox/Waldo Homeless Coalition	602	Knox/Waldo Homeless Coalition - Hospitality House	838	1	0			ME-500	239013	0	ServicePoint	2019-01-01	2019-12-31	No	Yes
Family Violence Project 4/1/19 to 6/30/19	2468	Somerset Shelter	12345	1	0	0	0	ME 500	239025	1	EmpowerDB	2019-01-01	2019-12-31	No	Yes
Family Violence Project 7/1/18 to 6/30/19	1234	Kennebec Shelter	5678	1	0	0	0	ME 500	239011	1	EmpowerDB	2019-01-01	2019-12-31	No	Yes
YCSPI - Family Emergency Shelter	990	YCSPI - Family Emergency Shelter	990	1	0			ME-500	239031	0	ServicePoint	2019-01-01	2019-12-31	No	Yes
York County Shelter Programs, Inc.	122	YCSPI - York County Adult Shelter	123	1	0			ME-500	239031	0	ServicePoint	2019-01-01	2019-12-31	No	Yes
City of Portland	231	City of Portland - Family Shelter	234	1	0			ME-500,ME-502	232484,232484	0	ServicePoint	2019-01-01	2019-12-31	No	Yes
HOME Inc	23	Home Inc - Emmaus Homeless Shelter (ES)	22	1	0			ME-500	239009	0	ServicePoint	2019-01-01	2019-12-31	No	Yes
BAHS - Bangor Area Homeless Shelter Inc	295	BAHS - Emergency Shelter	19	1	0			ME-500	230162	0	ServicePoint	2019-01-01	2019-12-31	No	Yes
City of Portland	231	City of Portland - Oxford Street Shelter	232	1	0			ME-500,ME-502	232484,232484	0	ServicePoint	2019-01-01	2019-12-31	No	Yes
City of Portland	231	Z_(CLOSED - 10/01/2019) City of Portland - Oxford Street Night-by-Night (NBN)	1043	1	3			ME-500	232484	0	ServicePoint	2019-01-01	2019-12-31	No	Yes
Mid-Maine Homeless Shelter Inc	300	Mid-Maine Homeless Shelter - Emergency Shelter	25	1	0			ME-500	239011	0	ServicePoint	2019-01-01	2019-12-31	No	Yes
Tedford Housing	299	Tedford - Adult Shelter	30	1	0			ME-500	239005	0	ServicePoint	2019-01-01	2019-12-31	No	Yes
Tedford Housing	299	Tedford - Family Shelter	60	1	0			ME-500	239005	0	ServicePoint	2019-01-01	2019-12-31	No	Yes
New Beginnings Inc.	95	New Beginnings Inc. - Emergency Shelter	110	1	0			ME-500	239001	0	ServicePoint	2019-01-01	2019-12-31	No	Yes
Partners for Peace	259	Partners for Peace Dv Shelter	1	1	0	0	0	ME-500	230162	0	EmpowerDB	2019-01-01	2019-12-31	No	Yes
Safe Voices	(DV)(248)	Annie Pearl	(DV)()	1	0	1	(DV)(271)	ME-500	230120	1	EmpowerDB	2019-01-01	2019-01-30	No	Yes
Penobscot Community Health Center Inc	479	PCHC Hope House - Emergency Shelter	480	1	0			ME-500	239019	0	ServicePoint	2019-01-01	2019-12-31	No	Yes
Shaw House	70	Shaw House - Emergency Youth Shelter	72	1	0			ME-500	230162	0	ServicePoint	2019-01-01	2019-12-31	No	Yes
Preble Street	57	Preble Street - Florence House ES	1023	1	0			ME-500	232484	0	ServicePoint	2019-01-01	2019-12-31	No	Yes
Preble Street	57	Preble Street - Joe Kreisler Teen Shelter	58	1	0			ME-500,ME-502	232484,232484	0	ServicePoint	2019-01-01	2019-12-31	No	Yes
Homeless Services of Aroostook Inc	303	HSA - Aroostook Bridge (ES)	1090	1	0			ME-500	239003	0	ServicePoint	2019-01-01	2019-12-31	No	Yes

