

Consolidated Annual Performance and Evaluation Report

Plan Year 2017

Prepared by

Maine State Housing Authority

Maine Department of Economic and Community Development

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CR-05 - Goals and Outcomes

Progress the jurisdiction has made in carrying out its strategic plan and its action plan. 91.520(a)

This could be an overview that includes major initiatives and highlights that were proposed and executed throughout the program year.

This Maine Annual Performance Report describes the implementation of Maine's Consolidated Plan during 2017. The Consolidated Plan covers the use of HOME Investment Partnership Program (HOME), Housing Trust Fund (HTF), Emergency Solutions Grant (ESG), Community Development Block Grant (CDBG), and leveraged funds for the period 1/1/2017 to 12/31/2017. Objectives and expected outcomes for CDBG, HOME, HTF and ESG have been identified through the Consolidated Plan process. The Annual Action Plan addresses how objectives are to be met each year.

During 2017 the Maine State Housing Authority (MaineHousing) administered the HOME, HTF and ESG funds. The Maine State Department of Economic and Community Development (DECD) administered the CDBG funds.

It is important to note that the state provides affordable housing and community development through a variety of assistance programs, many of which are funded through agency resources or state appropriations. In 2017 MaineHousing assisted more than 1,100 First Time Home Buyers, 11,000 Rental households, 640 Homeowners (not including over 38,000 helped with Energy Assistance) and more than 1,600 Homeless households. MaineHousing used funds to complete nine multifamily projects, for a total of 464 units (272 for families and 192 for seniors). In 2017 HOME funds were allocated to fourteen projects that have been granted notice to proceed (186 family units and 412 units for seniors).

While production results have sometimes exceeded or fallen short of stated goals, significant progress has been made to address the Consolidated Plan priorities and objectives. Rental units constructed (106% of 2017 goal), use of tenant-based rental assistance (196% of 2017 goal) and improved economic opportunities (400% of 2017 goal) exceeded the 2017 goals. .

This report covers the third year in the five year (2015-2019) Consolidated Plan.

Comparison of the proposed versus actual outcomes for each outcome measure submitted with the consolidated plan and explain, if applicable, why progress was not made toward meeting goals and objectives. 91.520(g)

Categories, priority levels, funding sources and amounts, outcomes/objectives, goal outcome indicators, units of measure, targets, actual outcomes/outputs, and percentage completed for each of the grantee’s program year goals.

Goal	Category	Source / Amount	Indicator	Unit of Measure	Expected – Strategic Plan	Actual – Strategic Plan	Percent Complete	Expected – Program Year	Actual – Program Year	Percent Complete
Improve Economic Opportunity	Non-Housing Community Development	CDBG: \$	Facade treatment/business building rehabilitation	Business	100	44	44.00%			
Improve Economic Opportunity	Non-Housing Community Development	CDBG: \$	Jobs created/retained	Jobs	600	313	52.17%	125	83	66.40%
Improve Economic Opportunity	Non-Housing Community Development	CDBG: \$	Businesses assisted	Businesses Assisted	100	384	384.00%	30	120	400.00%
Improve Public Infrastructure	Non-Housing Community Development	CDBG: \$	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit	Persons Assisted	5000	23312	466.24%	1500	615	41.00%
Improve the Quality of Existing Housing	Affordable Housing	CDBG: \$	Rental units rehabilitated	Household Housing Unit	60	102	170.00%			
Improve the Quality of Existing Housing	Affordable Housing	CDBG: \$	Homeowner Housing Rehabilitated	Household Housing Unit	800	850	106.25%	300	206	68.67%

Increase the Quality and Supply of Rental Housing	Affordable Housing	CDBG: \$ / HOME: \$ / ESG: \$ / Housing Trust Fund: \$ / Other: \$	Rental units constructed	Household Housing Unit	593	310	52.28%	160	170	106.25%
Increase the Quality and Supply of Rental Housing	Affordable Housing	CDBG: \$ / HOME: \$ / ESG: \$ / Housing Trust Fund: \$ / Other: \$	Rental units rehabilitated	Household Housing Unit	592	371	62.67%	100	152	152.00%
Increase Use of Rapid Re-Housing	Homeless	HOME: \$ / ESG: \$	Tenant-based rental assistance / Rapid Rehousing	Households Assisted	2000	1209	60.45%	150	294	196.00%
Support Emergency Shelter Operations & Services	Homeless	ESG: \$	Homeless Person Overnight Shelter	Persons Assisted	38825	20015	51.55%	7020	6748	96.13%

Table 1 - Accomplishments – Program Year & Strategic Plan to Date

Assess how the jurisdiction’s use of funds, particularly CDBG, addresses the priorities and specific objectives identified in the plan, giving special attention to the highest priority activities identified.

One example of MaineHousing’s success in meeting goals is the increased the use of Rapid Re-Housing. This goal is being met with the help of the Emergency Shelter and Housing Assistance Program navigators, funded through ESG. The navigators are a relocation and stabilization resource and have access to rapid re-housing rental subsidies through the Stability Through Engagement Program (STEP) and

Housing Choice Vouchers (HCV). STEP (funded with HOME) is available to households experiencing homelessness. STEP can provide rental assistance for up to two years. Navigators follow the households after housing placement to ensure housing stability is maintained, and that appropriate referrals are in place. This, in coordination with the multiple system level changes in Maine, has resulted in continued progress toward the rapid re-housing of homeless families and individuals in 2017. ESHAP has expanded its service area from 10 to 11 counties with the addition of a provider in Franklin County. There are no jurisdictional requirements or limits on STEP vouchers and they can be utilized throughout the state.

The State of Maine CDBG program identified the highest priorities as Housing, Economic Development, and Public Infrastructure. For the program year 2017 over 90% of the funding went to those three areas.

CR-10 - Racial and Ethnic composition of families assisted

Describe the families assisted (including the racial and ethnic status of families assisted).

91.520(a)

	CDBG	HOME	ESG
White	3,495	820	5,238
Black or African American	96	119	1,338
Asian	59	1	40
American Indian or American Native	51	7	114
Native Hawaiian or Other Pacific Islander	4	4	18
Total	3,705	951	6,748
Hispanic	0	24	247
Not Hispanic	3,705	927	6,852

Table 2 – Table of assistance to racial and ethnic populations by source of funds

Narrative

The CDBG and HOME data in the table above is populated from the HUD IDIS system; it may not include information on all activities covered by this report. This racial and ethnic data is only from HOME activities completed in 2017; many other individuals and families were assisted with HOME in 2017. Additionally persons identifying as multi-racial are not included in the data above.

According to the IDIS Report **HOME Summary of Accomplishments for FY 2017**, 12% of HOME assisted households were of a race other than white.

Racial and ethnic data is not available for ESG households; data is reported by individual persons served through the ESG program. The total does not include individuals with multiple races, and individuals assisted by ESG that did not provide race and ethnicity information.

CR-15 - Resources and Investments 91.520(a)

Identify the resources made available

Source of Funds	Source	Resources Made Available	Amount Expended During Program Year
CDBG	CDBG	10,606,496	9,189,687
HOME	HOME	3,663,927	5,002,893
ESG	ESG	1,311,285	1,087,665
HTF	Other	3,000,000	2,651

Table 3 - Resources Made Available

Narrative

Funding that HUD provided to Maine for 2017 CDBG, HOME, HTF (shown above as Other Fund) and ESG programs is shown above. Note that amounts may include funds from previous allocations.

For FY 2017, MaineHousing allocated a total of \$6,454,447 for the Operations, Stabilization, and Performance Shares of ESHAP. These funds assisted 37 homeless shelter programs which served 6,748 individuals and families who were homeless.

The combination of ESG, Maine Real Estate Transfer Tax fees, and State General funds were used to support homeless shelter providers with shelter operating expenses, relocation, and stabilization services. The Operations Share was funded with \$734,123 of ESG funds and \$1,851,895 of State General funds; the Stabilization Share was funded with \$820,324 of ESG, \$648,105 of Maine Real Estate Transfer Tax, and \$733,583 of State General funds; and the Performance Share was funded with \$1,293,010 of State General funds.

Identify the geographic distribution and location of investments

Target Area	Planned Percentage of Allocation	Actual Percentage of Allocation	Narrative Description
State Of Maine	100	100	State Of Maine

Table 4 – Identify the geographic distribution and location of investments

Narrative

The State of Maine has not chosen to target particular geographical areas for special assistance.

In 2017 MaineHousing completed HOME Rental housing in three Maine counties. ESG funds assisted shelters in eleven Maine counties.

Leveraging

Explain how federal funds leveraged additional resources (private, state and local funds), including a description of how matching requirements were satisfied, as well as how any publicly owned land or property located within the jurisdiction that were used to address the needs identified in the plan.

ESG requires a one-to-one matching of funds. For FY 2017, MaineHousing utilized approximately \$4.9 million of State of Maine funds for match.

HOME funds are matched with State of Maine Department of Health and Human Services funding for services used by STEP clients as well as the present value of interest subsidy for below market rate loans. In the past, major sources of matching funds have also included foregone taxes and fees and State HOME funds invested in multifamily and home repair activities. Also, ESHAP participants may receive housing assistance through a limited number of Housing Choice Vouchers allocated to the program.

MaineHousing uses Low-Income Housing Tax Credits for the development of affordable rental housing, in conjunction with agency financing.

The CDBG program requires a minimum 1/1 match in the Business Assistance Program and a minimum 25% in Public Infrastructure.

Publicly owned land or property were not used with the CDBG, HOME, ESG or HTF programs in 2017.

Fiscal Year Summary – HOME Match	
1. Excess match from prior Federal fiscal year	43,604,276
2. Match contributed during current Federal fiscal year	2,397,480
3. Total match available for current Federal fiscal year (Line 1 plus Line 2)	46,001,756
4. Match liability for current Federal fiscal year	744,067
5. Excess match carried over to next Federal fiscal year (Line 3 minus Line 4)	45,257,690

Table 5 – Fiscal Year Summary - HOME Match Report

Match Contribution for the Federal Fiscal Year								
Project No. or Other ID	Date of Contribution	Cash (non-Federal sources)	Foregone Taxes, Fees, Charges	Appraised Land/Real Property	Required Infrastructure	Site Preparation, Construction Materials, Donated labor	Bond Financing	Total Match
2017.01.NON	07/25/2017	2,397,480	0	0	0	0	0	2,397,480

Table 6 – Match Contribution for the Federal Fiscal Year

HOME MBE/WBE report

Program Income – Enter the program amounts for the reporting period				
Balance on hand at begin-ning of reporting period \$	Amount received during reporting period \$	Total amount expended during reporting period \$	Amount expended for TBRA \$	Balance on hand at end of reporting period \$
849	119,669	0	0	120,518

Table 7 – Program Income

Minority Business Enterprises and Women Business Enterprises – Indicate the number and dollar value of contracts for HOME projects completed during the reporting period						
	Total	Minority Business Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Contracts						
Dollar Amount	29,510,174	0	0	0	0	29,510,174
Number	5	0	0	0	0	5
Sub-Contracts						
Number	0	0	0	0	0	0
Dollar Amount	0	0	0	0	0	0
	Total	Women Business Enterprises	Male			
Contracts						
Dollar Amount	29,510,174	0	29,510,174			
Number	5	0	5			
Sub-Contracts						
Number	0	0	0			
Dollar Amount	0	0	0			

Table 8 - Minority Business and Women Business Enterprises

Minority Owners of Rental Property – Indicate the number of HOME assisted rental property owners and the total amount of HOME funds in these rental properties assisted						
	Total	Minority Property Owners				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	0	0	0	0	0	0
Dollar Amount	0	0	0	0	0	0

Table 9 – Minority Owners of Rental Property

Relocation and Real Property Acquisition – Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition						
Parcels Acquired		6		2,539,974		
Businesses Displaced		0		0		
Nonprofit Organizations Displaced		0		0		
Households Temporarily Relocated, not Displaced		93		32,328		
Households Displaced	Total	Minority Property Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	0	0	0	0	0	0
Cost	0	0	0	0	0	0

Table 10 – Relocation and Real Property Acquisition

CR-20 - Affordable Housing 91.520(b)

Evaluation of the jurisdiction's progress in providing affordable housing, including the number and types of families served, the number of extremely low-income, low-income, moderate-income, and middle-income persons served.

	One-Year Goal	Actual
Number of Homeless households to be provided affordable housing units	150	294
Number of Non-Homeless households to be provided affordable housing units	225	469
Number of Special-Needs households to be provided affordable housing units	0	0
Total	375	763

Table 11 – Number of Households

	One-Year Goal	Actual
Number of households supported through Rental Assistance	150	294
Number of households supported through The Production of New Units	160	170
Number of households supported through Rehab of Existing Units	65	299
Number of households supported through Acquisition of Existing Units	0	0
Total	375	763

Table 12 – Number of Households Supported

Discuss the difference between goals and outcomes and problems encountered in meeting these goals.

The one-year goal for HOME-funded programs is established in the 2017 Annual Action plan. HOME data in the table above is populated from the HUD IDIS system; it may not include information on all activities covered by this report. The data above is only from HOME activities completed in 2017; many other individuals and families were assisted with HOME in 2017.

In 2017 MaineHousing exceeded the goal for producing new units but did not meet the goal for rehab of existing units. It is difficult to predict the exact breakdown of new units vs rehabbed units in multifamily housing production in a given year due to the application process. This variance may

well be resolved in future years. Note that in 2017 HOME funds have been allocated to four projects that have been granted notice to proceed (Three of these projects will produce a total of 93 new units, the fourth project will rehab 83 units). Data from these activities will be reported when they are completed.

In 2017 MaineHousing exceeded the goal of providing 150 affordable housing unit for the homeless .

Discuss how these outcomes will impact future annual action plans.

Outcomes for activities in 2017 do not seem to suggest a need to change activities in the next action plan.

Include the number of extremely low-income, low-income, and moderate-income persons served by each activity where information on income by family size is required to determine the eligibility of the activity.

Number of Households Served	CDBG Actual	HOME Actual
Extremely Low-income	25	294
Low-income	74	22
Moderate-income	166	5
Total	265	321

Table 13 – Number of Households Served

Narrative Information

According to the IDIS Report **HOME Summary of Accomplishments for FY 2017**, 100% of beneficiaries of MaineHousing HOME-assisted programs in 2017 had incomes at or below 60% of HUD median income, with the greatest number at or below 30% of area median income.

Maine is assisting extremely low-income, low-income and moderatel income persons and progress is being made towards the goals identified in both the 2017 Annual Action Plan and the Consolidated Plan. Both rental units constructed (106% of 2017 goal) and use of tenant-based rental assistance (196% of 2017 goal) exceeded the 2017 goals.

CR-25 - Homeless and Other Special Needs 91.220(d, e); 91.320(d, e); 91.520(c)

Evaluate the jurisdiction's progress in meeting its specific objectives for reducing and ending homelessness through:

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

The Maine CoC's outreach plan is supported in coordination with the Department of Health and Human Services (DHHS) Projects for Assistance in Transition from Homelessness (PATH) grantees. PATH Grantees work closely with ESG funded shelters, the CoC, and the ESG Grantee. The goal of these outreach efforts is to engage individuals who are unsheltered, assess their immediate needs and health care concerns, determine their eligibility for MaineCare and other services, and transition them to a community provider for ongoing assistance

Maine has two Supportive Services for Veteran Families (SSVF) programs that conduct outreach across the entire state of Maine and work directly with Veterans and their families who are either homeless or at risk of homelessness.

The Maine CoC continues to develop and implement its Coordinated Entry System.

Addressing the emergency shelter and transitional housing needs of homeless persons

Maine continues to address both emergency shelter and transitional housing needs of persons who are homeless through the direction of the Maine Continuum of Care. Maine combined its two Continuums of Care, the Portland CoC and Balance of State "Maine" CoC, into one CoC in 2017. In 2017, the Statewide Homeless Council also updated, Maine's Plan to End and Prevent Homelessness developed by the Statewide Homeless Council; *"The Plan very simply calls for everyone who is homeless to secure permanent housing with an adequate support network. There are four specific goals: 1. Emergency shelters and outreach programs will provide safety and engagement/ support that most efficiently allows housing with adequate support for success. 2. Ensure an adequate supply of appropriate housing and rental subsidies to allow housing and stability. 3. Ensure that medical, mental health, and substance use disorder needs are met to allow long-term stability and success. 4. Ensure that issues underlying homelessness are addressed and that linkage to an effective, on-going support system is securely in place. The Plan specifies how to accomplish each of these goals. Each population will be met where they are at, and supported in ending their homelessness, prevented from recidivism, or prevented from becoming homeless in the first place."* <http://www.mainehousing.org/docs/default-source/housing-reports/2017-maine-plan-to-end-and-prevent-homelessness.pdf?sfvrsn=2>

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: likely to become homeless after being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); and, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs

Maine’s prevention efforts remain as outlined in the 2015 – 2019 Consolidated Plan. Additionally, it is expected that as the coordinated entry system for the state is implemented, the resources available upon exit from publicly funded institutions and systems of care, will become more quantifiable and accessible.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

ESHAP Shelters are provided a financial incentive to focus efforts on helping stayers achieve permanent housing and to ensure that they remain in permanent housing. The table below illustrates the minimum benchmarks shelters must meet to receive this funding. All ESHAP providers were able to meet this benchmark in 2017.