Organization Name	Organization ID	Project Name	Project ID	HMIS Project Type	Method for Tracking ES	Affiliated with a residential project	Project IDs of affiliations	CoC Number	Geocode	Victim Service Provider	HMIS Software Name	Report Start Date	Report End Date	CSV Exception?	Uploaded via emailed hyperlink?
Homeless Services of Aroostook Inc	303	HSA - Sister Mary O'Donnell Shelter	24	1	0			ME-500	239003	0	ServicePoint	2019-01-01	2019-12-31	No	Yes
Caring Unlimited	000	Audrey's House	000	1	0	0	0	ME-500	239031	1	EmpowerDB	2019-01-01	2019-12-31	No	Yes
Bread of Life Ministries	20	Bread of Life - Emergency Shelter	42	1	0			ME-500	239011	0	ServicePoint	2019-01-01	2019-12-31	No	Yes
RGH - Rumford Group Homes, Inc	27	RGH - Norway Family Center	201	1	0			ME-500	239017	0	ServicePoint	2019-01-01	2019-12-31	No	Yes
RGH - Rumford Group Homes, Inc	27	RGH - Rumford Family Center Monier	977	1	0			ME-500	239017	0	ServicePoint	2019-01-01	2019-12-31	No	Yes
RGH - Rumford Group Homes, Inc	27	RGH - Rumford Family Center Shelter	473	1	0			ME-500	239017	0	ServicePoint	2019-01-01	2019-12-31	No	Yes
HOME Inc	23	Home Inc - Sister Marie House Emergency Shelter	768	1	0			ME-500	239009	0	ServicePoint	2019-01-01	2020-01-01	No	Yes
HOME Inc	23	Home Inc - St Francis Inn	119	1	0			ME-500	239009	0	ServicePoint	2019-01-01	2020-01-01	No	Yes
HOME Inc	23	Home Inc - Dorr House Emergency Shelter	63	1	0			ME-500	239009	0	ServicePoint	2019-01-01	2020-01-01	No	Yes
Hope and Justice Project	Hope and Justice Project	Central Aroostook Shelter	MSH-SHL1-522	1	0	0	0	ME-500	239003	1	EmpowerDB	2019-01-01	2019-12-31	No	Yes
Hope and Justice Project	Hope and Justice Project	Northern Aroostook Shelter	SHP-1157	1	0	0	0	ME-500	239003	1	EmpowerDB	2019-01-01	2019-12-31	No	Yes
Hope and Justice Project	HJP3	Southern Aroostook Shelter	SHLT-550	1	0	0	1	ME-500	239003	1	EmpowerDB	2019-01-01	2019-12-31	No	Yes
Milestone Recovery	164	Milestone - Substance Abuse Shelter	421	1	0			ME-500,ME-502	232484	0	ServicePoint	2019-01-01	2019-12-31	No	Yes
Rural Community Action Ministry Inc	302	Rural Community Action Ministry - Homeless Shelter	28	1	0			ME-500	239001	0	ServicePoint	2019-01-01	2019-12-31	No	Yes

Q05a: Report Validations Table

Total Number of Persons Served	6921
Number of Adults (Age 18 or Over)	5691
Number of Children (Under Age 18)	1229
Number of Persons with Unknown Age	1
Number of Leavers	6091
Number of Adult Leavers	5058
Number of Adult and Head of Household Leavers	5167
Number of Stayers	830
Number of Adult Stayers	633
Number of Veterans	309
Number of Chronically Homeless Persons	1517
Number of Youth Under Age 25	761
Number of Parenting Youth Under Age 25 with Children	79
Number of Adult Heads of Household	5458
Number of Child and Unknown-Age Heads of Household	119
Heads of Households and Adult Stayers in the Project 365 Days or More	0

Q06a: Data Quality: Personally Identifying Information (PII)

Data Element	Client Doesn't Know/Refused	Information Missing	Data Issues	Total	% of Error Rate
Name	0	0	1	1	0.01 %
Social Security Number	856	115	10	981	14.17 %
Date of Birth	0	1	1	2	0.03 %
Race	47	14	0	61	0.88 %
Ethnicity	40	15	0	55	0.79 %
Gender	0	1	0	1	0.01 %
Overall Score				1035	14.95 %

Q06b: Data Quality: Universal Data Elements

	Error Count	% of Error Rate
Veteran Status	27	0.47 %
Project Start Date	0	0.00 %
Relationship to Head of Household	48	0.69 %
Client Location	0	0.00 %
Disabling Condition	115	1.66 %

Q06c: Data Quality: Income and Housing Data Quality

	Error Count	% of Error Rate
Destination	221	3.63 %
Income and Sources at Start	95	1.70 %
Income and Sources at Annual Assessment	0	--
Income and Sources at Exit	82	1.59 %

Q06d: Data Quality: Chronic Homelessness

	Count of Total Records	Missing Time in Institution	Missing Time in Housing	Approximate Date Started DK/R/missing	Number of Times DK/R/missing	Number of Months DK/R/missing	% of Records Unable to Calculate
ES, SH, Street Outreach	5610	0	0	28	218	431	7.65 %
TH	0	0	0	0	0	0	--
PH (All)	0	0	0	0	0	0	--
Total	5610	0	0	0	0	0	7.65 %

Q06e: Data Quality: Timeliness

	Number of Project Start Records	Number of Project Exit Records
0 days	2205	2081
1-3 Days	2595	2053
4-6 Days	819	645
7-10 Days	297	250
11+ Days	516	1062

Q06f: Data Quality: Inactive Records: Street Outreach & Emergency Shelter

	# of Records	# of Inactive Records	% of Inactive Records
Contact (Adults and Heads of Household in Street Outreach or ES - NBN)	0	0	--
Bed Night (All Clients in ES - NBN)	0	0	--

Q07a: Number of Persons Served

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Adults	5691	4865	826	0	0
Children	1229	0	1112	117	0
Client Doesn't Know/ Client Refused	0	0	0	0	0
Data Not Collected	1	0	0	0	1
Total	6921	4865	1938	117	1
For PSH & RRH – the total persons served who moved into housing	0	0	0	0	0

Q08a: Households Served

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Total Households	5577	4834	629	113	1
For PSH & RRH – the total households served who moved into housing	0	0	0	0	0

Q08b: Point-in-Time Count of Households on the Last Wednesday

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
January	776	654	107	15	0
April	727	617	101	9	0
July	655	541	110	4	0
October	663	537	113	13	0

Q09a: Number of Persons Contacted

	All Persons Contacted	First contact – NOT staying on the Streets, ES, or SH	First contact – WAS staying on Streets, ES, or SH	First contact – Worker unable to determine
Once	208	0	208	0
2-5 Times	0	0	0	0
6-9 Times	0	0	0	0
10+ Times	0	0	0	0
Total Persons Contacted	208	0	208	0

Q09b: Number of Persons Engaged

	All Persons Contacted	First contact – NOT staying on the Streets, ES, or SH	First contact – WAS staying on Streets, ES, or SH	First contact – Worker unable to determine
Once	191	0	191	0
2-5 Contacts	0	0	0	0
6-9 Contacts	0	0	0	0
10+ Contacts	0	0	0	0
Total Persons Engaged	191	0	191	0
Rate of Engagement	0.92	0.00	0.92	0.00

Q10a: Gender of Adults

	Total	Without Children	With Children and Adults	Unknown Household Type
Male	3434	3206	228	0
Female	2201	1603	598	0
Trans Female (MTF or Male to Female)	32	32	0	0
Trans Male (FTM or Female to Male)	14	14	0	0
Gender Non-Conforming (i.e. not exclusively male or female)	10	10	0	0
Client Doesn't Know/Client Refused	0	0	0	0
Data Not Collected	0	0	0	0
Subtotal	5691	4865	826	0

Q10b: Gender of Children

	Total	With Children and Adults	With Only Children	Unknown Household Type
Male	616	555	61	0
Female	605	555	50	0
Trans Female (MTF or Male to Female)	1	0	1	0
Trans Male (FTM or Female to Male)	2	0	2	0
Gender Non-Conforming (i.e. not exclusively male or female)	4	1	3	0
Client Doesn't Know/Client Refused	0	0	0	0
Data Not Collected	1	1	0	0
Subtotal	1229	1112	117	0

Q10c: Gender of Persons Missing Age Information

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Male	1	0	0	0	1
Female	0	0	0	0	0
Trans Female (MTF or Male to Female)	0	0	0	0	0
Trans Male (FTM or Female to Male)	0	0	0	0	0
Gender Non-Conforming (i.e. not exclusively male or female)	0	0	0	0	0
Client Doesn't Know/Client Refused	0	0	0	0	0
Data Not Collected	0	0	0	0	0
Subtotal	1	0	0	0	1

Q10d: Gender by Age Ranges

	Total	Under Age 18	Age 18-24	Age 25-61	Age 62 and over	Client Doesn't Know/ Client Refused	Data Not Collected
Male	4051	616	342	2896	196	0	1
Female	2806	605	325	1770	106	0	0
Trans Female (MTF or Male to Female)	33	1	15	17	0	0	0
Trans Male (FTM or Female to Male)	16	2	6	8	0	0	0
Gender Non-Conforming (i.e. not exclusively male or female)	14	4	4	6	0	0	0
Client Doesn't Know/Client Refused	0	0	0	0	0	0	0
Data Not Collected	1	1	0	0	0	0	0
Subtotal	6921	1229	692	4697	302	0	1

Q11: Age

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Under 5	496	0	492	4	0
5 - 12	497	0	492	5	0
13 - 17	236	0	128	108	0
18 - 24	692	580	112	0	0
25 - 34	1577	1199	378	0	0
35 - 44	1506	1247	259	0	0
45 - 54	1060	992	68	0	0
55 - 61	554	545	9	0	0
62+	302	302	0	0	0
Client Doesn't Know/Client Refused	0	0	0	0	0
Data Not Collected	1	0	0	0	1
Total	6921	4865	1938	117	1

Q12a: Race

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
White	4995	3919	993	83	0
Black or African American	1401	592	795	14	0
Asian	30	22	6	2	0
American Indian or Alaska Native	108	93	13	2	0
Native Hawaiian or Other Pacific Islander	18	16	2	0	0
Multiple Races	293	189	97	7	0
Client Doesn't Know/Client Refused	62	30	30	2	0
Data Not Collected	14	4	2	7	1
Total	6921	4865	1938	117	1

Q12b: Ethnicity

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Non-Hispanic/Non-Latino	6613	4667	1850	96	0
Hispanic/Latino	253	179	66	8	0
Client Doesn't Know/Client Refused	40	15	20	5	0
Data Not Collected	15	4	2	8	1
Total	6921	4865	1938	117	1

Q13a1: Physical and Mental Health Conditions at Start

	Total Persons	Without Children	Adults in HH with Children & Adults	Children in HH with Children & Adults	With Children and Adults ☾	With Only Children	Unknown Household Type
Mental Health Problem	2384	2059	226	81	–	18	0
Alcohol Abuse	729	713	16	0	–	0	0
Drug Abuse	567	522	45	0	–	0	0
Both Alcohol and Drug Abuse	547	521	22	1	–	3	0
Chronic Health Condition	1014	883	99	32	–	0	0
HIV/AIDS	24	23	1	0	–	0	0
Developmental Disability	541	422	44	66	–	9	0
Physical Disability	1213	1116	72	23	–	2	0

☾ The "With Children and Adults" column is retired as of 10/1/2019 and replaced with the columns "Adults in HH with Children & Adults" and "Children in HH with Children & Adults".