Performance Measures	Low Barrier Shelter Benchmark	Single Adult Shelter Benchmark	Family Shelter Benchmark	Teen Shelter Benchmark	Domestic Violence Shelter Benchmark
1. Percentage of clients exiting to permanent housing.	5% or greater	30% or greater	30% or greater	5% or greater	30% or greater
2. Percentage of clients who return to homelessness within 6 months of exiting to permanent housing.	15% or less	15% or less	15% or less	15% or less	15% or less

Minimum Shelter Benchmarks

CR-30 - Public Housing 91.220(h); 91.320(j)

Actions taken to address the needs of public housing

MaineHousing is not a public housing authority. The State does review the Annual and Five-Year Plans of public housing authorities throughout the state to determine consistency with Maine's Consolidated Plan and issues certifications to these authorities, upon request, documenting this fact.

In 2017 MaineHousing worked collaboratively with the PHAs in Maine as follows:

- Joined the statewide centralized Housing Choice Voucher waitlist as mandated by Maine law, and facilitated the membership of other local PHAs by paying their initial fee to be set up in the new system.
- Facilitated a collaborative decision-making process with all local PHAs around new policy in the MaineHousing Statute, which was revised in 2017. This included policy allowing MaineHousing to administer special program vouchers in municipalities that have a local PHA, enabling voucher holders to more easily access service providers in the more urban areas.
- Offered landlord educational outreach sessions in collaboration with Brewer, Bangor, Old Town, Presque Isle, Caribou, Bath and Brunswick Housing Authorities.
- Invited local PHA inspections staff to educational forums around technical themes and personal safety.
- Participated in 'Occupancy meetings' which included staff representatives of PHAs statewide. These meetings included discussions of best practices, new regulation implementation as well as a training component.
- Our Family Self-Sufficiency staff continue to lead statewide efforts to improve processes and evaluation of the program amongst those PHAs who administer it, along with bringing together all other PHA FSS staff to analyze and respond to new HUD regulations in relation to the program. Along with Portland HA we are members of the National FSS Network through a partnership with Compass Working Capital now in its second year.

Actions taken to encourage public housing residents to become more involved in management and participate in homeownership

MaineHousing does not own or manage public housing and does not have access to public housing residents to encourage them to participate in management or homeownership.

MaineHousing's affordable mortgage financing and down payment assistance are available to first-time homebuyers that meet income requirements.

Actions taken to provide assistance to troubled PHAs

There are no troubled PHAs in Maine.

CR-35 - Other Actions 91.220(j)-(k); 91.320(i)-(j)

Actions taken to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment. 91.220 (j); 91.320 (i)

The Annual Action Plan states that MaineHousing and the Department of Economic and Community Development will continue to encourage qualified applicants to apply for CDBG, HOME, HTF and ESG funds. Additionally the agencies monitor public policy with particular interest in issues related to affordable housing and community development.

Actions taken to address obstacles to meeting underserved needs. 91.220(k); 91.320(j)

MaineHousing and the Department of Economic and Community Development encourage qualified applicants to apply for CDBG, HOME, HTF and ESG funds.

According to the IDIS Report **HOME Summary of Accomplishments for FY 2017**, 100% of beneficiaries of MaineHousing HOME-assisted programs in 2017 had incomes at or below 60% of HUD median income, with the greatest number at or below 30% of area median income.

Actions taken to reduce lead-based paint hazards. 91.220(k); 91.320(j)

A new three-year Lead Hazard Reduction Demonstration Grant (LHRD) was awarded to MaineHousing that started in October 2016. In 2017, MaineHousing's LHRD Program funded lead abatement projects in 13 units with an average cost of \$8,619 per unit. The number of units cleared in 2017 was lower than initially forecasted; however, this figure is in line with the number of units completed in 2016 as the previous grant period came to a close. In addition to the lead abatement work completed, a variety of health and safety issues were addressed in the 13 units using Healthy Homes funding received in conjunction with the LHRD Grant with an average of \$2,722 per unit.

MaineHousing helped address statewide lead abatement contractor capacity by using LHRD Grant funds to pay for nearly 40 contractors and landlords to participate in Lead Supervisor training.

Actions taken to reduce the number of poverty-level families. 91.220(k); 91.320(j)

The strategy and goals of the Five-Year Consolidated Plan and the Annual Action Plan directly address the issues of individuals who are living in poverty.

In Maine, there is a statewide network of Community Action Agencies (CAAs) with a common purpose: of providing services to low income people across Maine. The goals of these agencies are to empower low income people to lift themselves and their families out of poverty.

In 2017, MaineHousing worked with the Community Action Agencies to weatherize 440 homes, improve the heating systems for 1,229 households, and to provide Low Income Home Energy Assistance to 38,873 households.

Actions taken to develop institutional structure. 91.220(k); 91.320(j)

The State of Maine has an efficient institutional structure through which housing and community development programs are delivered. DECD is the administrator of CDBG funds and MaineHousing is the administrator of HOME, HTF and ESG funding. Both MaineHousing and DECD participate in a number of standing meetings with representatives from state and local government, nonprofit, and private providers of housing, homelessness, and economic development services.

In 2017 MaineHousing worked with the Continuums of Care to implement programs to serve persons who are homeless. Additionally, Maine's CoC continues to coordinate and develop its Coordinated Entry efforts

Actions taken to enhance coordination between public and private housing and social service agencies. 91.220(k); 91.320(j)

MaineHousing continued to work closely with the Maine Community Action Agencies (CAAs) to deliver services for HEAP, Weatherization, Home Repair, Lead Abatement, drought relief and Arsenic Abatement. Efforts to coordinate these Programs and to deliver services to Maine residents are executed through regular meetings with the CAAs and other partners, including the Maine Center for Disease Control and Prevention. Coordination is also conducted through regular email communications and web-based trainings.

In 2017 MaineHousing worked collaboratively with the PHAs in Maine as follows:

- Joined the statewide centralized Housing Choice Voucher waitlist as mandated by Maine law, and facilitated the membership of other local PHAs by paying their initial fee to be set up in the new system.
- Facilitated a collaborative decision-making process with all local PHAs around new policy in the MaineHousing Statute, which was revised in 2017. This included policy allowing MaineHousing to administer special program vouchers in municipalities that have a local PHA, enabling voucher holders to more easily access service providers in the more urban areas.
- Offered landlord educational outreach sessions in collaboration with Brewer, Bangor, Old

Town, Presque Isle, Caribou, Bath and Brunswick Housing Authorities.

- Invited local PHA inspections staff to educational forums around technical themes and personal safety.
- Participated in ‘Occupancy meetings’ which included staff representatives of PHAs statewide. These meetings included discussions of best practices, new regulation implementation as well as a training component.
- Our Family Self-Sufficiency staff continue to lead statewide efforts to improve processes and evaluation of the program amongst those PHAs who administer it, along with bringing together all other PHA FSS staff to analyze and respond to new HUD regulations in relation to the program. Along with Portland HA we are members of the National FSS Network through a partnership with Compass Working Capital now in its second year.

Identify actions taken to overcome the effects of any impediments identified in the jurisdictions analysis of impediments to fair housing choice. 91.520(a)

In 2015 MaineHousing updated the Analysis of Impediments to Fair Housing Choice. The Analysis is available on the MaineHousing website.

See the attached list of actions taken in 2017 to address impediments identified in the 2015 Analysis of Impediments to Fair Housing Choice.

CR-40 - Monitoring 91.220 and 91.230

Describe the standards and procedures used to monitor activities carried out in furtherance of the plan and used to ensure long-term compliance with requirements of the programs involved, including minority business outreach and the comprehensive planning requirements

MaineHousing monitors multi-family rental projects receiving HOME funds for compliance with the program rules and property standards through on-site inspections at the required frequency outlined in 24 CFR §92.504(d). As outlined in the 2013 Final HOME Rule, in 2016 MaineHousing implemented a risk-based model which adjusted the frequency intervals for inspections. On-site inspections consist of both tenant file reviews and physical plant inspections of 20% of HOME-assisted units, although the two may not happen simultaneously. In some cases, the file review and inspection may occur in offsetting years to allow for broader coverage – this is especially true in those cases where there is no other financing in the property. Reports are issued for both types of inspections and Owners are provided 30 days to rectify any non-compliance identified during the review (or less if more significant health and safety items are identified through the physical plant inspection of the units). If non-compliance remains uncorrected, the property could be declared to be in default and action taken to call the note. In some circumstances, the period of affordability may be extended for any period the property was deemed non-compliant.

In addition to on-site reviews, annually MaineHousing reviews all financial reports provided by the owner (budgets and Audited Financial Reviews) to identify potential financial risks to the property, as well as the submitted tenant status reports that outline the tenancy and income levels of tenants in HOME units, allowing validation that the targeted population continues to be served in the property and that rents fall within allowable limits.

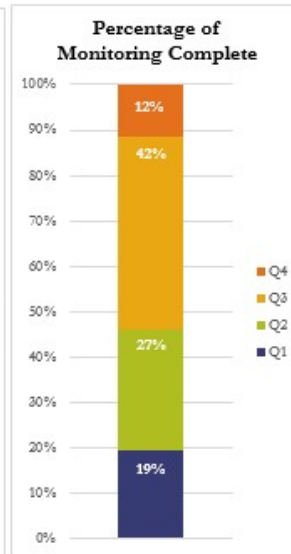
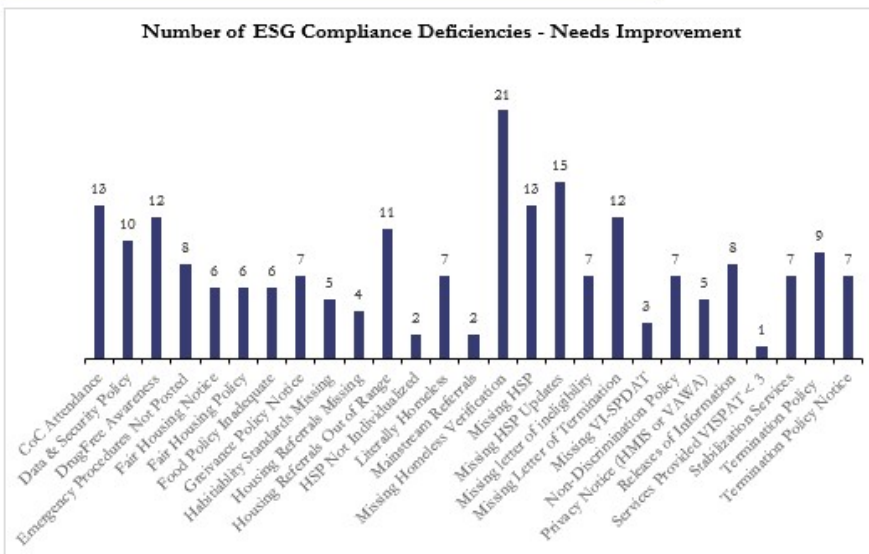
Maine developed a risk-assessment monitoring model for ESG with the assistance of HUD Technical Assistance in 2017. Below is a snapshot of the resulting “dashboard” used to track the monitoring and technical assistance needs of shelter providers as well as serve as a conduit to report back to the CoC.

DECD monitors all CDBG projects before final closeout.

Risk Analysis Score	All Shelters 16.0
---------------------	------------------------------------

Shelter		
All Shelters	BAHS	Bread of Life
Caring Unlimited	COP Oxford & Family ...	Emmanuel Homeless S...
Family Crisis Shelter	FVP - Somerset & Ke...	Home Inc.: Door Hous...
Hope and Justice Pro...	HSA-Sister Mary O'D...	KCHC - Hospitality Ho...
MidMaine Shelter	Milestone Inc. -ESHA...	New Beginnings
Next Step	Partners for Peace	PCHC-ESHAP Services
Preble Street - Joe K...	RGH - Family Center, ...	Rural Community Acti...
Safe Voices	Shaw House	Tedford - Adult & Family
Western Maine Homel...	YANA	YCSP Adult & Family

Total Score:	<30	30-50	51+
Level of Risk:	Low	Medium	High
Disclaimer for the shelter risk assessment score:			
The risk assessment score indicated is the first score in a multi-year risk assessment of all ESG funded shelters in the state. While each shelter score sets a baseline, it does not yet indicate any extreme risks to the ESG funding in Maine. Using this tool, within three years MaineHousing will have a more accurate risk assessment score of each shelter in the state, and the level of risk they pose to the overall ESG funding we receive			



Monitoring Dashboard

Citizen Participation Plan 91.105(d); 91.115(d)

Describe the efforts to provide citizens with reasonable notice and an opportunity to comment on performance reports.

The draft 2017 CAPER was available for public comment for 15 days beginning March 5th, 2018. The public was notified of the availability via newspaper ads in the Lewiston Sun Journal, Bangor Daily News, Kennebec Journal, and Portland Press Herald. DECD and MaineHousing posted copies of the draft document on their websites.

A Public Hearing to gather comments on the CAPER occurred on March 21, 2018 at the Maine State Housing Authority office. Three people attended the hearing. Public comments were invited through March 23, 2018. No comments were received.

Notice of the comment period and public hearing was provided through posting on the MaineHousing and DECD websites and via email to individuals and organizations. The MaineHousing email list includes community leaders, developers, CHDOs, local housing authorities, advocacy groups, nonprofit organizations, providers of housing and services to the homeless, and individuals. The public hearing notices encouraged participation by persons with disabilities.

A language translator is available on the MaineHousing website.

CR-45 - CDBG 91.520(c)

Specify the nature of, and reasons for, any changes in the jurisdiction's program objectives and indications of how the jurisdiction would change its programs as a result of its experiences.

There were no changes in the State's Program Objectives.

Does this Jurisdiction have any open Brownfields Economic Development Initiative (BEDI) grants?

No

[BEDI grantees] Describe accomplishments and program outcomes during the last year.

CR-50 - HOME 91.520(d)

Include the results of on-site inspections of affordable rental housing assisted under the program to determine compliance with housing codes and other applicable regulations

Please list those projects that should have been inspected on-site this program year based upon the schedule in §92.504(d). Indicate which of these were inspected and a summary of issues that were detected during the inspection. For those that were not inspected, please indicate the reason and how you will remedy the situation.

See the attached summary of projects monitored in 2017.

Provide an assessment of the jurisdiction's affirmative marketing actions for HOME units. 92.351(b)

All property owners are required to have a Management Plan and an Affirmative Fair Housing Marketing Plan that is approved by MaineHousing. The owner is responsible for selecting residents in a non-discriminatory manner and maintaining a written waiting list of applicants in accordance with the project's resident selection policies and criteria that comply with all federal and state laws prohibiting discrimination on the grounds of race, color, national origin, religion, sex, physical or mental handicap, sexual orientation, familial status, ancestry, and receipt of public assistance. As a result, there is a diverse ethnic and religious population that resides in MaineHousing funded properties.

Refer to IDIS reports to describe the amount and use of program income for projects, including the number of projects and owner and tenant characteristics

MaineHousing is following HUD guidance for implementation of the HOME Interim Final Rule. The current guidance allows Participating Jurisdictions to accumulate program income in the current program year for commitment in the following year. As a result of this change in guidance MaineHousing has accumulated \$120,518 in program income during 2017. These funds will be committed in 2018.

Describe other actions taken to foster and maintain affordable housing. 91.220(k) (STATES ONLY: Including the coordination of LIHTC with the development of affordable housing). 91.320(j)

MaineHousing uses the Rental Loan Program (RLP) to provide low interest, long term mortgage financing to qualified private, for profit and nonprofit developers of affordable rental housing. The RLP may be used for new construction and for the acquisition and rehabilitation of existing housing. MaineHousing combines a number of financial resources for the RLP including HOME and HTF funding, tax-exempt financing, and various state resources. This program is specifically designed to be used in conjunction with the equity provided through the LIHTC.

CR-60 - ESG 91.520(g) (ESG Recipients only)

ESG Supplement to the CAPER in *e-snaps*

For Paperwork Reduction Act

1. Recipient Information—All Recipients Complete

Basic Grant Information

Recipient Name	MAINE
Organizational DUNS Number	809045511
EIN/TIN Number	016000001
Identify the Field Office	BOSTON
Identify CoC(s) in which the recipient or subrecipient(s) will provide ESG assistance	Maine Balance of State CoC

ESG Contact Name

Prefix	Mrs
First Name	PAULA
Middle Name	M
Last Name	WEBER
Suffix	0
Title	Compliance Officer

ESG Contact Address

Street Address 1	353 Water Street
Street Address 2	0
City	Augusta
State	ME
ZIP Code	04330-4633
Phone Number	2076264600
Extension	4619
Fax Number	2076464678
Email Address	pweber@mainehousing.org

ESG Secondary Contact

Prefix
First Name
Last Name
Suffix
Title
Phone Number
Extension
Email Address

2. Reporting Period—All Recipients Complete

Program Year Start Date 01/01/2017
Program Year End Date 12/31/2017

3a. Subrecipient Form – Complete one form for each subrecipient

Subrecipient or Contractor Name: Bangor Area Homeless Shelter
City: Bangor
State: ME
Zip Code: 04401, 6403
DUNS Number: 783449689
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 29388.91

Subrecipient or Contractor Name: Bread of Life Ministries
City: Augusta
State: ME
Zip Code: 04330, 4607
DUNS Number: 780854311
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 15250.57

Subrecipient or Contractor Name: Caring Unlimited Inc.
City: Sanford
State: ME
Zip Code: 04073, 0550
DUNS Number: 780082806
Is subrecipient a victim services provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 19538.89

Subrecipient or Contractor Name: Community Concepts Inc.
City: South Paris
State: ME
Zip Code: 04281, 0278
DUNS Number: 086887056
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 2386.68

Subrecipient or Contractor Name: Family Crisis Services
City: Portland
State: ME
Zip Code: 04104, 0704
DUNS Number: 043790832
Is subrecipient a victim services provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 14923.68

Subrecipient or Contractor Name: Family Violence Project
City: Augusta
State: ME
Zip Code: 04332, 0304
DUNS Number: 198925133
Is subrecipient a victim services provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 12224.55

Subrecipient or Contractor Name: HOME Inc.
City: Orland
State: ME
Zip Code: 04472, 0010
DUNS Number: 071733240
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 31430.72

Subrecipient or Contractor Name: The Emmaus Center
City: Ellsworth
State: ME
Zip Code: 04605, 0223
DUNS Number: 829703040
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 14316.21

Subrecipient or Contractor Name: Homeless Services of Aroostook
City: Presque Isle
State: ME
Zip Code: 04769, 1753
DUNS Number: 195221630
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 20419.75

Subrecipient or Contractor Name: Hope and Justice Project
City: Presque Isle
State: ME
Zip Code: 04769, 2254
DUNS Number: 627646102
Is subrecipient a victim services provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 20620.83

Subrecipient or Contractor Name: Mid-Maine Homeless Shelter
City: Waterville
State: ME
Zip Code: 04903, 2612
DUNS Number: 803876713
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 40954.62

Subrecipient or Contractor Name: Milestone Foundation
City: Portland
State: ME
Zip Code: 04101, 4209
DUNS Number: 073993883
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 33208.23

Subrecipient or Contractor Name: New Beginnings Inc.
City: Lewiston
State: ME
Zip Code: 04240, 6736
DUNS Number: 194538534
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 17367.89

Subrecipient or Contractor Name: The Next Step Domestic Violence Project
City: Ellsworth
State: ME
Zip Code: 04605, 1465
DUNS Number: 046740339
Is subrecipient a victim services provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 4605.12

Subrecipient or Contractor Name: Penobscot Community Health Center Inc.

City: Bangor

State: ME

Zip Code: 04402, 2100

DUNS Number: 034744040

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 86652.12

Subrecipient or Contractor Name: Preble Street: Florence House

City: Portland

State: ME

Zip Code: 04104, 1459

DUNS Number: 780081485

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 32267.89

Subrecipient or Contractor Name: Preble Street: Joe Kreisler Shelter

City: Portland

State: ME

Zip Code: 04104, 1459

DUNS Number: 780081485

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 14086.97

Subrecipient or Contractor Name: Rumford Group Homes Inc.

City: Rumford

State: ME

Zip Code: 04276, 1846

DUNS Number: 603062365

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 20814.28

Subrecipient or Contractor Name: Rumford Group Homes Inc.: Norway Shelter

City: Rumford

State: ME

Zip Code: 04276, 1846

DUNS Number: 603062365

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 12949.65

Subrecipient or Contractor Name: Rumford Group Homes Inc.: Rumford/South Paris
City: Rumford
State: ME
Zip Code: 04276, 1846
DUNS Number: 603062365
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 367.97

Subrecipient or Contractor Name: Rural Community Action Ministries
City: Leeds
State: ME
Zip Code: 04263, 3402
DUNS Number: 113617695
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 2899.34

Subrecipient or Contractor Name: Safe Voices
City: Auburn
State: ME
Zip Code: 04212, 0713
DUNS Number: 840710149
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 19946.83

Subrecipient or Contractor Name: Shaw House
City: Bangor
State: ME
Zip Code: 04401, 6327
DUNS Number: 927356907
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 15838.33

Subrecipient or Contractor Name: Spruce Run
City: Bangor
State: ME
Zip Code: 04402, 0653
DUNS Number: 783449697
Is subrecipient a victim services provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 14023.02

Subrecipient or Contractor Name: Tedford Housing: Family Shelter
City: Brunswick
State: ME
Zip Code: 04011, 1927
DUNS Number: 781973946
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 20438.31

Subrecipient or Contractor Name: Tedford Housing: Adult Shelter
City: Brunswick
State: ME
Zip Code: 04011, 1927
DUNS Number: 781973946
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 21177.56

Subrecipient or Contractor Name: YANA, Inc.
City: Saco
State: ME
Zip Code: 04072, 0328
DUNS Number: 966221041
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 2052.27

Subrecipient or Contractor Name: City of Portland: Family Shelter
City: Portland
State: ME
Zip Code: 04101, 2418
DUNS Number: 071747802
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Unit of Government
ESG Subgrant or Contract Award Amount: 126749.94

Subrecipient or Contractor Name: City of Portland: Oxford Street Shelter
City: Portland
State: ME
Zip Code: 04101, 2418
DUNS Number: 071747802
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Unit of Government
ESG Subgrant or Contract Award Amount: 215884.48

Subrecipient or Contractor Name: Knox County HHomeless Coalition
City: Rockland
State: ME
Zip Code: 04841, 1696
DUNS Number: 020536997
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 32572.58

Subrecipient or Contractor Name: Western Maine Homeless Outreach
City: Farmington
State: ME
Zip Code: 04938, 0830
DUNS Number: 463669071
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 8089.94

Subrecipient or Contractor Name: Rumford Group Homes Inc. Strathglass (Annex)
City: Rumford
State: ME
Zip Code: 04276, 1846
DUNS Number: 603062365
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 3838.86

Subrecipient or Contractor Name: Rumford Group Homes, Inc.: Rumford Family
City: Rumford
State: ME
Zip Code: 04276, 1846
DUNS Number: 603062365
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 3553.87

Subrecipient or Contractor Name: York County Shelter Programs Inc. - Adult Shelter
City: Alfred
State: ME
Zip Code: 04002, 0820
DUNS Number: 187039425
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 49078.14

CR-65 - Persons Assisted

NOTE: The CR-65 Persons Assisted section has been replaced by the SageHMIS CAPER Annual Reporting Tool. The State of Maine Sage 2017 CAPER report is attached to this CAPER.

4. Persons Served

4a. Complete for Homelessness Prevention Activities

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
Total	0

Table 16 – Household Information for Homeless Prevention Activities

4b. Complete for Rapid Re-Housing Activities

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
Total	0

Table 17 – Household Information for Rapid Re-Housing Activities

4c. Complete for Shelter

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
Total	0

Table 18 – Shelter Information

4d. Street Outreach

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
Total	0

Table 19 – Household Information for Street Outreach

4e. Totals for all Persons Served with ESG

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
Total	0

Table 20 – Household Information for Persons Served with ESG

5. Gender—Complete for All Activities

	Total
Male	0
Female	0
Transgender	0
Don't Know/Refused/Other	0
Missing Information	0
Total	0

Table 21 – Gender Information

6. Age—Complete for All Activities

	Total
Under 18	0
18-24	0
25 and over	0
Don't Know/Refused/Other	0
Missing Information	0
Total	0

Table 22 – Age Information

7. Special Populations Served—Complete for All Activities

Number of Persons in Households

Subpopulation	Total	Total Persons Served – Prevention	Total Persons Served – RRH	Total Persons Served in Emergency Shelters
Veterans	0	0	0	0
Victims of Domestic Violence	0	0	0	0
Elderly	0	0	0	0
HIV/AIDS	0	0	0	0
Chronically Homeless	0	0	0	0
Persons with Disabilities:				
Severely Mentally Ill	0	0	0	0
Chronic Substance Abuse	0	0	0	0
Other Disability	0	0	0	0
Total (Unduplicated if possible)	0	0	0	0

Table 23 – Special Population Served

NOTE

The CR-65 Persons Assisted section has been replaced by the SageHMIS ESG CAPER Annual Reporting Repository. The State of Maine ESG-CAPER report is attached to this CAPER.

CR-70 – ESG 91.520(g) - Assistance Provided and Outcomes

10. Shelter Utilization

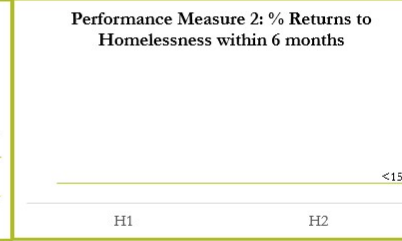
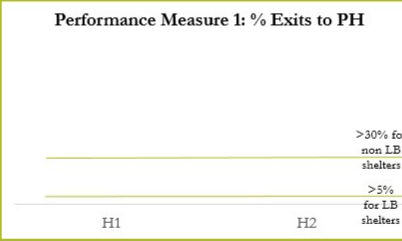
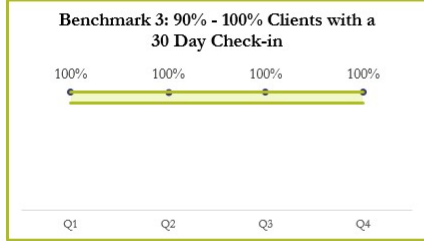
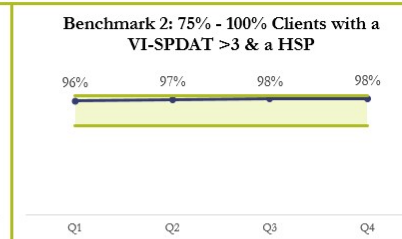
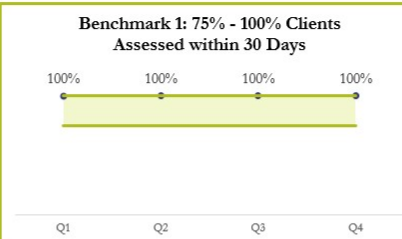
Number of New Units - Rehabbed	0
Number of New Units - Conversion	0
Total Number of bed-nights available	408,435
Total Number of bed-nights provided	356,734
Capacity Utilization	87.34%

Table 24 – Shelter Capacity

11. Project Outcomes Data measured under the performance standards developed in consultation with the CoC(s)

Maine’s network of 37 emergency homeless shelters statewide use these funds for operating expenses as well as housing relocation and stabilization services in order to rapidly re-house and stabilize individuals and families who are living in shelters and on the streets across Maine. These shelters employ 62 Housing Navigators that conduct comprehensive assessments of clients, create housing stability plans, assist with housing search and placement and follow clients beyond shelter to ensure housing stability. The percentage of shelters that meet the outcomes for initial assessments within 30 days, including the VISPDAT was 100%; 98% of shelters created Housing Stability Plans for clients with VISPDAT scores greater than 3; and 100% of clients received services at least every 30 days. The percentage of shelters that met the benchmark for exits to permanent housing increased from 78% for the first half of 2017 to 89% for the second half, and 92% of the shelters met the benchmark for housing stability, which is >15% returns to homelessness.

Quarter: Q1 Q2 Q3 Q4



Select One

All Shelters	BAHS	Bread of Life	Caring Unlimited (DV)	COP Family Shelter	COP Oxford (LB)
Emmaus Homeless Shelter	Family Crisis Shelter (DV)	FVP - Kennebec (DV)	FVP - Somerset (DV)	Home Inc.-Dorr House	Home Inc.-Duplex Orland
Home Inc.-St. Francis Comm...	Home Inc.-St. Francis Inn	Hope and Justice Project - Ca...	Hope and Justice Project - Fo...	Hope and Justice Project - H...	Hospitality House
HSA-Sister Mary O'Donnell ...	MidMaine Shelter	Milestone (LB)	New Beginnings (LB - Youth)	Next Step	PCHC (LB)
Preble Street - Joe Kreisler - ...	Preble Street - Florence Hous...	RGH - Family Center	RGH - Family Center Annex	RGH - Norway Family Center	Rural Community Action Min...
Safe Voices (DV)	Shaw House (LB - Youth)	Spruce Run Shelter (DV)	Tedford - Adult Shelter	Tedford Family Shelter	Western Maine Homeless Out...

2017 ESHAP Performance Metrics

CR-75 – Expenditures

11. Expenditures

11a. ESG Expenditures for Homelessness Prevention

	Dollar Amount of Expenditures in Program Year		
	2015	2016	2017
Expenditures for Rental Assistance	0	0	0
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	0	0
Expenditures for Housing Relocation & Stabilization Services - Services	0	0	0
Expenditures for Homeless Prevention under Emergency Shelter Grants Program	0	0	0
Subtotal Homelessness Prevention	0	0	0

Table 25 – ESG Expenditures for Homelessness Prevention

11b. ESG Expenditures for Rapid Re-Housing

	Dollar Amount of Expenditures in Program Year		
	2015	2016	2017
Expenditures for Rental Assistance	0	0	0
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	0	0
Expenditures for Housing Relocation & Stabilization Services - Services	549,289	823,455	642,873
Expenditures for Homeless Assistance under Emergency Shelter Grants Program	0	0	0
Subtotal Rapid Re-Housing	549,289	823,455	642,873

Table 26 – ESG Expenditures for Rapid Re-Housing

11c. ESG Expenditures for Emergency Shelter

	Dollar Amount of Expenditures in Program Year		
	2015	2016	2017
Essential Services	0	0	0
Operations	737,817	614,848	570,065
Renovation	0	0	0
Major Rehab	0	0	0
Conversion	0	0	0
Subtotal	737,817	614,848	570,065

Table 27 – ESG Expenditures for Emergency Shelter

11d. Other Grant Expenditures

	Dollar Amount of Expenditures in Program Year		
	2015	2016	2017
Street Outreach	0	0	0
HMIS	0	0	0
Administration	99,705	99,205	32,630

Table 28 - Other Grant Expenditures

11e. Total ESG Grant Funds

Total ESG Funds Expended	2015	2016	2017
	1,386,811	1,537,508	1,245,568

Table 29 - Total ESG Funds Expended

11f. Match Source

	2015	2016	2017
Other Non-ESG HUD Funds	0	0	0
Other Federal Funds	0	0	0
State Government	3,250,000	4,900,000	4,900,000
Local Government	0	0	0
Private Funds	0	0	0
Other	0	0	0
Fees	0	0	0
Program Income	0	0	0
Total Match Amount	3,250,000	4,900,000	4,900,000

Table 30 - Other Funds Expended on Eligible ESG Activities

11g. Total

Total Amount of Funds Expended on ESG Activities	2015	2016	2017
	4,636,811	6,437,508	6,145,568

Table 31 - Total Amount of Funds Expended on ESG Activities

Attachments

CR - 28 CDBG State PER

IDIS - PR28

U.S. Department of Housing and Urban Development
 Office of Community Planning and Development
 Integrated Disbursement and Information System
 State of Maine
 Performance and Evaluation Report
 For Grant Year 2017
 As of 02/28/2018
 Grant Number B17DC230001

02-28-18
 13:42
 1

Part I: Financial Status

A. Sources of State CDBG Funds

1)	State Allocation	\$10,606,496.00
2)	Program Income	
3)	Program income received in IDIS	\$0.00
3 a)	Program income received from Section 108 Projects (for SI type)	\$0.00
4)	Adjustment to compute total program income	
5)	Total program income (sum of lines 3 and 4)	\$0.00
6)	Section 108 Loan Funds	
7)	Total State CDBG Resources (sum of lines 1,5 and 6)	\$10,606,496.00

B. State CDBG Resources by Use

8)	State Allocation	
9)	Obligated to recipients	\$7,583,836.00
10)	Adjustment to compute total obligated to recipients	
11)	Total obligated to recipients (sum of lines 9 and 10)	\$7,583,836.00
12)	Set aside for State Administration	\$0.00
13)	Adjustment to compute total set aside for State Administration	
14)	Total set aside for State Administration (sum of lines 12 and 13)	\$0.00
15)	Set aside for Technical Assistance	
16)	Adjustment to compute total set aside for Technical Assistance	
17)	Total set aside for Technical Assistance (sum of lines 15 and 16)	
18)	State funds set aside for State Administration match	
19)	Program Income	
20)	Returned to the state and redistributed	

20 a)	Section 108 program income expended for the Section 108 repayment	
21)	Adjustment to compute total redistributed	
22)	Total redistributed (sum of lines 20 and 21)	\$0.00
23)	Returned to the state and not yet redistributed	\$0.00
23 a)	Section 108 program income not yet disbursed	\$0.00
24)	Adjustment to compute total not yet redistributed	
25)	Total not yet redistributed (sum of lines 23 and 24)	\$0.00
26)	Retained by recipients	\$0.00
27)	Adjustment to compute total retained	
28)	Total retained (sum of lines 26 and 27)	\$0.00
C. Expenditures of State CDBG Resources		
29)	Drawn for State Administration	\$0.00
30)	Adjustment to amount drawn for State Administration	
31)	Total drawn for State Administration	\$0.00
32)	Drawn for Technical Assistance	\$0.00
33)	Adjustment to amount drawn for Technical Assistance	
34)	Total drawn for Technical Assistance	\$0.00
35)	Drawn for Section 108 Repayments	\$0.00
36)	Adjustment to amount drawn for Section 108 Repayments	
37)	Total drawn for Section 108 Repayments	\$0.00
38)	Drawn for all other activities	\$1,644,143.00
39)	Adjustment to amount drawn for all other activities	
40)	Total drawn for all other activities	\$1,644,143.00
D. Compliance with Public Service (PS) Cap		
41)	Disbursed in IDIS for PS	\$0.00
42)	Adjustment to compute total disbursed for PS	
43)	Total disbursed for PS (sum of lines 41 and 42)	\$0.00
44)	Amount subject to PS cap	
45)	State Allocation (line 1)	\$10,606,496.00
46)	Program Income Received (line 5)	\$0.00
47)	Adjustment to compute total subject to PS cap	
48)	Total subject to PS cap (sum of lines 45-47)	\$10,606,496.00
49)	Percent of funds disbursed to date for PS (line 43 / line 48)	0.00%
E. Compliance with Planning and Administration (P/ A) Cap		

50)	Disbursed in IDIS for P/A from all fund types - Combined	\$47,067.00
51)	Adjustment to compute total disbursed for P/A	
52)	Total disbursed for P/A (sum of lines 50 and 51)	\$47,067.00
53)	Amount subject to Combined Expenditure P/A cap	
54)	State Allocation (line 1)	\$10,606,496.00
55)	Program Income Received (line 5)	\$0.00
56)	Adjustment to compute total subject to P/A cap	
57)	Total subject to P/A cap (sum of lines 54-56)	\$10,606,496.00
58)	Percent of funds disbursed to date for P/A (line 52 / line 57) Combined Cap	0.44%
59)	Disbursed in IDIS for P/A from Annual Grant Only	\$47,067.00
60)	Amount subject the Annual Grant P/A cap	
61)	State Allocation	\$10,606,496.00
62)	Percent of funds disbursed to date for P/A (line 59 / line 61) Annual Grant Cap	0.44%

Part II: Compliance with Overall Low and Moderate Income Benefit

- 63) Period specified for benefit: grant years _____
- 64) Final PER for compliance with the overall benefit test: [_____]

No data returned for this view. This might be because the applied filter excludes all data.