Q13b1: Physical and Mental Health Conditions at Exit

	Total Persons	Without Children	Adults in HH with Children & Adults	Children in HH with Children & Adults	With Children and Adults ☾	With Only Children	Unknown Household Type
Mental Health Problem	2099	1829	188	63	–	19	0
Alcohol Abuse	664	652	12	0	–	0	0
Drug Abuse	529	486	43	0	–	0	0
Both Alcohol and Drug Abuse	488	466	16	1	–	3	0
Chronic Health Condition	868	762	86	20	–	0	0
HIV/AIDS	18	17	1	0	–	0	0
Developmental Disability	463	376	29	48	–	10	0
Physical Disability	1041	963	59	17	–	2	0

☾ The "With Children and Adults" column is retired as of 10/1/2019 and replaced with the columns "Adults in HH with Children & Adults" and "Children in HH with Children & Adults".

Q13c1: Physical and Mental Health Conditions for Stayers

	Total Persons	Without Children	Adults in HH with Children & Adults	Children in HH with Children & Adults	With Children and Adults ☾	With Only Children	Unknown Household Type
Mental Health Problem	283	229	37	16	–	1	0
Alcohol Abuse	64	62	2	0	–	0	0
Drug Abuse	49	43	6	0	–	0	0
Both Alcohol and Drug Abuse	55	50	5	0	–	0	0
Chronic Health Condition	137	110	17	10	–	0	0
HIV/AIDS	6	6	0	0	–	0	0
Developmental Disability	72	40	17	15	–	0	0
Physical Disability	160	143	13	4	–	0	0

☾ The "With Children and Adults" column is retired as of 10/1/2019 and replaced with the columns "Adults in HH with Children & Adults" and "Children in HH with Children & Adults".

Q14a: Domestic Violence History

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Yes	1778	1449	279	50	0
No	3876	3287	544	45	0
Client Doesn't Know/Client Refused	9	7	1	1	0
Data Not Collected	147	122	7	17	1
Total	5810	4865	831	113	1

Q14b: Persons Fleeing Domestic Violence

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Yes	586	432	142	12	0
No	1129	968	128	33	0
Client Doesn't Know/Client Refused	5	3	1	1	0
Data Not Collected	58	46	8	4	0
Total	1778	1449	279	50	0

Q15: Living Situation

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Homeless Situations	0	0	0	0	0
Emergency shelter, including hotel or motel paid for with emergency shelter voucher	686	621	58	7	0
Transitional housing for homeless persons (including homeless youth)	18	17	0	1	0
Place not meant for habitation	2063	1769	288	6	0
Safe Haven	82	59	23	0	0
Host Home (non-crisis)	0	0	0	0	0
Interim Housing ♿	0	0	0	0	0
Subtotal	2849	2466	369	14	0
Institutional Settings	0	0	0	0	0
Psychiatric hospital or other psychiatric facility	154	150	0	4	0
Substance abuse treatment facility or detox center	102	98	2	2	0
Hospital or other residential non-psychiatric medical facility	176	168	5	3	0
Jail, prison or juvenile detention facility	227	161	60	6	0
Foster care home or foster care group home	16	7	2	7	0
Long-term care facility or nursing home	4	4	0	0	0
Residential project or halfway house with no homeless criteria	23	19	0	4	0
Subtotal	702	607	69	26	0
Other Locations	0	0	0	0	0
Permanent housing (other than RRH) for formerly homeless persons	9	4	5	0	0
Owned by client, no ongoing housing subsidy	21	15	5	1	0
Owned by client, with ongoing housing subsidy	5	5	0	0	0
Rental by client, with RRH or equivalent subsidy	0	0	0	0	0
Rental by client, with HCV voucher (tenant or project based)	2	1	1	0	0
Rental by client in a public housing unit	1	1	0	0	0
Rental by client, no ongoing housing subsidy	174	136	38	0	0
Rental by client, with VASH subsidy	5	4	1	0	0
Rental by client with GPD TIP subsidy	2	2	0	0	0
Rental by client, with other housing subsidy (including RRH)	39	35	4	0	0
Hotel or motel paid for without emergency shelter voucher	246	213	33	0	0
Staying or living in a friend's room, apartment or house	1070	881	172	17	0
Staying or living in a family member's room, apartment or house	614	453	117	44	0
Client Doesn't Know/Client Refused	12	7	5	0	0
Data Not Collected	59	35	12	11	1
Subtotal	2259	1792	393	73	1
Total	5810	4865	831	113	1

♿ Interim housing is retired as of 10/1/2019.

Q16: Cash Income - Ranges

	Income at Start	Income at Latest Annual Assessment for Stayers	Income at Exit for Leavers
No income	3188	0	2684
$1 - $150	37	0	33
$151 - $250	36	0	26
$251 - $500	159	0	177
$501 - $1000	1233	0	1181
$1,001 - $1,500	444	0	415
$1,501 - $2,000	282	0	234
$2,001+	226	0	203
Client Doesn't Know/Client Refused	0	0	1
Data Not Collected	86	0	104
Number of Adult Stayers Not Yet Required to Have an Annual Assessment	0	633	0
Number of Adult Stayers Without Required Annual Assessment	0	0	0
Total Adults	5691	633	5058