2017 AI Results

Analysis of Impediments to Fair Housing Choice 2017 Results

MaineHousing submits the following action plan to address impediments identified in its Analysis of Impediments to Fair Housing.

Impediment 1: Lack of Affordable Housing			
Action	Measurable Objective	Timeline	Responsible Department
1.1: Data collection and analysis Identify areas of highest need to prioritize multifamily housing placement	The number of multifamily housing units for elderly The number of multifamily housing units for families	Annually	CPD
Results: The number of multifamily housing units for elderly is 8,832. The number of multifamily housing units for families is 7,285.			
1.2: Data collection and analysis Assess the need for maintaining the level of funding for affordable housing	Changes (increase/decrease) in funding available for programs The number of external requests for data and analysis	Annually	CPD
Results: Program funding has remained level for the last several years, with the exception that State HomeFunds were increased for 2017. MaineHousing needs analysis shows that demand far outweighs supply. The number of external requests for data and analysis is 33.			
1.3: Data collection and analysis Conduct local community housing assessments upon request	The number of requests received and analyses completed	Upon Request	CPD
Results: Two requests for local analysis were received through MaineHousing's research unit. MaineHousing provided housing data to 33 unique individuals or organizations in 2017.			
1.4: Data collection and analysis Publish housing facts and organize data to assist external partners	The number of website hits to Housing Facts site The number of website hits to research site	Annually	CPD

Impediment 1: Lack of Affordable Housing			
Action	Measurable Objective	Timeline	Responsible Department
conducting affordable housing related research			
<p>Results: The Housing Facts page on the MaineHousing website received 1414 hits between 1/1/2017 and 12/31/2017. The research section of the MaineHousing website received 4419 hits between 1/1/2017 and 12/31/2017.</p>			
<p>1.5: Program Design Allocate low-income housing tax credits (LIHTC) and funding for new construction and preservation of affordable and mixed-income multifamily housing in Maine</p>	The number of new affordable units and number of affordable units preserved	Annually	AM/DEV/CPD
<p>Results: 130 existing affordable housing units were preserved. 509 new affordable housing units were created.</p>			
<p>1.6: Program Design Require deeper affordability than federal minimum affordability for LIHTC and tax-exempt bonds</p>	The percentage and number of units awarded LIHTC and funding that are targeted at or below 50% of AMI	Annually	DEV
<p>Results: 442 Units (69%) are targeted at or below 50% of AMI.</p>			
<p>1.7: Program Design Offer subsidy for affordable units to incent developers to provide more affordable units than required by the program, and allow developers to use other sources to provide</p>	The number of affordable units awarded LIHTC with affordability periods beyond the federally-required minimum and the program-required minimum	Annually	DEV

Impediment 1: Lack of Affordable Housing			
Action	Measurable Objective	Timeline	Responsible Department
deeper affordability in lieu of reducing MaineHousing's subsidy			
<p>Results: To clarify, the objective is the number of affordable units awarded LIHTC with deeper affordability than the federally-required minimum and the program-required minimum.</p> <p>Of the affordable LIHTC units created or preserved, 198 units provide additional affordability beyond the federal and program-required minimums.</p>			
<p>1.8: Program Design Require longer period of affordability than required under the federally-required minimum affordability period</p>	The number of units awarded LIHTC that are required to be affordable beyond the federally-required minimum affordability period	Annually	DEV
<p>Results: 509 units were awarded LIHTC with affordability periods beyond the federally required minimum in 2017.</p>			
<p>1.9: Homebuyer Assistance Maintain or increase the difference between MaineHousing's lower interest rate relative to the average bank rate for low and moderate income homebuyers</p>	Change in yearly differential in MaineHousing interest rate compared to market rate	Annually	HO
<p>Results: Market interest rates remained relatively stable during 2017, with only slight fluctuations up or down. MaineHousing was able to maintain its base interest rate between 25 - 50 basis points below market rates through the year.</p>			
<p>1.10: Homebuyer Assistance Provide down payment assistance to qualified homebuyers</p>	The number of buyers receiving down payment assistance	Annually	HO
<p>Results: 1,059 MaineHousing borrowers utilized the Advantage down payment assistance option, representing 96% of all 2017 loans made within the MaineHousing First Home Loan program.</p>			

Impediment 1: Lack of Affordable Housing			
Action	Measurable Objective	Timeline	Responsible Department
1.11: Homeowner Assistance Preserve existing affordable single family homes by providing grants and no interest loans to low-income households to make repairs and improvements	The number of low-income households assisted	Annually	EHS
Results: 7 low-income households were assisted with Mobile Home Replacements and 61 low-income households were assisted by the Home Repair program in 2017.			
1.12: Education and Outreach Distribute materials on affordable housing and fair housing at conferences, workshops, and other appropriate public venues	The number of events at which these materials are distributed The number of brochures and other materials distributed	Ongoing	HO
Results: Affordable housing brochures/materials were distributed at 6 events. Fair housing materials were distributed at 5 events. Affordable housing brochure/materials were available to 1,111 conference attendees. Fair housing materials were available to 377 conference attendees.			
1.13: Education and Outreach Publish data to educate the public on regional change and variations in home ownership and rental affordability	The number of website hits to Housing Facts site	Ongoing	CPD
Results: The Housing Facts page on the MaineHousing website received 1414 hits between 1/1/2017 and 12/31/2017.			
1.14: Education and Outreach	The number in attendance	Biannually	DIR

Impediment 1: Lack of Affordable Housing			
Action	Measurable Objective	Timeline	Responsible Department
Sponsor a conference for the affordable housing industry in the State (the Affordable Housing Conference) every other year.			
Results: 450 people attended the 2017 Affordable Housing Conference.			
1.15: Evaluation Examine MaineHousing Programs and Services to determine their success in addressing the lack of affordable housing	The number of programs that achieve performance goals	Annually	CPD
Results: MaineHousing published it's list of 2017 program accomplishments in February 2018.			
1.16: Evaluation Review Affirmative Fair Housing Marketing Plans for new and existing multi-family housing.	Number of plans reviewed.	Once upon project review and then every 1 to 3 years depending on the type of project	AM
Results: Prepared and issued clarifying guidance on Marketing Plan requirements including Affirmative Fair Housing Marketing procedures – posted to the MaineHousing website under the Asset Management tab. MaineHousing completed reviews of marketing procedures of 29 projects funded with HOME and/or match. In addition, 2 new projects submitted the AFHMP for review prior to leasing activity.			

Impediment 2. Racial, Ethnic and Cultural Barriers			
Action	Measurable Objective	Timeline	Responsible Department
2.1: Assess Maine's effectiveness in reducing racial, ethnic and cultural barriers to housing Examine data on:	Summary report shared with MaineHousing program directors and the public	Annually	CPD

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Impediment 2. Racial, Ethnic and Cultural Barriers			
Action	Measurable Objective	Timeline	Responsible Department
<ul style="list-style-type: none"> incomes of minority populations changes in the concentration of minority populations in Maine's Census tracts Research loan origination data for patterns related to race <p>Results: An analysis of Maine's racial and ethnic group's income, concentrations and home loan activities was completed in 2017.</p>			
<p>2.2: Ensure program information provided by MaineHousing is available in alternative languages.</p>	<p>The number of website hits to the translation tool</p> <p>Number of language translation services requested</p> <p>Use of Language Line</p>	Ongoing	CPD /HCV
<p>Results: In 2017, 29 consumers utilized Language Line in 8 Languages: 1 Arabic, 4 Cambodian, 4 French, 1 Kinyarwanda, 3 Russian, 14 Spanish, 1 Tiawanese and 1 Vietnamese. 15 of these calls were directly related to MaineHousing programs and 14 (all Spanish) were not. In addition, HCV used interpretation services for American Sign Language 1 time.</p> <p>The translation tool on the MaineHousing.org website was used 283 times during 2017.</p>			
<p>2.3: Provide the Fair Housing information and resource notice with housing information to clients. The notice contains fair housing, legal disabilities rights and LEP resources and is included in application packages used by Homeless</p>	<p>The number of notices sent</p>	Ongoing	HI/HCV

Impediment 2. Racial, Ethnic and Cultural Barriers			
Action	Measurable Objective	Timeline	Responsible Department
Initiatives and the Housing Choice Voucher team.			
<p>Results: 598 HCV Briefing packets (including notices on Fair Housing) were sent to clients. The Equal Housing Disclaimer is also noted on the Welcome Page of the Maine Centralized Section 8/HCV Waiting List.</p> <p>573 Fair Housing notices were provided to households while being given the STEP Coupon briefing.</p>			
<p>2.4: Coordinate and fund tenant education and financial literacy training for Navigators who in turn deliver financial literacy training for individuals transitioning from homeless shelters to permanent housing.</p>	<p>The number of navigators trained</p> <p>The number of clients trained</p>	Annually	HI
<p>Results: The number of navigators trained: 62 The number of clients trained: 2491</p>			
<p>2.5: Coordinate and fund Fair Housing Workshops for immigrants and refugees in Lewiston and Portland. Through the use of headsets, interpreters translate the presenter's remarks and printed literature is made available in different languages.</p>	<p>The number of workshops and immigrants/refugees trained</p>	Annually	HO
<p>Results: MaineHousing coordinated two Fair Housing workshops for immigrant and refugees. The first held November 2, 2017 at the Lewiston Public Library was attended by 8 people. The second held November 8, 2017 at Avesta Housing's 409 Cumberland Avenue complex in Portland was attended by 8 people. French, Somali, and Portuguese interpreters were available at each workshop.</p>			

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Impediment 2. Racial, Ethnic and Cultural Barriers			
Action	Measurable Objective	Timeline	Responsible Department
<p>2.6: Fund English as a Second Language financial literacy group education and one-on-one counseling for individuals who are not proficient in the English language.</p> <p>Results: Community Financial Literacy received \$11,046.36 in funding, which supported 13 financial capabilities classes serving 144 individuals and provided interpreter services for 8 of the 13 classes. In addition, the funding supported one-on-one counseling services for 24 individuals.</p> <p>The City of Portland Family Shelter received \$2,054 in funding, which supported interpreter services for 20 individuals.</p>	<p>Amount of funding and number of groups and individuals counseled</p>	<p>Annually</p>	<p>HO</p>
<p>2.7: Fund training to increase the financial expertise of housing counselors that offer English as a Second Language financial literacy group education in Portland and Lewiston.</p>	<p>Amount of funding and number of counselors trained</p>	<p>Annually</p>	<p>HO</p>
<p>Results: \$3,193.35 in HUD grant funds were used to attend 4 days of training and enhance the expertise of two Community Financial Literacy counselors.</p> <p>The first counselor, Claude Rwaganje, completed two National Council of La Raza courses: 1) Financial Capability I: Financial Counseling (April 24 & 25, 2017) and 2) Pre-Purchase (April 26, 27, & 28, 2017) in Atlanta, GA.</p> <p>The second counselor, Clement Yombe, completed one National Council of La Raza course: Foreclosure Counseling – April 24, 25, 26, & 27, 2017 in Atlanta, GA.</p>			

Impediment 3. Community Planning and Zoning Decisions that Impede Affordable Housing			
Action	Measurable Objective	Timeline	Responsible Department
3.1: Collaborate with local housing authorities, municipalities, Maine Municipal Association and area planning agencies	The number of requests for assistance	As Needed	CPD
Results: No requests for assistance were received by MaineHousing in 2017. MaineHousing worked with planning and housing organizations to develop an Opportunity Index to help guide housing investments.			
3.2: Attend local zoning and planning board meetings to educate members about fair housing laws upon request	The number of meetings Number of requests made and number of presentations delivered	As Needed	LEGAL/All Departments
Results: MaineHousing did not receive any requests for fair housing presentations or make any presentations to local zoning and planning boards in 2017.			
3.3: Develop materials designed to educate the public on the multiple benefits affordable housing can bring to each and every community	Materials Developed	2016	CPD
Results: The following materials were developed in 2017. Affordability Index and Housing Facts Housing Profile on energy use Interactive Maps for Congressional Districts, State Senate Districts and counties showing the distribution of households served in each geography. An economic impact analysis of LIHTC projects for 2015-16. These materials are available on the MaineHousing.org website under the Policy & Research section.			

Impediment 3. Community Planning and Zoning Decisions that Impede Affordable Housing			
Action	Measurable Objective	Timeline	Responsible Department
3.4: Upon request, review local and regional Analysis of Impediments (AI)	Number of requests fulfilled	As Needed	CPD
Results: No local or regional AI's reviews were requested in 2017.			
3.5: Support affordable housing projects against NIMBY efforts (discrimination by communities or neighbors) as necessary, to preserve the housing	Number of projects experiencing NIMBYism and number supported by MaineHousing	Ongoing	LEGAL/CPD
Results: One MaineHousing project had NIMBY issues. South Portland Housing Authority applied for financing under our LAP and PHA programs for the development of a project at 131 Sunset Avenue in South Portland. The project required a zoning change, which has not been approved by the City because of neighbor opposition. We have held the application open until the developer decides how to proceed.			

Impediment 4. Lack of Availability and Access to housing for disabled individuals			
Action	Measurable Objective	Timeline	Responsible Department
4.1: Create accessible units	Number of accessible units created	Annually	DEV
Results: 90 accessible units were created.			
4.2: The QAP incents housing developers to provide more accessible units than required under state and federal law	Number of additional accessible units created	Annually	DEV
Results: 55 of the accessible units created in 2017 were additional units not required by state and federal law.			
4.3: Educate developers and landlords about accessibility requirements	Number of developers and landlords reached with information	Ongoing	AM/DEV/HCV/EHS

Impediment 4. Lack of Availability and Access to housing for disabled individuals			
Action	Measurable Objective	Timeline	Responsible Department
<p>Results: A total of 122 landlords participated in 3 different Landlord Lunch and Learn forums where information on accessibility was provided.</p> <p>MaineHousing worked with one project owner of an existing project during rehab on accessibility requirements.</p> <p>10 developers were reached with accessibility requirement information in 2017.</p>			
4.4: Fund home retro program to make single family homes accessible	Number of homes retrofitted	Annually	EHS/CPD
<p>Results: 2 homes were assisted by the Home Retro Program.</p>			
4.5: Continue to encourage the use of MainehousingSearch.org to identify accessible units	Number flagged for accessibility Hits on mainehousingsearch.org	Ongoing	HI/CPD
<p>Results: The number of units flagged for accessibility is a point in time number. As of 12/31/2017, there were a total of 15,433 accessible units listed on the MaineHousingSearch website and 269 were available.</p> <p>During 2017, there were 447,935 page views on MaineHousingSearch.org.</p> <p>Use of Mainehousingsearch.org was encouraged as a resource for all Homeless Initiative clients. 573 households were given the resource directly while being given the STEP Coupon briefing.</p>			
4.6: Research and track the need for accessible units based on the concentration of people with disabilities	Research completed		CPD
<p>Results: The research is complete and there are 0 REACs in Maine using HUD's definition as shown on the AFFH tool.</p>			
4.7: Collaborate with members of the Statewide Independent Living Council to move individuals with disabilities from institutions to independent living	Number of homeward bound vouchers Number of individuals with disabilities achieving independence	Ongoing	AM/HCV/

Impediment 4. Lack of Availability and Access to housing for disabled individuals			
Action	Measurable Objective	Timeline	Responsible Department
Results: 2 individuals entered into the verification process in November of 2017 for the Homeward Bound Voucher Program.			
4.8: Continue to utilize Maine Relay 711 for the hearing impaired.	The number of Maine relay 711 calls	Ongoing	FAC
Results: MaineHousing used Maine relay 711 as needed in 2017. We estimate the service was used a minimum of 2 times in 2017.			
4.9: MaineHousing's website, www.mainehousing.org, is accessible to persons with disabilities.	The number of hits to accessibility information pages	Ongoing	CPD
Results: We have accessibility information included in our privacy policy on the MaineHousing webpage. This policy received 152 hits between 1/1/2017 and 12/31/2017.			

Impediment 5. Limited access to neighborhood opportunities and community assets			
Action	Measurable Objective	Timeline	Responsible Department
5.1: Conduct an economic impact study of housing in Maine	Number published and distributed	2016	CPD
Results: The Economic Impact Study received 81 hits between 1/1/2017 and 12/31/2017			
5.2: Utilize selection criteria in the LIHTC Qualified Allocation Plan to incent the development of affordable housing in high-opportunity areas Remove inherent disincentives for locating affordable housing in high-opportunity areas (municipal approval not required other than applicable zoning	The number of projects awarded LIHTC that are located in high-opportunity areas	Annually	DEV

Impediment 5. Limited access to neighborhood opportunities and community assets			
Action	Measurable Objective	Timeline	Responsible Department
approvals and entire State is designated as difficult-to-develop area to eliminate the economic incentive to locate affordable housing in QCTs)			
Results: 5 LIHTC projects (461 units) were located in high opportunity areas in 2017.			
5.3: Qualified Allocation Plan Require a preference to be given to persons on a Section 8 or public housing waiting list in all units in LIHTC projects that are not covered by RD or Section 8 project-based rental assistance	The number of projects awarded LIHTC that are located in high-opportunity areas	Annually	DEV
Results: To clarify, the objective is misstated: It should be the number of LIHTC projects that give a preference to persons on a section 8 or public housing waiting list. 10 LIHTC projects gave preference to persons on a Section 8 or public housing waiting list.			
5.4: Qualified Allocation Plan Incent the development of new housing in areas with access to community assets (location in service center communities with higher need and location near public transportation, schools, employment, services and other amenities important to daily living)	The number of projects awarded LIHTC that are located in service center communities based on need and location near community assets	Annually	DEV
Results: 9 LIHTC projects were located in service center communities in 2017.			

Impediment 5. Limited access to neighborhood opportunities and community assets			
Action	Measurable Objective	Timeline	Responsible Department
5.5: Qualified Allocation Plan Incent the development of affordable housing in areas where the differential between the maximum LIHTC rent and the market rent is higher	The LIHTC/market rent differential for projects awarded LIHTC	Annually	DEV
<p>Results: To clarify, the objective is the number of LIHTC projects in areas where the market rent exceeds the LIHTC rent.</p> <p>Of the 10 LIHTC projects completed in 2017, 5 were awarded points for being located in areas where the market rent is at least 10% higher than the market rent. The other 5 LIHTC projects are located in areas where the market rent is higher than the LIHTC rent although they were not funded under programs that incented it.</p>			
5.6: Qualified Allocation Plan Encourage economic diversity by incenting the development of new affordable housing in higher income areas and mixed-income housing in qualified census tracts	The number of projects awarded LIHTC that are located in high-income areas and the number of mixed-income projects awarded LIHTC that are located in QCTs	Annually	DEV
<p>Results: 5 LIHTC projects were located in high-income areas in 2017 and 3 mixed-income projects were located in QCTs.</p>			
5.7: Design a Tenant Incentive Program designed to assist lower income tenants to move into areas where public transportation is more readily available	Program developed	2016	HCV
<p>Results: One person participated in the new Security Deposit Program in 2017 offering landlords in low poverty areas security deposit funds up to \$1000.</p>			

Impediment 5. Limited access to neighborhood opportunities and community assets			
Action	Measurable Objective	Timeline	Responsible Department
5.8: Continue to provide e-HomeAmerica as an on-line option for home buyer education.	% of homebuyers who utilize eHomeAmerica on-line.	Ongoing	HO
Results: Between Oct. 1, 2016 and Sept. 30, 2017, 1,196 individuals completed eHomeAmerica's homebuyer education course online and one-hour, one-on-one post course educational session with a housing counselor.			
5.9: Continue to sponsor homeownership education classes that contain information about Fair Housing laws that are relevant to prospective home buyers.	Number of participants in home buyer education classes.	Ongoing	HO
Results: Between Oct. 1, 2016 and Sept. 30, 2017, 2,158 individuals completed an in-person homebuyer education class. The hoMEworks class curriculum requires instructors to address Fair Housing and Fair Lending.			
5.10: Utilize existing conferences and workshops to educate relevant professionals about fair housing law and enforcement	Number of relevant professionals educated Number of staff trained	Ongoing	HO/HI/HCV/CPD
Results: 33 HCV Department staff completed a Fair Housing Training in 2017.			
MaineHousing Hosted a 2 hour Fair Housing Workshop for Shelter Navigators and Shelter Directors on 1/12/2017. The information was presented by Pine Tree Legal Assistance (PTLA). This event occurred as part of the Homeless Initiatives annual Navigator training.			

Impediment 6. Lack of Understanding of Fair Housing and Affirmatively Furthering Fair Housing			
Action	Measurable Objective	Timeline	Responsible Department
6.1: Develop education materials aimed at reducing the number of discriminatory advertisements made by landlords	Number of information pieces distributed including newsletters and brochures	Ongoing	CPD/LEGAL/HCV
Results: MaineHousing is in the process of developing these materials.			
6.2: Partner with associations focused on human rights as it pertains to fair housing	Number of joint initiatives	Ongoing	HO and Program Directors
<p>Results: MaineHousing helped promote a series of Fair Housing Seminars and assisted by developing an attractive promotional flyer promoting the three April 2017 seminars. The 3-hour seminar designed for landlords and property managers was conducted by the Maine Human Rights Commission, and included speakers from Pine Tree Legal Assistance and Disability Rights Maine. The promotional flyers were sent to MaineHousing's Asset Management Department staff requesting that they forward the flyers to their multi-family property management company contacts.</p> <p>The Fair Housing Seminars were in the following locations –</p> <ul style="list-style-type: none"> ▪ Tuesday, April 4, 2017 – Augusta ▪ Thursday, April 6, 2017 – Westbrook ▪ Monday, April 10, 2017 – Bangor ▪ Friday, June 23, 2017 – Portland Wednesday, September 27, 2017 – Rockland <p>MaineHousing Hosted a 2 hour Fair Housing Workshop for Shelter Navigators and Shelter Directors on 1/12/2017. The information was presented by Pine Tree Legal Assistance (PTLA).</p>			
6.3: Coordinate fair housing complaint resolution with partners	Number of fair housing interventions	Ongoing	LEGAL
Results: No interventions were reported in 2017.			
6.4: Continue fair housing public education programs designed to assist	Number of relevant professionals receiving training	Ongoing	DEV/HCV/HO/AM/HI

Impediment 6. Lack of Understanding of Fair Housing and Affirmatively Furthering Fair Housing			
Action	Measurable Objective	Timeline	Responsible Department
landlords, builders, and relevant professionals			
<p>Results: MaineHousing did not offer Fair Housing Workshops for property managers and landlords in 2017. In December 2016, the Human Rights Commission contacted MaineHousing, Pine Tree Legal Assistance and Disability Rights Maine noting their intention to hold regional Fair Housing Workshops for landlords and property managers workshops April through September 2017 and asked our interest in making the workshops a joint effort. MaineHousing declined to be a workshop presenter, but volunteered to help organize and promote the workshops.</p> <p>Refer to 6.2: Partner with associations focused on human rights as it pertains to fair housing.</p> <p>Educational material continues to be available on our website and HCV will continue to include in the HCV Landlord Lunch and Learn Forums and Landlord Newsletters in 2018.</p> <p>MaineHousing Homeless Initiatives Monitors reviewed the Fair Housing Policies of 25 ESHAP grantee agencies to ensure compliance. 10 developers or landlords received training in 2017.</p>			
6.5: Maintain MaineHousing's Fair Housing website page which includes information and resources about fair housing and equal access laws.	Number of website hits on the Fair Housing page.	Ongoing	CPD
<p>Results: The Fair Housing page on our MaineHousing.org website received 1429 hits between 1/1/2017 and 12/31/2017.</p>			
6.6: Continue to administer the HUD Housing Counseling Grant	Number of individuals or families counseled	Ongoing	HO
<p>Results: Between Oct. 1, 2016 and Sept. 30, 2017, 436 individuals received HUD grant funded housing and/or financial literacy education or counseling services.</p>			
6.7: Continue to provide MaineHousing's comprehensive Communications Resource Guide to	Number of guides distributed./Website hits	Ongoing	LEGAL./AM/CPD

Impediment 6. Lack of Understanding of Fair Housing and Affirmatively Furthering Fair Housing			
Action	Measurable Objective	Timeline	Responsible Department
employees, contractors, agents, and owners/property managers of multi-family projects.			
Results: The Communication Resource Guide was added to the MaineHousing website on the Asset Management page as a resource to partners under “resources to Assist with Equal Access”. The Guide received 1 hit between 1/1/2017 and 12/31/217. The Communication Resource Guide is posted on the Intranet for use by Departments with their partners and for employees.			
6.8: MaineHousing refers fair housing complaints to appropriate fair housing and equal access agencies in the State.	Number of complaints referred.	Ongoing	LEGAL
Results: No referrals were documented in 2017			
6.9: MaineHousing has an internal grievance procedure for applicants and participants to file fair housing complaints about programs and services.	Number of internal grievances resolved.	Ongoing	LEGAL
Results: There were 3 internal grievances – one reasonable accommodation denial was reversed with conditions and the other two reasonable accommodation denials were upheld.			
6.10: Continue to mediate and resolve applicant and landlord/tenant issues through Community Mediation Services	Number of referrals	Ongoing	HCV
Results: 4 referrals were made to tenants for community mediation due to landlord/tenant issues but all declined.			

Impediment 6. Lack of Understanding of Fair Housing and Affirmatively Furthering Fair Housing			
Action	Measurable Objective	Timeline	Responsible Department
6.11: Continue to distribute MaineHousing's Rental Housing Guide.	Number of guides distributed. Number of Rental Housing Guide views on the website.	Ongoing	HCV/CPD
Results: The Rental Housing Guide continues to be distributed statewide upon request. The Rental Housing Guide was viewed on MaineHousing website 753 times.			
6.12: MaineHousing program documents and contracts include language requiring sub-recipients of MaineHousing funding to adhere to Fair Housing and Civil Rights laws and regulations.	Number of documents that don't comply.	Ongoing	LEGAL
Results: We have no documents to report.			
6.13: Encourage employees and staff working on behalf of MaineHousing to attend local education and training events on the topics of fair housing and equal access.	Number of training events attended.	Ongoing	CPD/Department Directors
Results: See response to Actions 2.1 and 5.1.			

2017 MultiFamily Monitoring Report

Maine Housing 2017 FedHOME Monitoring Activities

PrjNum	IDIS #	Fed Home Funds	Total Units	Fed Home Units	Compliance End Date	MOR date	PPI date	Summary of Issues/Concerns
MSHA-RLP-017	10164	\$319,889.00	27	5	8/12/2046	5/17/2017	5/23/2017	Section 8, Tax Credit and FedHOME. No issues identified at either the inspection or review. Review not required. Inspection completed again due to concerns noted previous year. Items found to be rectified. Project finishing major rehab.
MSHA-RLP-949	10163	\$775,283.00	73	7	9/19/2046	9/13/2016	9/27/2017	No issues identified.
MSHA-RLP-1365	N/A	\$0.00	20	0	3/29/2026	7/19/2017	5/26/2017	Non-working GFI, blocked egress and minor repairs being covered under warranty (new project). All issues resolved. No issues with file review.
MSHA-RLP-1582	N/A	\$0.00	24	0	12/18/2035	5/25/2017	8/8/2017	No issues noted
MSHA-RLP-1075	N/A	\$0.00	24	0	3/20/2030	2/22/2017	12/18/2017	Review was conducted due to issues with previous year's review. Items resolved except wrong UAs. Corrected by year end. No previous concerns with inspections requiring follow up.
MSHA-RLP-1303	N/A	\$0.00	27	3	7/2/2024	10/24/2017	10/26/2016	No evidence Fire Extinguishers inspected annually as required. Resolved. No issues with tenant file review affecting eligibility.
MSHA-RLP-1524	N/A	\$0.00	24	10	10/1/2033	3/27/2017	9/20/2017	Small amount of peeling paint, damaged wall in unit and deteriorated carpeting. Issues rectified. No concerns noted during file review.
MSHA-RLP-1297	N/A	\$0.00	16	5	4/10/2023	4/19/2017	4/19/2017	No HRS items denoted during inspection. Small items of deficiency noted - emergency lighting outside laundry room and dryer vents accumulating lint. Items corrected.
MSHA-RLP-1615	N/A	\$0.00	24	10	6/18/2035	9/20/2017	9/12/2017	Follow up review due to issues identified previous year. Some issues identified with income calculations and effective dates but nothing affecting eligibility for HOME.
MSHA-RLP-1417	N/A	\$0.00	40	10	11/16/2026	10/24/2017	11/4/2016	Tax credit and FedHOME project. Management review noted nothing affecting tenant eligibility. Inspection noted kitchen range door not working properly. Subsequently rectified.
MSHA-RLP-998	10165	\$600,000.00	35	0	11/3/2046	1/17/2017	3/27/2017	Off year inspection - management review completed 2016. Inspection found steps and railings are deteriorating and have peeling paint throughout. Rehab underway to correct those items.
MSHA-SHP-1197	5325	\$84,871.00	1	1	11/21/2041	5/17/2016	10/24/2017	Off year inspection - management review completed in 2016. Inspection found vegetation touching building and small staining issues on carpet and ceiling in units. Items corrected.
MSHA-CDC-1311	6886	\$74,900.00	4	1	2/3/2020	5/17/2016	6/13/2017	Management review found missing FedHOME lease addenda. Item corrected. Inspection found aging property with several issues including rusting pipes, graffiti, failed seams in walls/ceiling in units, broken handrails, and missing outlet cover. A lot of tenant caused damage. All items subsequently cleared.
MSHA-RLP-1383	6983	\$145,000.00	20	1	9/1/2036	9/20/2017	8/9/2017	Off year inspection. Issues with cracking/heaving of walkways and paving along with some spalling of steps. Overgrown vegetation and tenant caused blocked egress in one unit. Items corrected. Some units have PBVs so must meet minimum HQS standards.
MSHA-CDC-1390h	9980	\$133,919.90	30	2	4/30/2027	5/17/2016	6/13/2017	Deed in lieu in process - owners walking away. Unable to complete management review because of this but was able to inspect. Inspection found typical age related items - shingles nearing end of useful life, small items in units - missing baseboard cover, missing electrical outlet covers, and debris on exterior of the building. In process of identifying management to oversee property. Will be closely monitored in 2018.
M925-0060-825	41	\$35,000.00	3	2	6/15/2019	10/6/2014	11/14/2017	

MaineHousing
2017 FedHOME Monitoring Activities

PrjNum	IDIS #	Fed Home Funds	Total Units	Fed Home Units	Compliance End Date	MOR date	PPI date	Summary of Issues/Concerns
M935-0168-837	118	\$35,000.00	2	2	7/1/2019	1/5/2015	10/2/2017	Currently monitoring property for non-compliance. Need to do review in 2018. Was able to inspect only exterior and found missing mortar in brick areas, missing siding, missing bricks from chimney. Need to go back and complete full interior inspection asap.
M93R-0191-853	139	\$30,000.00	5	3	7/15/2024	10/8/2015	12/13/2017	Multiple UPCS violations identified including boiler issues, tenant caused blocked egress, missing electrical covers, damaged doors, cracked walkways, rust/corrosion on HVAC system; peeling paint. Still awaiting clearance. Will inspect again in 2018 and conduct management review.
MSHA-RLP-1487	9817	\$132,174.00	66	3	1/14/2041	10/24/2017	8/28/2017	Management review of tax credits and fedhome identified issues with Utility Allowances. No affect on eligibility. UAs corrected and adjustments made. Inspection noted overgrown vegetation, ponding on roof areas, and a leaking sink in a unit. All items corrected.
MSHA-RLP-1259	5947	\$75,000.00	12	3	7/20/2024	9/20/2017	6/22/2017	Management review one file where lease addenda was missing from the file. Item corrected. Inspection noted overgrown vegetation, rust on boilers and HVAC system in spots, slow draining sink; holes in boiler room ceiling negating fire rating. Items of concern corrected.
MSHA-RLP-1429	7948	\$560,000.00	28	4	1/16/2038	7/19/2017	5/23/2017	Management review of tax credit and fedHOME. No issues identified with HOME eligibility. Inspection noted issues with cracking pavement. Work scheduled for late summer to repair issue. Management review noted issues with proper identification of FedHOME units but it was determined no concerns on actual eligibility of tenants. This is also a tax credit project. Issue was resolved. Inspection noted no significant concerns.
MSHA-RLP-1428	8520	\$520,000.00	26	4	12/18/2027	4/11/2017	4/27/2017	Tax credit and FedHOME funding in project. No issues identified during management review and no items noted with inspection.
MSHA-RLP-1547	10053	\$535,345.00	29	4	3/21/2043	7/19/2017	5/5/2017	Management review encompassing both tax credit and FedHOME found nothing indicating concerns with HOME eligibility. Inspection identified no UPCS concerns. Superior score.
MSHA-RLP-1288	5923	\$350,000.00	18	4	5/18/2024	3/27/2017	9/20/2017	Inspection found peeling paint on the exterior, standing water in the basement and missing switchcover in bedroom. Awaiting response. Limited review identified no concerns with tenant eligibility.
M925-0038-780	33	\$87,500.00	5	5	2/1/2019	9/1/2017	11/18/2017	Management review covering both tax credit and FedHOME identified 2 instances of HOME tenants being charged higher rents than allowed. Follow up by management showed it was an administrative error - incorrect tenants identified as HOME assisted. Provided correct info to support HOME units and rents charged. No issues. Corrected procedure to ensure proper identification of HOME units. Inspection identified no issues.
MSHA-RLP-1519	9910	\$702,825.00	38	5	12/13/2031	4/11/2017	4/7/2017	Management review identified issues with determination of eligibility (didn't use 2 months worth of source documentation; source documentation provided was too old (beyond 6 month period). items cleared. Eligibility not an issue. No issues identified during inspection other than clutter in one unit (tenant caused). No egress concerns or rodent concerns because of the clutter.
MSHA-RLP-1375	8117	\$735,000.00	23	5	8/4/2038	4/11/2017	4/18/2017	Tax credit and FedHOME project. No concerns identified with management review. Physical Plant noted one unit with stained carpeting/tenant caused.
MSHA-RLP-1469	9567	\$470,609.00	17	6	4/23/2040	12/13/2017	1/19/2017	