Q17: Cash Income - Sources

	Income at Start	Income at Latest Annual Assessment for Stayers	Income at Exit for Leavers
Earned Income	619	0	591
Unemployment Insurance	25	0	20
SSI	1016	0	892
SSDI	873	0	781
VA Service-Connected Disability Compensation	38	0	37
VA Non-Service Connected Disability Pension	13	0	14
Private Disability Insurance	2	0	2
Worker's Compensation	1	0	1
TANF or Equivalent	89	0	146
General Assistance	1	0	2
Retirement (Social Security)	54	0	46
Pension from Former Job	10	0	12
Child Support	48	0	43
Alimony (Spousal Support)	5	0	5
Other Source	102	0	86
Adults with Income Information at Start and Annual Assessment/Exit	0	0	103

Q19b: Disabling Conditions and Income for Adults at Exit

	AO: Adult with Disabling Condition	AO: Adult without Disabling Condition	AO: Total Adults	AO: % with Disabling Condition by Source	AC: Adult with Disabling Condition	AC: Adult without Disabling Condition	AC: Total Adults	AC: % with Disabling Condition by Source	UK: Adult with Disabling Condition	UK: Adult without Disabling Condition	UK: Total Adults	UK: % with Disabling Condition by Source
Earned Income	294	211	505	58.28 %	54	43	97	55.75 %	0	0	0	–
Supplemental Security Income (SSI)	796	46	842	94.62 %	34	8	42	81.07 %	0	0	0	–
Social Security Disability Insurance (SSDI)	715	26	741	96.49 %	22	4	26	84.62 %	0	0	0	–
VA Service-Connected Disability Compensation	34	3	37	91.95 %	1	0	1	100.00 %	0	0	0	–
Private Disability Insurance	2	0	2	100.00 %	0	0	0	–	0	0	0	–
Worker's Compensation	0	0	0	–	1	0	1	100.00 %	0	0	0	–
Temporary Assistance for Needy Families (TANF)	5	3	8	62.50 %	60	77	137	43.80 %	0	0	0	–
Retirement Income from Social Security	37	9	47	78.83 %	0	0	0	–	0	0	0	–
Pension or retirement income from a former job	8	1	9	88.89 %	1	0	1	100.00 %	0	0	0	–
Child Support	5	2	7	71.43 %	22	14	36	61.03 %	0	0	0	–
Other source	100	16	116	86.31 %	13	3	16	81.31 %	0	0	0	–
No Sources	1353	875	2228	60.73 %	70	283	353	19.87 %	0	0	0	–
Unduplicated Total Adults	3022	1178	4200		227	412	639		0	0	0	

Q20a: Type of Non-Cash Benefit Sources

	Benefit at Start	Benefit at Latest Annual Assessment for Stayers	Benefit at Exit for Leavers
Supplemental Nutritional Assistance Program	1813	0	1768
WIC	105	0	146
TANF Child Care Services	22	0	22
TANF Transportation Services	16	0	16
Other TANF-Funded Services	15	0	15
Other Source	76	0	104

Q21: Health Insurance

	At Start	At Annual Assessment for Stayers	At Exit for Leavers
Medicaid	3184	0	2964
Medicare	834	0	741
State Children's Health Insurance Program	34	0	32
VA Medical Services	77	0	78
Employer Provided Health Insurance	43	0	45
Health Insurance Through COBRA	8	0	8
Private Pay Health Insurance	97	0	91
State Health Insurance for Adults	92	0	80
Indian Health Services Program	20	0	18
Other	116	0	109
No Health Insurance	2925	0	2418
Client Doesn't Know/Client Refused	13	0	9
Data Not Collected	178	0	115
Number of Stayers Not Yet Required to Have an Annual Assessment	0	830	0
1 Source of Health Insurance	3315	0	3095
More than 1 Source of Health Insurance	621	0	551

Q22a2: Length of Participation – ESG Projects

	Total	Leavers	Stayers
0 to 7 days	3373	3102	271
8 to 14 days	525	449	76
15 to 21 days	396	344	52
22 to 30 days	359	301	58
31 to 60 days	751	622	129
61 to 90 days	551	461	90
91 to 180 days	800	657	143
181 to 365 days	163	152	11
366 to 730 days (1-2 Yrs)	3	3	0
731 to 1,095 days (2-3 Yrs)	0	0	0
1,096 to 1,460 days (3-4 Yrs)	0	0	0
1,461 to 1,825 days (4-5 Yrs)	0	0	0
More than 1,825 days (> 5 Yrs)	0	0	0
Data Not Collected	0	0	0
Total	6921	6091	830

Q22c: Length of Time between Project Start Date and Housing Move-in Date

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
7 days or less	0	0	0	0	0
8 to 14 days	0	0	0	0	0
15 to 21 days	0	0	0	0	0
22 to 30 days	0	0	0	0	0
31 to 60 days	0	0	0	0	0
61 to 180 days	0	0	0	0	0
181 to 365 days	0	0	0	0	0
366 to 730 days (1-2 Yrs)	0	0	0	0	0
Total (persons moved into housing)	0	0	0	0	0
Average length of time to housing	–	–	–	–	–
Persons who were exited without move-in	0	0	0	0	0
Total persons	0	0	0	0	0