MaineHousing
2017 FedHOME Monitoring Activities

PrjNum	IDIS #	Fed Home Funds	Total Units	Fed Home Units	Compliance End Date	MOR date	PPI date	Summary of Issues/Concerns
MSHA-SHP-1416	7616	\$200,000.00	6	6	7/13/2021	6/6/2017	3/24/2017	Inspection identified no UPCS items. Superior score. Limited scope review identified no concerns with tenants meeting HOME eligibility criteria or issues with rents.
MSHA-RLP-1462	9569	\$900,000.00	41	6	6/1/2040	1/21/2015	9/18/2017	Tax credit and FedHOME project. On 3 year review cycle. Inspection identified multiple UPCS violations - erosion, tenant caused blocked egress in 2 units, storage of flammables on stove by tenant, slow drains; drains not holding water. Most items cleared but still working with management to correct the remaining items. Also a tax credit project. Management review and follow up inspection to be completed in 2018.
MSHA-RLP-1251h	6932	\$250,000.00	21	6	2/3/2025	7/12/2017	11/2/2017	This project is tax credit, Section 8 and FedHOME. No concerns with tenant eligibility. Inspection noted a few UPCS violations - missing switch plate from wall switch near entrance door, tenant caused blocked egress, wash basin stopper broken, not draining properly. Awaiting final clearance of issues by management - late on responses.
MSHA-RLP-1585	10064	\$416,215.00	20	6	3/19/2044	9/20/2017	9/12/2017	Management review of tax credit and fedhome noted no issues with tenant eligibility or rent. Inspection identified overgrown vegetation and ceiling stain in one unit. Items cleared.
M925-0001-874	1903	\$157,000.00	7	7	12/15/2024	5/15/2017	3/3/2017	Inspection identified no UPCS violations. Superior score. Limited file review noted no concerns with tenant eligibility or rent.
MSHA-RLP-1294h	5958	\$1,100,660.00	120	9	12/1/2021	6/28/2017	6/28/2017	This project is Sec 8, Tax Credit and FedHOME. Annual review of the project required. No concerns with HOME eligibility. Inspection noted a few UPCS - broken stopper in basis, broken door to bathroom, bathtub slow draining. Items promptly corrected.
MSHA-RLP-1424	8212	\$600,000.00	30	9	4/3/2038	4/19/2017	4/19/2017	This project is FedHOME and LIHTC. No concerns noted with either the management review or the inspection.
MSHA-SHP-870	172	\$60,000.00		10	4/19/2025	9/15/2015	10/2/2017	Management review and inspection are not concurrent - they are in offset years. Inspection identified no physical plant concerns. MH working with owner related to on-going management entity financial concerns. No issues with tenant eligibility.
MSHA-CHDD-1161	4752	\$216,150.00	18	12	11/8/2031	12/9/2015	11/7/2017	Management review and inspection not concurrent - in offset years. Inspection identified one concern with filter missing on kitchen exhaust fan exposing fan blades. Item corrected.
MSHA-RLP-1623	10301	\$1,803,622.00	38	15	7/31/2047	11/9/2017	11/6/2017	New tax credit with FedHOME. Initial inspection and management review. Inspection noted 2 slow draining wash basins and one broken stopper. All items corrected. No findings during management review.
MSHA-RLP-1315	6387	\$396,456.00	26	18	2/1/2025	4/20/2016	4/27/2017	Tax credit and FedHOME project. Review and inspection in off years. Inspection noted no significant issues. Superior inspection score.
MSHA-RLP-1398	7842	\$2,830,309.00	40	29	11/19/2037	12/4/2017	11/28/2017	Tax credit and FedHOME project. Management review found no eligibility or payment issues. Inspection noted 2 bad GFCIs needing to be replaced. Items corrected.
MSHA-RLP-1202	5130	\$2,262,670.00	36	36	11/25/2032	12/7/2017	10/26/2016	Tax credit and FedHOME project. No issues identified during management review.
MSHA-RLP-1224	5474	\$1,551,900.00	296	36	10/30/2018	11/15/2017	9/28/2017	Inspection found minor rusting on meter boxes, broken toilet seat in unit, kitchen faucet broken in several units, peeling paint, debris on basement stairs in one unit. Items cleared. No issues identified during management review.

MaineHousing
2017 FedHOME Monitoring Activities

PrjNum	IDIS #	Fed Home Funds	Total Units	Fed Home Units	Compliance End Date	MOR date	PPI date	Summary of Issues/Concerns
MSHA-SHP-884	200	\$49,856.00	3	1	5/18/2025	4/3/2017	3/24/2017	No change in tenancy for rent restricted unit. Meets income guidelines. Inspection noted no major issues. One emergency light in 3rd floor hallway out.
M935-0103-807	62	\$122,500.00	8	7	3/10/2019	11/24/2015	12/14/2017	Tenants are long term tenants at this location - no change in tenancy. Inspection found typical property aging - peeling paint, missing mortar and water damage in one unit from leaking pipe. Awaiting response from owner.
M925-0050-806	89	\$175,000.00	10	10	2/10/2019	1/30/2017	12/7/2017	Inspection found peeling paint on porches, cracked walkways and driveways. Awaiting response from owner. Limited file review completed in house. No concerns noted with tenant eligibility.
MSHA-NEWL-942	1173	\$41,664.00	6	2	1/26/2018	11/24/2015	12/14/2017	Property paid off and discharged. Final inspection.
M925-0226-897	210	\$22,200.00	4	2	4/23/2016	11/24/2015	12/14/2017	Property paid off and discharged. Final inspection.
MSHA-NOFA-970	1322	\$4,286.00	7	2	1/6/2017	5/16/2016	6/19/2017	Property paid off and discharged. Final inspection.
MSHA-SHP-957	1970	\$5,575.00	4	4	6/12/2017	7/11/2016	3/2/2017	Property paid off and discharged. Final inspection.
MSHA-CHDO-1186	5128	\$88,000.00	5	5	10/30/2017	11/3/2016	4/26/2017	Property paid off and discharged. Final inspection.

2017 ESG CAPER

HUD ESG CAPER 2017

Filters for this report

Client ID 78922
Report executed on 3/1/2018 7:50:46 AM

Q01a. Contact Information

First name Paula
Middle name
Last name Weber
Suffix
Title
Street Address 1 353 Water Street
Street Address 2
City Augusta
State Maine
ZIP Code 04353
E-mail Address pweber@mainehousing.org
Phone Number (207)626-4619
Extension
Fax Number

Q01b. Grant Information

NumberCurrent Authorized
AmountTotal DrawnBalanceObligation
DateExpenditure
Deadline2017E17DC23000151.311,285.
0051,245,569.09565,715.919/22/2017
9/22/20192016E16DC23000151.322,74
3.0051,322,743.00507/22/20167/22/2
0182015E15DC23000151.329,400.0051
.329,400.00506/24/20156/24/2017201
4E14DC23000151.235,790.0051,235,79
0.00506/4/20146/4/20162013E13DC23

ESG information from IDIS
CAPER reporting includes funds used from fiscal year:
Project types carried out during the program year:
Enter the number of each type of projects funded through ESG
during this program year.
Street Outreach 0
Emergency Shelter 35
Transitional Housing (grandfathered under ES) 0
Day Shelter (funded under ES) 0
Rapid Re-Housing 35
Homelessness Prevention 0

Q01c. Additional Information

HMIS

Comparable Database

Are 100% of the project(s) funded through ESG, which are allowed to
use HMIS, entering data into HMIS? Yes
Have all of the projects entered data into Sage via a CSV - CAPER
Report upload? Yes
Are 100% of the project(s) funded through ESG, which are allowed to
use a comparable database, entering data into the comparable
database? Yes
Have all of the projects entered data into Sage via a CSV - CAPER
Report upload? Yes

Q04a: Project Identifiers in HMIS

Organization Name Homeless Services of Aroostook Inc
Organization ID 303
Project Name HSA - Sister Mary O'Donnell Shelter
Project ID 24
HMIS Project Type 1
Method of Tracking ES 0
Is the Services Only (HMIS Project Type 6) affiliated with a residential
project?
Identify the Project ID's of the Housing Projects this Project is
Affiliated with
CSV Exception? No
Uploaded via emailed hyperlink? Yes
Email unique ID record link Q0cPSIdoDJ
Project name (user-specified) HSA - Sister Mary O'Donnell Shelter
Project type (user-specified) Emergency Shelter
Organization Name New Beginnings Inc.
Organization ID 95
Project Name New Beginnings Inc. - Emergency
Project ID 110
HMIS Project Type 1
Method of Tracking ES 0
Is the Services Only (HMIS Project Type 6) affiliated with a residential
project?
Identify the Project ID's of the Housing Projects this Project is
Affiliated with
CSV Exception? No
Uploaded via emailed hyperlink? Yes
Email unique ID record link 8gofHZz75p
Project name (user-specified) New Beginnings Inc. - Emergency
Project type (user-specified) Emergency Shelter
Organization Name HOME Inc
Organization ID 23
Project Name Emmaus Homeless Shelter
Project ID 22
HMIS Project Type 1

Method of Tracking ES 0
 Is the Services Only (HMIS Project Type 6) affiliated with a residential project?
 Identify the Project ID's of the Housing Projects this Project is Affiliated with
 CSV Exception? No
 Uploaded via emailed hyperlink? Yes
 Email unique ID record link ZJicwr1kKe
 Project name (user-specified) Home Inc - Emmaus Homeless Shelter
 Project type (user-specified) Emergency Shelter
 Organization Name Shaw House
 Organization ID 70
 Project Name Shaw House - Emergency Youth Shelter
 Project ID 72
 HMIS Project Type 1
 Method of Tracking ES 0
 Is the Services Only (HMIS Project Type 6) affiliated with a residential project?
 Identify the Project ID's of the Housing Projects this Project is Affiliated with
 CSV Exception? No
 Uploaded via emailed hyperlink? Yes
 Email unique ID record link g5MF53UJ3B
 Project name (user-specified) Shaw House - Emergency Youth Shelter
 Project type (user-specified) Emergency Shelter
 Organization Name YCSPI - Family Emergency Shelter
 Organization ID 990
 Project Name YCSPI - Family Emergency Shelter
 Project ID 990
 HMIS Project Type 1
 Method of Tracking ES 0
 Is the Services Only (HMIS Project Type 6) affiliated with a residential project?
 Identify the Project ID's of the Housing Projects this Project is Affiliated with
 CSV Exception? No
 Uploaded via emailed hyperlink? Yes
 Email unique ID record link qge7rhgDL
 Project name (user-specified) YCSPI - Family Emergency Shelter
 Project type (user-specified) Emergency Shelter
 Organization Name York County Shelter Programs, Inc.
 Organization ID 122
 Project Name YCSPI - York County Adult Shelter
 Project ID 123
 HMIS Project Type 1
 Method of Tracking ES 0

Is the Services Only (HMIS Project Type 6) affiliated with a residential project?
 Identify the Project ID's of the Housing Projects this Project is Affiliated with
 CSV Exception? No
 Uploaded via emailed hyperlink? Yes
 Email unique ID record link UQFHTzImXK
 Project name (user-specified) YCSPI - York County Adult Shelter
 Project type (user-specified) Emergency Shelter
 Organization Name Mid-Maine Homeless Shelter Inc
 Organization ID 300
 Project Name Mid-Maine Homeless Shelter -
 Project ID 25
 HMIS Project Type 1
 Method of Tracking ES 0
 Is the Services Only (HMIS Project Type 6) affiliated with a residential project?
 Identify the Project ID's of the Housing Projects this Project is Affiliated with
 CSV Exception? No
 Uploaded via emailed hyperlink? Yes
 Email unique ID record link 6v9z8YlqYy
 Project name (user-specified) Mid-Maine Homeless Shelter -
 Project type (user-specified) Emergency Shelter
 Organization Name Next Step Domestic Violence Project
 Organization ID
 Project Name Next Step - Safe Homes (DV)
 Project ID
 HMIS Project Type 1
 Method of Tracking ES
 Is the Services Only (HMIS Project Type 6) affiliated with a residential project?
 Identify the Project ID's of the Housing Projects this Project is Affiliated with
 CSV Exception? No
 Uploaded via emailed hyperlink? Yes
 Email unique ID record link 4SiG4PdGLo
 Project name (user-specified) Next Step - Safe Homes (DV)
 Project type (user-specified) Emergency Shelter
 Organization Name Milestone Recovery
 Organization ID 164
 Project Name Milestone - Substance Abuse Shelter
 Project ID 421
 HMIS Project Type 1
 Method of Tracking ES 0
 Is the Services Only (HMIS Project Type 6) affiliated with a residential project?

Identify the Project ID's of the Housing Projects this Project is Affiliated with

CSV Exception? No

Uploaded via emailed hyperlink? Yes

Email unique ID record link 96XpDsAXcC

Project name (user-specified) Milestone - Substance Abuse Shelter

Project type (user-specified) Emergency Shelter

Organization Name Western Maine Homeless Outreach

Organization ID 888

Project Name Western Maine Homeless Outreach -

Project ID 889

HMIS Project Type 1

Method of Tracking ES 0

Is the Services Only (HMIS Project Type 6) affiliated with a residential project?

Identify the Project ID's of the Housing Projects this Project is Affiliated with

CSV Exception? No

Uploaded via emailed hyperlink? Yes

Email unique ID record link DM03vuRQOI

Project name (user-specified) Western Maine Homeless Outreach -

Project type (user-specified) Emergency Shelter

Organization Name BAHS - Bangor Area Homeless Shelter

Organization ID 295

Project Name BAHS - Emergency Shelter

Project ID 19

HMIS Project Type 1

Method of Tracking ES 0

Is the Services Only (HMIS Project Type 6) affiliated with a residential project?

Identify the Project ID's of the Housing Projects this Project is Affiliated with

CSV Exception? No

Uploaded via emailed hyperlink? Yes

Email unique ID record link 7zrGEYOyTH

Project name (user-specified) BAHS - Emergency Shelter

Project type (user-specified) Emergency Shelter

Organization Name Tedford Housing

Organization ID 299

Project Name Tedford - Adult Shelter

Project ID 30

HMIS Project Type 1

Method of Tracking ES 0

Is the Services Only (HMIS Project Type 6) affiliated with a residential project?

Identify the Project ID's of the Housing Projects this Project is Affiliated with

CSV Exception? No

Uploaded via emailed hyperlink? Yes

Email unique ID record link fjZ5yGHgQm

Project name (user-specified) Tedford - Adult Shelter

Project type (user-specified) Emergency Shelter

Organization Name Tedford Housing

Organization ID 299

Project Name Tedford - Family Shelter

Project ID 60

HMIS Project Type 1

Method of Tracking ES 0

Is the Services Only (HMIS Project Type 6) affiliated with a residential project?

Identify the Project ID's of the Housing Projects this Project is Affiliated with

CSV Exception? No

Uploaded via emailed hyperlink? Yes

Email unique ID record link bNvJlNUXOy

Project name (user-specified) Tedford - Family Shelter

Project type (user-specified) Emergency Shelter

Organization Name Penobscot Community Health Center

Organization ID 479

Project Name PCHC Hope House - Emergency Shelter

Project ID 480

HMIS Project Type 1

Method of Tracking ES 0

Is the Services Only (HMIS Project Type 6) affiliated with a residential project?

Identify the Project ID's of the Housing Projects this Project is Affiliated with

CSV Exception? No

Uploaded via emailed hyperlink? Yes

Email unique ID record link pzARoeGb0

Project name (user-specified) PCHC Hope House - Emergency Shelter

Project type (user-specified) Emergency Shelter

Organization Name RGH - Rumford Group Homes, Inc

Organization ID 27

Project Name RGH - Rumford Family Center Monier

Project ID 977

HMIS Project Type 1

Method of Tracking ES 0

Is the Services Only (HMIS Project Type 6) affiliated with a residential project?