Q22d: Length of Participation by Household Type

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
7 days or less	3373	3098	209	65	1
8 to 14 days	525	379	134	12	0
15 to 21 days	396	238	148	10	0
22 to 30 days	359	210	138	11	0
31 to 60 days	751	347	395	9	0
61 to 90 days	551	216	332	3	0
91 to 180 days	800	303	491	6	0
181 to 365 days	163	74	89	0	0
366 to 730 days (1-2 Yrs)	3	0	2	1	0
731 to 1,095 days (2-3 Yrs)	0	0	0	0	0
1,096 to 1,460 days (3-4 Yrs)	0	0	0	0	0
1,461 to 1,825 days (4-5 Yrs)	0	0	0	0	0
More than 1,825 days (> 5 Yrs)	0	0	0	0	0
Data Not Collected	0	0	0	0	0
Total	6921	4865	1938	117	1

Q22e: Length of Time Prior to Housing - based on 3.917 Date Homelessness Started

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
7 days or less	2091	1285	780	25	1
8 to 14 days	252	199	52	1	0
15 to 21 days	164	139	24	1	0
22 to 30 days	195	170	23	2	0
31 to 60 days	471	377	87	7	0
61 to 180 days	956	766	182	8	0
181 to 365 days	609	490	113	6	0
366 to 730 days (1-2 Yrs)	530	466	51	13	0
731 days or more	774	753	20	1	0
Total (persons moved into housing)	6042	4645	1332	64	1
Not yet moved into housing	0	0	0	0	0
Data not collected	879	220	606	53	0
Total persons	6921	4865	1938	117	1

Q23c: Exit Destination – All persons

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Permanent Destinations	0	0	0	0	0
Moved from one HOPWA funded project to HOPWA PH	0	0	0	0	0
Owned by client, no ongoing housing subsidy	18	6	12	0	0
Owned by client, with ongoing housing subsidy	9	3	4	2	0
Rental by client, no ongoing housing subsidy	758	180	578	0	0
Rental by client, with VASH housing subsidy	4	4	0	0	0
Rental by client, with GPD TIP housing subsidy	0	0	0	0	0
Rental by client, with other ongoing housing subsidy	667	332	335	0	0
Permanent housing (other than RRH) for formerly homeless persons	49	31	18	0	0
Staying or living with family, permanent tenure	239	104	100	35	0
Staying or living with friends, permanent tenure	109	73	32	4	0
Rental by client, with RRH or equivalent subsidy	21	8	13	0	0
Rental by client, with HCV voucher (tenant or project based)	54	14	40	0	0
Rental by client in a public housing unit	6	1	5	0	0
Subtotal	1932	756	1135	41	0
Temporary Destinations	0	0	0	0	0
Emergency shelter, including hotel or motel paid for with emergency shelter voucher	1700	1672	23	5	0
Moved from one HOPWA funded project to HOPWA TH	0	0	0	0	0
Transitional housing for homeless persons (including homeless youth)	68	47	14	7	0
Staying or living with family, temporary tenure (e.g. room, apartment or house)	220	103	112	5	0
Staying or living with friends, temporary tenure (e.g. room, apartment or house)	425	307	100	18	0
Place not meant for habitation (e.g., a vehicle, an abandoned building, bus/train/subway station/airport or anywhere outside)	607	602	5	0	0
Safe Haven	14	6	8	0	0
Hotel or motel paid for without emergency shelter voucher	50	32	18	0	0
Host Home (non-crisis)	3	2	0	1	0
Subtotal	3087	2771	280	36	0
Institutional Settings	0	0	0	0	0
Foster care home or group foster care home	13	1	4	8	0
Psychiatric hospital or other psychiatric facility	32	27	2	3	0
Substance abuse treatment facility or detox center	234	234	0	0	0
Hospital or other residential non-psychiatric medical facility	47	42	4	1	0
Jail, prison, or juvenile detention facility	52	42	7	3	0
Long-term care facility or nursing home	4	4	0	0	0
Subtotal	382	350	17	15	0
Other Destinations	0	0	0	0	0
Residential project or halfway house with no homeless criteria	8	6	0	2	0
Deceased	2	1	1	0	0
Other	389	299	87	3	0
Client Doesn't Know/Client Refused	107	54	50	3	0
Data Not Collected (no exit interview completed)	184	147	30	6	1
Subtotal	690	507	168	14	1
Total	6091	4384	1600	106	1
Total persons exiting to positive housing destinations	1935	758	1135	42	2
Total persons whose destinations excluded them from the calculation	66	48	9	9	0
Percentage	32.12 %	17.48 %	71.34 %	43.30 %	200.00 %

Q24: Homelessness Prevention Housing Assessment at Exit

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Able to maintain the housing they had at project start--Without a subsidy	0	0	0	0	0
Able to maintain the housing they had at project start--With the subsidy they had at project start	0	0	0	0	0
Able to maintain the housing they had at project start--With an on-going subsidy acquired since project start	0	0	0	0	0
Able to maintain the housing they had at project start--Only with financial assistance other than a subsidy	0	0	0	0	0
Moved to new housing unit--With on-going subsidy	0	0	0	0	0
Moved to new housing unit--Without an on-going subsidy	0	0	0	0	0
Moved in with family/friends on a temporary basis	0	0	0	0	0
Moved in with family/friends on a permanent basis	0	0	0	0	0
Moved to a transitional or temporary housing facility or program	0	0	0	0	0
Client became homeless – moving to a shelter or other place unfit for human habitation	0	0	0	0	0
Client went to jail/prison	0	0	0	0	0
Client died	0	0	0	0	0
Client doesn't know/Client refused	0	0	0	0	0
Data not collected (no exit interview completed)	0	0	0	0	0
Total	0	0	0	0	0

Q25a: Number of Veterans

	Total	Without Children	With Children and Adults	Unknown Household Type
Chronically Homeless Veteran	87	86	1	0
Non-Chronically Homeless Veteran	222	212	10	0
Not a Veteran	5355	4550	805	0
Client Doesn't Know/Client Refused	25	15	10	0
Data Not Collected	2	2	0	0
Total	5691	4865	826	0

Q26b: Number of Chronically Homeless Persons by Household

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Chronically Homeless	1517	1408	102	7	0
Not Chronically Homeless	4924	3393	1438	93	0
Client Doesn't Know/Client Refused	21	12	6	3	0
Data Not Collected	459	52	392	14	1
Total	6921	4865	1938	117	1

2019 Section 3 Reports

Section 3 Summary Report

Economic Opportunities for Low- and Very Low-Income Persons
U.S. Department of Housing and Urban Development
Office of Fair Housing and Equal Opportunity

OMB Approval No. 2529-0043
(exp. 11/30/2018)

Disbursement Agency
MAINE STATE HOUSING AUTHORITY
353 WATER STREET, AUGUSTA, ME 4330
01-0312916

Reporting Entity
MAINE STATE HOUSING AUTHORITY
353 WATER STREET, AUGUSTA, ME 04330

Dollar Amount	$1,482,481.40
Contact Person	Paula Weber
Date Report Submitted	01/16/2020

Reporting Period		Program Area Code	Program Area Name
From	To		
1/1/19	12/31/19	LBA	Lead-Based Paint Abatement

OMB Control No: 2506-0117 (exp. 06/30/2018)

Part I: Employment and Training

Job Category	Number of New Hires	Number of New Hires that Are Sec. 3 Residents	Aggregate Number of Staff Hours Worked	Total Staff Hours for Section 3 Employees	Number of Section 3 Trainees
Lead Abatement	15	0	0	0	0

Total New Hires	15
Section 3 New Hires	0
Percent Section 3 New Hires	0.0%
Total Section 3 Trainees	0
The minimum numerical goal for Section 3 new hires is 30%.	

Part II: Contracts Awarded

Construction Contracts	
Total dollar amount of construction contracts awarded	$1,124,951.00
Total dollar amount of contracts awarded to Section 3 businesses	$0.00
Percentage of the total dollar amount that was awarded to Section 3 businesses	0.0%
Total number of Section 3 businesses receiving construction contracts	0
The minimum numerical goal for Section 3 construction opportunities is 10%.	

Non-Construction Contracts	
Total dollar amount of all non-construction contracts awarded	$0.00
Total dollar amount of non-construction contracts awarded to Section 3 businesses	$0.00
Percentage of the total dollar amount that was awarded to Section 3 businesses	N/A
Total number of Section 3 businesses receiving non-construction contracts	0
The minimum numerical goal for Section 3 non-construction opportunities is 3%.	

OMB Control No: 2506-0117 (exp. 06/30/2018)

Part III: Summary

Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low- and very low-income persons, particularly those who are recipients of government assistance for housing.	
Yes	Attempted to recruit low-income residents through: local advertising media, signs prominently displayed at the project site, contacts with community organizations and public or private agencies operating within the metropolitan area (or nonmetropolitan county) in which the Section 3 covered program or project is located, or similar methods.
No	Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.
No	Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.
No	Coordinated with Youthbuild Programs administered in the metropolitan area in which the Section 3 covered project is located.
No	Other; describe below.

MaineHousing was able to obtain information about the number of contractor new hires; however, we did not have a mechanism in place to determine if those new hires were Section 3 residents. Our team is currently developing a comprehensive process to capture all relevant information.

OMB Control No: 2506-0117 (exp. 06/30/2018)

Section 3 Summary Report

Economic Opportunities for Low- and Very Low-Income Persons
U.S. Department of Housing and Urban Development
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Disbursement Agency
MAINE STATE HOUSING AUTHORITY
353 WATER STREET, AUGUSTA, ME 4330
01-0312916

Reporting Entity
MAINE STATE HOUSING AUTHORITY
353 WATER STREET, AUGUSTA, ME 04330

Dollar Amount:	$35,527.59
Contact Person:	Paula Weber
Date Report Submitted:	03/09/2020

Reporting Period		Program Area Code	Program Area Name
From	To		
1/1/19	12/31/19	EMRG	Emergency Shelter Grants

The expenditure of these funds did not result in any covered contracts or new hires during the 12-month period selected.

OMB Control No: 2506-0117 (exp. 06/30/2018)

Section 3 Summary Report

Economic Opportunities for Low- and Very Low-Income Persons
U.S. Department of Housing and Urban Development
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OMB Approval No. 2529-0043
(exp. 11/30/2018)

Disbursement Agency
MAINE STATE HOUSING AUTHORITY
353 WATER STREET, AUGUSTA, ME 4330
01-0312916

Reporting Entity
MAINE STATE HOUSING AUTHORITY
353 WATER STREET, AUGUSTA, ME 04330

Dollar Amount:	$1,078,583.33
Contact Person:	Paula Weber
Date Report Submitted:	03/09/2020

Reporting Period		Program Area Code	Program Area Name
From	To		
1/1/19	12/31/19	SNAP	Special Needs Assistance

The expenditure of these funds did not result in any covered contracts or new hires during the 12-month period selected.