Identify the Project ID's of the Housing Projects this Project is Affiliated with

CSV Exception? No

Uploaded via emailed hyperlink? Yes

Email unique ID record link bZYXF1DGP
Project name (user-specified) RGH - Rumford Family Center Monier
Project type (user-specified) Emergency Shelter
Organization Name RGH - Rumford Group Homes, Inc
Organization ID 27
Project Name RGH - Rumford Family Center Shelter
Project ID 473
HMIS Project Type 1
Method of Tracking ES 0
Is the Services Only (HMIS Project Type 6) affiliated with a residential project?
Identify the Project ID's of the Housing Projects this Project is Affiliated with
CSV Exception? No
Uploaded via emailed hyperlink? Yes
Email unique ID record link kgvHXyKlez
Project name (user-specified) RGH - Rumford Family Center Shelter
Project type (user-specified) Emergency Shelter
Organization Name RGH - Rumford Group Homes, Inc
Organization ID 27
Project Name RGH - Norway Family Center
Project ID 201
HMIS Project Type 1
Method of Tracking ES 0
Is the Services Only (HMIS Project Type 6) affiliated with a residential project?
Identify the Project ID's of the Housing Projects this Project is Affiliated with
CSV Exception? No
Uploaded via emailed hyperlink? Yes
Email unique ID record link eYirhp7M9J
Project name (user-specified) RGH - Norway Family Center
Project type (user-specified) Emergency Shelter
Organization Name HOME Inc
Organization ID 23
Project Name Home Inc - St Francis Inn
Project ID 119
HMIS Project Type 1
Method of Tracking ES 0
Is the Services Only (HMIS Project Type 6) affiliated with a residential project?
Identify the Project ID's of the Housing Projects this Project is Affiliated with
CSV Exception? No
Uploaded via emailed hyperlink? Yes
Email unique ID record link YM3oeq3f9m
Project name (user-specified) Home Inc - St Francis Inn

Project type (user-specified) Emergency Shelter
Organization Name Family Crisis Services
Organization ID
Project Name Family Crisis Services Shelter
Project ID
HMIS Project Type 1
Method of Tracking ES
Is the Services Only (HMIS Project Type 6) affiliated with a residential project?
Identify the Project ID's of the Housing Projects this Project is Affiliated with
CSV Exception? No
Uploaded via emailed hyperlink? Yes
Email unique ID record link GqAautUtAW
Project name (user-specified) Family Crisis Services - Domestic
Project type (user-specified) Emergency Shelter
Organization Name HOME Inc
Organization ID 23
Project Name Home Inc - Duplex Orland Emergency
Project ID 768
HMIS Project Type 1
Method of Tracking ES 0
Is the Services Only (HMIS Project Type 6) affiliated with a residential project?
Identify the Project ID's of the Housing Projects this Project is Affiliated with
CSV Exception? No
Uploaded via emailed hyperlink? Yes
Email unique ID record link nbZLpcebe2
Project name (user-specified) Home Inc - Duplex Orland Emergency
Project type (user-specified) Emergency Shelter
Organization Name HOME Inc
Organization ID 23
Project Name Home Inc - Dorr House Emergency
Project ID 63
HMIS Project Type 1
Method of Tracking ES 0
Is the Services Only (HMIS Project Type 6) affiliated with a residential project?
Identify the Project ID's of the Housing Projects this Project is Affiliated with
CSV Exception? No
Uploaded via emailed hyperlink? Yes
Email unique ID record link tTRXWAIQV
Project name (user-specified) Home Inc - Dorr House Emergency
Project type (user-specified) Emergency Shelter
Organization Name HOME Inc

Organization ID 23
 Project Name Home Inc - St Francis Community
 Project ID 61
 HMIS Project Type 1
 Method of Tracking ES 0
 Is the Services Only (HMIS Project Type 6) affiliated with a residential project?
 Identify the Project ID's of the Housing Projects this Project is affiliated with
 Affiliated with No
 CSV Exception? Yes
 Uploaded via emailed hyperlink? No
 Email unique ID record link zhmwMBXNmv
 Project name (user-specified) Home Inc - St Francis Community
 Project type (user-specified) Emergency Shelter
 Organization Name Avesta Housing (CLOSED)
 Organization ID 273
 Project Name Avesta Housing - Florence House
 Project ID 467
 HMIS Project Type 1
 Method of Tracking ES 0
 Is the Services Only (HMIS Project Type 6) affiliated with a residential project?
 Identify the Project ID's of the Housing Projects this Project is affiliated with
 Affiliated with No
 CSV Exception? Yes
 Uploaded via emailed hyperlink? No
 Email unique ID record link OnV0btbfg
 Project name (user-specified) Preble Street - Florence House
 Project type (user-specified) Emergency Shelter
 Organization Name Preble Street
 Organization ID 57
 Project Name Preble Street - Joe Kreisler Teen Shelter
 Project ID 58
 HMIS Project Type 1
 Method of Tracking ES 0
 Is the Services Only (HMIS Project Type 6) affiliated with a residential project?
 Identify the Project ID's of the Housing Projects this Project is affiliated with
 Affiliated with No
 CSV Exception? Yes
 Uploaded via emailed hyperlink? Yes
 Email unique ID record link nyQTUJ1PpL
 Project name (user-specified) Preble Street - Joe Kreisler Teen Shelter
 Project type (user-specified) Emergency Shelter
 Organization Name Family Violence Project
 Organization ID

Project Name Somerset Shelter
 Project ID
 HMIS Project Type 1
 Method of Tracking ES
 Is the Services Only (HMIS Project Type 6) affiliated with a residential project?
 Identify the Project ID's of the Housing Projects this Project is affiliated with
 Affiliated with No
 CSV Exception? Yes
 Uploaded via emailed hyperlink? Yes
 Email unique ID record link FyKcwwScAf
 Project name (user-specified) Family Violence Project - Somerset
 Project type (user-specified) Emergency Shelter
 Organization Name Bread of Life Ministries
 Organization ID 20
 Project Name Bread of Life - Emergency Shelter
 Project ID 42
 HMIS Project Type 1
 Method of Tracking ES 0
 Is the Services Only (HMIS Project Type 6) affiliated with a residential project?
 Identify the Project ID's of the Housing Projects this Project is affiliated with
 Affiliated with No
 CSV Exception? Yes
 Uploaded via emailed hyperlink? Yes
 Email unique ID record link vRjCrKaye
 Project name (user-specified) Bread of Life - Emergency Shelter
 Project type (user-specified) Emergency Shelter
 Organization Name FAMILY VIOLENCE PROJECT
 Organization ID
 Project Name AUGUSTA SHELTER
 Project ID
 HMIS Project Type 1
 Method of Tracking ES
 Is the Services Only (HMIS Project Type 6) affiliated with a residential project?
 Identify the Project ID's of the Housing Projects this Project is affiliated with
 Affiliated with No
 CSV Exception? Yes
 Uploaded via emailed hyperlink? Yes
 Email unique ID record link rIMh7Zw9E
 Project name (user-specified) Family Violence Project - Augusta
 Project type (user-specified) Emergency Shelter
 Organization Name City of Portland
 Organization ID 231
 Project Name City of Portland - Family Shelter
 Project ID 234

HMIS Project Type 1
 Method of Tracking ES 0
 Is the Services Only (HMIS Project Type 6) affiliated with a residential project?
 Identify the Project ID's of the Housing Projects this Project is Affiliated with
 Affiliated with
 CSV Exception? No
 Uploaded via emailed hyperlink? Yes
 Email unique ID record link dmbbDek2B
 Project name (user-specified) City of Portland - Family Shelter
 Project type (user-specified) Emergency Shelter
 Organization Name City of Portland
 Organization ID 231
 Project Name City of Portland - Oxford Street Shelter
 Project ID 232
 HMIS Project Type 1
 Method of Tracking ES 0
 Is the Services Only (HMIS Project Type 6) affiliated with a residential project?
 Identify the Project ID's of the Housing Projects this Project is Affiliated with
 Affiliated with
 CSV Exception? No
 Uploaded via emailed hyperlink? Yes
 Email unique ID record link 1B2IC3M1xf
 Project name (user-specified) City of Portland - Oxford Street Shelter
 Project type (user-specified) Emergency Shelter
 Organization Name Hope and Justice Project
 Organization ID
 Project Name Shelter
 Project ID
 HMIS Project Type 1
 Method of Tracking ES
 Is the Services Only (HMIS Project Type 6) affiliated with a residential project?
 Identify the Project ID's of the Housing Projects this Project is Affiliated with
 Affiliated with
 CSV Exception? No
 Uploaded via emailed hyperlink? Yes
 Email unique ID record link 75THsHWpM
 Project name (user-specified) Hope and Justice Project - Caribou
 Project type (user-specified) Emergency Shelter
 Organization Name Knox/Waldo Homeless Coalition
 Organization ID 602
 Project Name Knox/Waldo Homeless Coalition -
 Project ID 838
 HMIS Project Type 1
 Method of Tracking ES 0

Is the Services Only (HMIS Project Type 6) affiliated with a residential project?
 Identify the Project ID's of the Housing Projects this Project is Affiliated with
 Affiliated with
 CSV Exception? No
 Uploaded via emailed hyperlink? Yes
 Email unique ID record link nYdhTKneo
 Project name (user-specified) Knox/Waldo Homeless Coalition -
 Project type (user-specified) Emergency Shelter
 Organization Name Caring Unlimited
 Organization ID
 Project Name Emergency Shelter
 Project ID
 HMIS Project Type 1
 Method of Tracking ES
 Is the Services Only (HMIS Project Type 6) affiliated with a residential project?
 Identify the Project ID's of the Housing Projects this Project is Affiliated with
 Affiliated with
 CSV Exception? No
 Uploaded via emailed hyperlink? Yes
 Email unique ID record link w4h0aTSwG
 Project name (user-specified) Caring Unlimited - Emergency Shelter
 Project type (user-specified) Emergency Shelter
 Organization Name Partners for Peace
 Organization ID
 Project Name Spruce Run-Womancare Alliance
 Project ID
 HMIS Project Type 1
 Method of Tracking ES
 Is the Services Only (HMIS Project Type 6) affiliated with a residential project?
 Identify the Project ID's of the Housing Projects this Project is Affiliated with
 Affiliated with
 CSV Exception? No
 Uploaded via emailed hyperlink? Yes
 Email unique ID record link eeTXbURcIB
 Project name (user-specified) Partners for Peace - Safe Homes (DV)
 Project type (user-specified) Emergency Shelter
 Organization Name Safe Voices
 Organization ID
 Project Name Annie Pearl Shelter
 Project ID
 HMIS Project Type 1
 Method of Tracking ES
 Is the Services Only (HMIS Project Type 6) affiliated with a residential project?

Identify the Project ID's of the Housing Projects this Project is Affiliated with

CSV Exception?	No
Uploaded via emailed hyperlink?	Yes
Email unique ID record link	3JEZUI0Km
Project name (user-specified)	Safe Voices - Annie Pearl Shelter (DV)
Project type (user-specified)	Emergency Shelter
Organization Name	Rural Community Action Ministry Inc
Organization ID	302
Project Name	Rural Community Action Ministry -
Project ID	28
HMIS Project Type	1
Method of Tracking ES	0

Is the Services Only (HMIS Project Type 6) affiliated with a residential project?

Identify the Project ID's of the Housing Projects this Project is Affiliated with

CSV Exception?	No
Uploaded via emailed hyperlink?	Yes
Email unique ID record link	LbrhghyA5
Project name (user-specified)	Rural Community Action Ministry -
Project type (user-specified)	Emergency Shelter

Q05a: Report Validations Table

Total Number of Persons Served	7125
Number of Adults (Age 18 or Over)	5815
Number of Children (Under Age 18)	1360
Number of Persons with Unknown Age	11
Number of Leavers	5876
Number of Adult Leavers	4900
Number of Adult and Head of Household Leavers	5021
Number of Stayers	848
Number of Adult Stayers	632
Number of Veterans	366
Number of Chronically Homeless Persons	1415
Number of Youth Under Age 25	934
Number of Parenting Youth Under Age 25 with Children	59
Number of Adult Heads of Household	5415
Number of Child and Unknown-Age Heads of Household	171
Heads of Households and Adult Stayers in the Project 365 Days or Mo 1	

Q06a: Data Quality: Personally Identifying Information (PII)

Data Element	Client Doesn't Know/Refused	Information Missing	Data Issues	% of Error Rate
Name	86	0	0	0.00 %
Social Security Number	889	33	14	0.12 %
Date of Birth	86	2	4	0.00 %

Race	103	1	0	0.00 %
Ethnicity	107	2	0	0.00 %
Gender	88	0	0	0.00 %
Overall Score				0.13 %

Q06b: Data Quality: Universal Data Elements

	Error Count	% of Error Rate
Veteran Status	24	0.41 %
Project Start Date	0	0.00 %
Relationship to Head of Household	55	0.77 %
Client Location	2	0.04 %
Disabling Condition	85	1.19 %

Q06c: Data Quality: Income and Housing Data Quality

	Error Count	% of Error Rate
Destination	48	0.82 %
Income and Sources at Start	144	2.75 %
Income and Sources at Annual Assessment	1	100.00 %
Income and Sources at Exit	92	1.83 %

Q06d: Data Quality: Chronic Homelessness

	Count of Total Records	Missing Time in Institution	Missing Time in Housing	Approximate Date Started DK/R/missing	Number of Times DK/R/missing	Number of Months DK/R/missing	% of Records Unable to Calculate
ES, SH, Street Outreach	5715	0	0	117	217	330	650.91
TH	0	0	0	0	0	0	--
PH (All)	0	0	0	0	0	0	--
Total	5492	0	0	0	0	0	1177.35

Q06e: Data Quality: Timeliness

	Number of Project Entry Records	Number of Project Exit Records
0 days	1027	1144
1-3 Days	3011	1749
4-6 Days	970	681
7-10 Days	544	718
11+ Days	1001	1479

Q06f: Data Quality: Inactive Records: Street Outreach & Emergency Shelter

	# of Records	# of Inactive Records	% of Inactive
Contact (Adults and Heads of Household in Street Outreach or ES - NBO)	0	0	--
Bed Night (All Clients in ES - NBN)	0	0	--

Q07a: Number of Persons Served

Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
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Adults	5757	4885	696	16	0		
Children	1305	0	935	115	0		
Client Doesn't Know/ Client Refused	3	0	0	0	0		
Data Not Collected	0	0	0	0	0		
Total	6960	4791	1582	115	0		
Q08a: Households Served							
	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type		
Total Households	5595	4870	554	127	0		
Q08b: Point-in-Time Count of Households on the Last Wednesday							
	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type		
January	578	516	55	7	0		
April	719	618	93	7	0		
July	662	560	92	6	0		
October	662	555	94	10	0		
Q09a: Number of Persons Contacted							
	All Persons Contacted	First contact – NOT staying on the Streets, ES, or SH	First contact – WAS staying on Streets, ES, or SH	First contact – Worker unable to determine			
Once	19	19	0	0			
2-5 Times	21	21	0	0			
6-9 Times	11	11	0	0			
10+ Times	2	2	0	0			
Total Persons Contacted	151	53	12	0			
Q09b: Number of Persons Engaged							
	All Persons Contacted	First contact – NOT staying on the Streets, ES, or SH	First contact – WAS staying on Streets, ES, or SH	First contact – Worker unable to determine			
Once	19	19	0	0			
2-5 Contacts	21	21	0	0			
6-9 Contacts	11	11	0	0			
10+ Contacts	2	2	0	0			
Total Persons Engaged	151	53	12	0			
Rate of Engagement	100.00	0.00	0.00	0.00			
Q10a: Gender of Adults							
	Total	Without Children	With Children and Adults	Unknown Household Type			
Male	3593	3416	175	0			
Female	2185	1368	503	0			
Trans Male (FTM or Female to Male)	27	26	0	0			
Trans Female (MTF or Male to Female)	9	9	0	0			
Gender Non-Conforming (i.e. not exclusively male or female)	5	4	0	0			
Client Doesn't Know/Client Refused	2	2	0	0			
Data Not Collected	4	0	0	0			
Subtotal	5792	4825	678	0			
Q10b: Gender of Children							
	Total	With Children and Adults	With Only Children	Unknown Household Type			
Male	648	447	50	0			
Female	712	488	62	0			
Trans Male (FTM or Female to Male)	1	0	1	0			
Trans Female (MTF or Male to Female)	1	0	1	0			
Gender Non-Conforming (i.e. not exclusively male or female)	1	0	1	0			
Client Doesn't Know/Client Refused	0	0	0	0			
Data Not Collected	3	0	0	0			
Subtotal	1332	935	115	0			
Q10c: Gender of Persons Missing Age Information							
	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type		
Male	1	1	0	0	0		
Female	3	2	1	0	0		
Trans Male (FTM or Female to Male)	0	0	0	0	0		
Trans Female (MTF or Male to Female)	0	0	0	0	0		
Gender Non-Conforming (i.e. not exclusively male or female)	0	0	0	0	0		
Client Doesn't Know/Client Refused	0	0	0	0	0		
Data Not Collected	0	0	0	0	0		
Subtotal	1	1	0	0	0		
Q10d: Gender by Age Ranges							
	Total	Under Age 18	Age 18-24	Age 25-61	Age 62 and over	Client Doesn't Know/ Client Refused	Data Not Collected
Male	4154	562	484	2802	205	0	1
Female	2634	629	332	1649	61	1	6
Trans Female (MTF or Male to Female)	27	1	9	13	4	0	0
Trans Male (FTM or Female to Male)	10	1	7	2	0	0	0
Gender Non-Conforming (i.e. not exclusively male or female)	5	1	4	0	0	0	0
Client Doesn't Know/Client Refused	2	0	1	1	0	0	0
Data Not Collected	0	0	0	0	0	0	0
Subtotal	6832	1194	833	4532	269	1	3

Q11: Age

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Under 5	517	0	385	2	0
5 - 12	531	0	407	4	0
13 - 17	309	0	143	109	0
18 - 24	853	740	76	0	0
25 - 34	1630	1192	306	0	0
35 - 44	1411	1084	222	0	0
45 - 54	1166	1076	59	0	0
55 - 61	485	465	13	0	0
62+	273	267	2	0	0
Client Doesn't Know/Client Refused	2	1	0	0	0
Data Not Collected	9	0	0	0	0
Total	7125	4825	1613	115	0

Q12a: Race

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
White	5238	3842	840	80	0
Black or African American	1338	630	666	15	0
Asian	40	31	5	1	0
American Indian or Alaska Native	114	86	7	1	0
Native Hawaiian or Other Pacific Islander	18	13	4	0	0
Multiple Races	264	176	48	16	0
Client Doesn't Know/Client Refused	98	32	12	2	0
Data Not Collected	59	1	0	0	0
Total	7037	4791	1582	115	0