Section 3 Summary Report

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U.S. Department of Housing and Urban Development
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Disbursement Agency
MAINE STATE HOUSING AUTHORITY
353 WATER STREET, AUGUSTA, ME 4330
01-0312916

Reporting Entity
MAINE STATE HOUSING AUTHORITY
353 WATER STREET, AUGUSTA, ME 04330

Dollar Amount:	$4,358,599.02
Contact Person:	Paula Weber
Date Report Submitted:	03/11/2020

Reporting Period		Program Area Code	Program Area Name
From	To		
1/1/19	12/31/19	HTF	CPD's Integrated Disb & Inf System

The expenditure of these funds did not result in any covered contracts or new hires during the 12-month period selected.

OMB Control No: 2506-0117 (exp. 06/30/2018)

Section 3 Summary Report

Economic Opportunities for Low- and Very Low-Income Persons
U.S. Department of Housing and Urban Development
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OMB Approval No. 2529-0043
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Disbursement Agency
MAINE STATE HOUSING AUTHORITY
353 WATER STREET, AUGUSTA, ME 4330
01-0312916

Reporting Entity
MAINE STATE HOUSING AUTHORITY
353 WATER STREET, AUGUSTA, ME 04330

Dollar Amount:	$2,991,554.10
Contact Person:	Paula Weber
Date Report Submitted:	03/11/2020

Reporting Period		Program Area Code	Program Area Name
From	To		
1/1/19	12/31/19	HOME	HOME Program

The expenditure of these funds did not result in any covered contracts or new hires during the 12-month period selected.

HUD.GOV U.S. Department of Housing and Urban Development
Secretary Ben Carson

Section 3 Summary Annual Reporting System - Form 60002

INSTRUCTIONS FAQ CONTACT US LOGOUT

OMB Approval No. 2529-0043 (exp. 11/30/2018)

Section 3 Report Summary and Certification

Disbursement Agency

MAINE HUMAN RIGHTS COMMISSION (01 - 6000001)
51 State House Station
Augusta , ME 04333

Reporting Entity

207-287-8070
111 Sewall Street
59 State House Station
Augusta , ME 04333

Contact Person

Name	Terry Ann Holden
Phone Number	(207) 624-9814
Fax Number	(207) 287-8070
Email Address	Terryann.Holden@maine.gov

Disbursement Details

Reporting Period	01/01/2019 through 12/31/2019
Program Area	Community Devel Block Grants (CDBG)
Amount	$ 10,036,664.33
Total Amount of All Contracts Awarded	$ 17,892,647.00
Total Amount of Section 3 Contracts Awarded	$ 0.00

Employment Opportunities

Total Number of New Hires	1
Number of Section 3 New Hires	0
Percent	0.0%
Goal	Failed to meet Section 3 new hire goal of 30%.

Construction Opportunities

Total Amount of Construction Contracts Awarded	$ 17,892,647.00
Amount of Construction Contracts Awarded To Section 3 Businesses	$ 0.00
Percent	0.0%
Number of Section 3 Construction Contracts	0
Goal	Failed to meet the Section 3 construction contacting goal of 10%.

Non-Construction Opportunities

Total Amount of Non-Construction Contracts Awarded	$ 0.00
Amount of Non-Construction Contracts Awarded To Section 3 Businesses	$ 0.00
Percent	N/A
Number of Section 3 Non-Construction Contracts	0
Goal	Section 3 non-construction contracting goal of 3% is not applicable.

Efforts to Comply

Attempted to recruit low-income residents through: local advertising media, signs prominently displayed at the project site, contacts with community organizations and public or private agencies operating within the metropolitan area (or nonmetropolitan county) in which the Section 3 covered program or project is located, or similar methods.	Yes
Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.	No
Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.	No
Coordinated with Youthbuild Programs administered in the metropolitan area in which the Section 3 covered project is located.	No
Other efforts to comply	We required our grantees to attend two days of training (August 6 & 7, 2019) where this was fully explained and heavily emphasized. Section 3 materials were provided in all contracts over $100,000, and the fact that it was a Section 3 covered contract was on the required project sign. There is no youthbuild program in areas of the state outside Portland (the city proper). We have tried to recruit but with the tight labor market in Maine this year (2.8% unemployment) contractors are having a hard time getting ANY new employees. Maine is the oldest state in the nation and many of our young people leave for better opportunities elsewhere.

Certification Statement

By submitting this form, you certify that the statements and information contained on this form are true and accurate, and meet the reporting requirements for Section 3 of the Housing and Urban Development Act of 1968 as set forth at 24 CFR § 135. Any false, fictitious, or fraudulent statements or claims may subject you to HUD sanctions, termination of Section 3 covered assistance, and debarment or suspension from future Section 3 covered assistance. It may also subject you to criminal, civil, or administrative penalties under other applicable federal statutes. (18 U.S.C. § 1001)

If any of the above information needs to be corrected, use the **Back to Compliance** button to step backward through the input screens. Alternatively, if you need to return to this report before submitting, you may exit this report instead and resume it at a later time from the in-progress reports.

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U.S. Department of Housing and Urban Development
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