Q12b: Ethnicity

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Non-Hispanic/Non-Latino	6852	4612	1531	106	0
Hispanic/Latino	247	163	47	6	0
Client Doesn't Know/Client Refused	45	15	4	2	0
Data Not Collected	42	1	0	1	0
Total	7144	4791	1582	115	0

Q13a1: Physical and Mental Health Conditions at Start

	Total Persons	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Mental Health Problem	2363	2061	240	18	0
Alcohol Abuse	719	715	3	1	0
Drug Abuse	406	364	38	4	0
Both Alcohol and Drug Abuse	541	480	12	5	0
Chronic Health Condition	967	893	70	4	0
HIV/AIDS	36	33	0	0	0
Developmental Disability	511	408	88	7	0
Physical Disability	1191	1084	90	1	0

Q13b1: Physical and Mental Health Conditions at Exit

	Total Persons	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Mental Health Problem	2092	1867	205	20	0
Alcohol Abuse	657	653	3	1	0
Drug Abuse	373	334	35	4	0
Both Alcohol and Drug Abuse	453	440	8	5	0
Chronic Health Condition	869	809	56	4	0
HIV/AIDS	32	32	0	0	0
Developmental Disability	451	370	74	7	0
Physical Disability	1047	971	75	1	0

Q13c1: Physical and Mental Health Conditions for Stayers

	Total Persons	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Mental Health Problem	298	229	40	0	0
Alcohol Abuse	67	66	0	0	0
Drug Abuse	42	35	6	0	0
Both Alcohol and Drug Abuse	72	51	4	0	0
Chronic Health Condition	130	97	20	0	0
HIV/AIDS	2	2	0	0	0
Developmental Disability	69	41	18	0	0
Physical Disability	151	125	18	0	0

Q14a: Domestic Violence History

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Yes	1941	1148	155	36	0
No	3831	3283	502	46	0
Client Doesn't Know/Client Refused	17	15	1	1	0
Data Not Collected	379	345	6	28	0
Total	6004	4791	649	111	0

Q14b: Persons Fleeing Domestic Violence

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Yes	804	241	54	10	0
No	940	803	113	24	0
Client Doesn't Know/Client Refused	8	7	0	1	0
Data Not Collected	136	131	4	1	0
Total	1803	1182	171	36	0

Q15: Living Situation

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Homeless Situations	0	0	0	0	0
Emergency shelter, including hotel or motel paid for with emergency shelter voucher	866	781	88	11	0
Transitional housing for homeless persons (including homeless youth)	20	17	2	1	0
Place not meant for habitation	1595	1377	216	2	0
Safe Haven	51	33	18	0	0
Interim Housing	23	17	4	2	0
Subtotal	2549	2214	319	16	0
Institutional Settings	0	0	0	0	0
Psychiatric hospital or other psychiatric facility	155	151	0	4	0
Substance abuse treatment facility or detox center	88	85	0	3	0
Hospital or other residential non-psychiatric medical facility	150	142	6	2	0
Jail, prison or juvenile detention facility	230	219	6	5	0
Foster care home or foster care group home	11	6	0	5	0
Long-term care facility or nursing home	3	3	0	0	0
Residential project or halfway house with no homeless criteria	24	17	4	3	0
Subtotal	655	617	16	22	0
Other Locations	0	0	0	0	0
Permanent housing (other than RRH) for formerly homeless persons	11	6	4	1	0
Owned by client, no ongoing housing subsidy	22	20	2	0	0
Owned by client, with ongoing housing subsidy	3	3	0	0	0
Rental by client, no ongoing housing subsidy	216	172	44	0	0
Rental by client, with VASH subsidy	11	7	4	0	0
Rental by client with GPD TIP subsidy	0	0	0	0	0
Rental by client, with other housing subsidy (including RRH)	50	43	7	0	0
Hotel or motel paid for without emergency shelter voucher	203	181	21	1	0
Staying or living in a friend's room, apartment or house	1215	1037	143	35	0
Staying or living in a family member's room, apartment or house	603	465	102	36	0
Client Doesn't Know/Client Refused	8	7	1	0	0
Data Not Collected	16	11	5	0	0
Subtotal	2319	1935	311	73	0
Total	5702	4825	680	111	0

Q20: Type of Non-Cash Benefit Sources

	Benefit at Start	Benefit at Latest Annual Assessment for Stayers	Benefit at Exit for Leavers
Supplemental Nutritional Assistance Program	1729	0	1695
WIC	85	0	92
TANF Child Care Services	13	0	9
TANF Transportation Services	7	0	6
Other TANF-Funded Services	8	0	8
Other Source	70	0	183

Q21: Health Insurance

	At Start	At Annual Assessment for Stayers	At Exit for Leavers
Medicaid	2510	0	2397
Medicare	844	0	776
State Children's Health Insurance Program	57	0	55
VA Medical Services	82	0	77
Employer Provided Health Insurance	44	0	42
Health Insurance Through COBRA	1	0	1
Private Pay Health Insurance	89	0	81
State Health Insurance for Adults	124	0	118
Indian Health Services Program	22	0	19
Other	78	0	68
No Health Insurance	3274	0	2782
Client Doesn't Know/Client Refused	9	0	7
Data Not Collected	133	1	55
Number of Stayers Not Yet Required to Have an Annual Assessment	0	775	0
1 Source of Health Insurance	2619	0	2445
More than 1 Source of Health Insurance	594	0	554

Q22a2: Length of Participation – ESG Projects

	Total	Leavers	Stayers
0 to 7 days	3315	3085	229
8 to 14 days	652	568	72
15 to 21 days	415	348	62
22 to 30 days	382	279	96
31 to 60 days	774	603	156
61 to 90 days	454	382	53

91 to 180 days	572	463	94
181 to 365 days	168	134	32
366 to 730 days (1-2 Yrs)	21	20	1
731 to 1,095 days (2-3 Yrs)	0	0	0
1,096 to 1,460 days (3-4 Yrs)	0	0	0
1,461 to 1,825 days (4-5 Yrs)	0	0	0
More than 1,825 days (> 5 Yrs)	0	0	0
Data Not Collected	0	0	0
Total	6692	5819	787

Q22c: RRH Length of Time between Project Start Date and Housing Move-in Date

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
7 days or less	11	0	0	0	0
8 to 14 days	12	0	0	0	0
15 to 21 days	5	0	0	0	0
22 to 30 days	7	0	0	0	0
31 to 60 days	15	0	0	0	0
61 to 180 days	34	0	0	0	0
181 to 365 days	2	0	0	0	0
366 to 730 days (1-2 Yrs)	0	0	0	0	0
Data Not Collected	0	0	0	0	0
Total	86	0	0	0	0

Q22d: Length of Participation by Household Type

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
7 days or less	3266	3075	131	49	0
8 to 14 days	608	463	102	31	0
15 to 21 days	410	256	139	10	0
22 to 30 days	377	228	131	11	0
31 to 60 days	745	359	364	7	0
61 to 90 days	432	155	255	3	0
91 to 180 days	547	207	322	3	0
181 to 365 days	168	46	119	1	0
366 to 730 days (1-2 Yrs)	21	2	19	0	0
731 to 1,095 days (2-3 Yrs)	0	0	0	0	0
1,096 to 1,460 days (3-4 Yrs)	0	0	0	0	0
1,461 to 1,825 days (4-5 Yrs)	0	0	0	0	0
More than 1,825 days (> 5 Yrs)	0	0	0	0	0
Data Not Collected	0	0	0	0	0
Total	6574	4791	1582	115	0

Q23a: Exit Destination – More Than 90 Days

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Permanent Destinations	0	0	0	0	0
Moved from one HOPWA funded project to HOPWA PH	0	0	0	0	0
Owned by client, no ongoing housing subsidy	0	0	0	0	0
Owned by client, with ongoing housing subsidy	0	0	0	0	0
Rental by client, no ongoing housing subsidy	0	0	0	0	0
Rental by client, with VASH housing subsidy	0	0	0	0	0
Rental by client, with GPD TIP housing subsidy	0	0	0	0	0
Rental by client, with other ongoing housing subsidy	22	0	10	0	0
Permanent housing (other than RRH) for formerly homeless persons	0	0	0	0	0
Staying or living with family, permanent tenure	0	0	0	0	0
Staying or living with friends, permanent tenure	0	0	0	0	0
Rental by client, with RRH or equivalent subsidy	0	0	0	0	0
Subtotal	10	0	10	0	0
Temporary Destinations	0	0	0	0	0
Emergency shelter, including hotel or motel paid for with emergency shelter voucher	0	0	0	0	0
Moved from one HOPWA funded project to HOPWA TH	0	0	0	0	0
Transitional housing for homeless persons (including homeless youth)	2	0	0	0	0
Staying or living with family, temporary tenure (e.g. room, apartment or house)	1	0	1	0	0
Staying or living with friends, temporary tenure (e.g. room, apartment or house)	0	0	0	0	0
Place not meant for habitation (e.g., a vehicle, an abandoned building, bus/train/subway station/airport or anywhere outside)	0	0	0	0	0
Safe Haven	0	0	0	0	0
Hotel or motel paid for without emergency shelter voucher	0	0	0	0	0
Subtotal	1	0	1	0	0
Institutional Settings	0	0	0	0	0
Foster care home or group foster care home	0	0	0	0	0
Psychiatric hospital or other psychiatric facility	0	0	0	0	0
Substance abuse treatment facility or detox center	0	0	0	0	0
Hospital or other residential non-psychiatric medical facility	4	0	0	0	0
Jail, prison, or juvenile detention facility	0	0	0	0	0
Long-term care facility or nursing home	0	0	0	0	0
Subtotal	0	0	0	0	0
Other Destinations	0	0	0	0	0
Residential project or halfway house with no homeless criteria	0	0	0	0	0
Deceased	0	0	0	0	0
Other	0	0	0	0	0

Client Doesn't Know/Client Refused	2	0	0	0	0
Data Not Collected (no exit interview completed)	0	0	0	0	0
Subtotal	20	0	0	0	0
Total	11	0	11	0	0
Total persons exiting to positive housing destinations	0	0	0	0	0
Total persons whose destinations excluded them from the calculation	0	0	0	0	0
Percentage	0.00 %	--	0.00 %	--	--

Q23b: Exit Destination – 90 Days or Less

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Permanent Destinations	0	0	0	0	0
Moved from one HOPWA funded project to HOPWA PH	0	0	0	0	0
Owned by client, no ongoing housing subsidy	0	0	0	0	0
Owned by client, with ongoing housing subsidy	0	0	0	0	0
Rental by client, no ongoing housing subsidy	4	1	3	0	0
Rental by client, with VASH housing subsidy	0	0	0	0	0
Rental by client, with GPD TIP housing subsidy	0	0	0	0	0
Rental by client, with other ongoing housing subsidy	24	0	10	0	0
Permanent housing (other than RRH) for formerly homeless persons	0	0	0	0	0
Staying or living with family, permanent tenure	3	0	3	0	0
Staying or living with friends, permanent tenure	1	0	1	0	0
Rental by client, with RRH or equivalent subsidy	0	0	0	0	0
Subtotal	18	1	17	0	0
Temporary Destinations	0	0	0	0	0
Emergency shelter, including hotel or motel paid for with emergency shelter voucher	10	7	3	0	0
Moved from one HOPWA funded project to HOPWA TH	0	0	0	0	0
Transitional housing for homeless persons (including homeless youth)	3	0	0	0	0
Staying or living with family, temporary tenure (e.g. room, apartment or house)	4	0	4	0	0
Staying or living with friends, temporary tenure (e.g. room, apartment or house)	3	0	3	0	0
Place not meant for habitation (e.g., a vehicle, an abandoned building, bus/train/subway station/airport or anywhere outside)	0	0	0	0	0
Safe Haven	0	0	0	0	0
Hotel or motel paid for without emergency shelter voucher	0	0	0	0	0
Subtotal	17	7	10	0	0
Institutional Settings	0	0	0	0	0
Foster care home or group foster care home	0	0	0	0	0
Psychiatric hospital or other psychiatric facility	0	0	0	0	0
Substance abuse treatment facility or detox center	0	0	0	0	0
Hospital or other residential non-psychiatric medical facility	0	0	0	0	0

Jail, prison, or juvenile detention facility	0	0	0	0	0
Long-term care facility or nursing home	0	0	0	0	0
Subtotal	0	0	0	0	0
Other Destinations	0	0	0	0	0
Residential project or halfway house with no homeless criteria	0	0	0	0	0
Deceased	0	0	0	0	0
Other	4	0	1	0	0
Client Doesn't Know/Client Refused	58	6	6	0	0
Data Not Collected (no exit interview completed)	0	0	0	0	0
Subtotal	13	6	7	0	0
Total	114	14	34	0	0
Total persons exiting to positive housing destinations	0	0	0	0	0
Total persons whose destinations excluded them from the calculation	0	0	0	0	0
Percentage	0.00 %	0.00 %	0.00 %	--	--

Q23c: Exit Destination – All persons

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Moved from one HOPWA funded project to HOPWA PH	0	0	0	0	0
Owned by client, no ongoing housing subsidy	8	6	2	0	0
Owned by client, with ongoing housing subsidy	6	1	5	0	0
Rental by client, no ongoing housing subsidy	652	170	481	1	0
Rental by client, with VASH housing subsidy	17	13	3	1	0
Rental by client, with GPD TIP housing subsidy	0	0	0	0	0
Rental by client, with other ongoing housing subsidy	749	371	350	2	0
Permanent housing (other than RRH) for formerly homeless persons	104	64	39	1	0
Staying or living with family, permanent tenure	245	123	99	23	0
Staying or living with friends, permanent tenure	105	61	39	5	0
Rental by client, with RRH or equivalent subsidy	0	0	0	0	0
Subtotal	1860	809	1018	33	0
Emergency shelter, including hotel or motel paid for with emergency shelter voucher	1645	1606	31	8	0
Moved from one HOPWA funded project to HOPWA TH	0	0	0	0	0
Transitional housing for homeless persons (including homeless youth)	107	86	7	9	0
Staying or living with family, temporary tenure (e.g. room, apartment or house)	179	102	71	6	0
Staying or living with friends, temporary tenure (e.g. room, apartment or house)	406	319	57	30	0
Place not meant for habitation (e.g., a vehicle, an abandoned building, bus/train/subway station/airport or anywhere outside)	555	551	4	0	0
Safe Haven	10	9	1	0	0
Hotel or motel paid for without emergency shelter voucher	36	18	16	2	0
Subtotal	2933	2691	187	55	0
Foster care home or group foster care home	2	1	0	1	0

Psychiatric hospital or other psychiatric facility	27	23	0	4	0
Substance abuse treatment facility or detox center	40	38	0	2	0
Hospital or other residential non-psychiatric medical facility	55	51	0	0	0
Jail, prison, or juvenile detention facility	38	29	3	6	0
Long-term care facility or nursing home	0	0	0	0	0
Subtotal	158	142	3	13	0
Residential project or halfway house with no homeless criteria	3	3	0	0	0
Deceased	3	3	0	0	0
Other	118	77	38	1	0
Client Doesn't Know/Client Refused	105	39	19	1	0
Data Not Collected (no exit interview completed)	642	562	71	6	0
Subtotal	906	684	128	8	0
Total	5809	4326	1336	109	0
Total persons exiting to positive housing destinations	1832	806	993	33	0
Total persons whose destinations excluded them from the calculation	56	55	0	1	0

Q24: Homelessness Prevention Housing Assessment at Exit

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Able to maintain the housing they had at project start--Without a subsidy	0	0	0	0	0
Able to maintain the housing they had at project start--With the subsidy they had at project start	0	0	0	0	0
Able to maintain the housing they had at project start--With an on-going subsidy acquired since project start	0	0	0	0	0
Able to maintain the housing they had at project start--Only with financial assistance other than a subsidy	0	0	0	0	0
Moved to new housing unit--With on-going subsidy	0	0	0	0	0
Moved to new housing unit--Without an on-going subsidy	0	0	0	0	0
Moved in with family/friends on a temporary basis	0	0	0	0	0
Moved in with family/friends on a permanent basis	0	0	0	0	0
Moved to a transitional or temporary housing facility or program	0	0	0	0	0
Client became homeless -- moving to a shelter or other place unfit for human habitation	0	0	0	0	0
Client went to jail/prison	0	0	0	0	0
Client died	0	0	0	0	0
Client doesn't know/Client refused	0	0	0	0	0
Data not collected (no exit interview completed)	0	0	0	0	0
Total	0	0	0	0	0

Q25: Number of Veterans

	Total	Without Children	With Children and Adults	Unknown Household Type
Chronically Homeless Veteran	107	107	0	0
Non-Chronically Homeless Veteran	260	248	12	0
Not a Veteran	5237	4447	667	0
Client Doesn't Know/Client Refused	1	1	0	0
Data Not Collected	23	23	0	0
Total	5626	4825	678	0

Q26: Number of Chronically Homeless Persons by Household

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Chronically Homeless	1399	1276	72	7	0
Not Chronically Homeless	4830	3409	1251	102	0
Client Doesn't Know/Client Refused	38	16	19	3	0
Data Not Collected	398	124	271	3	0
Total	6665	4825	1613	115	0

2017 Section 3 Reports



Section 3 Summary Report

Economic Opportunities for Low- and Very Low-Income Persons
U.S. Department of Housing and Urban Development
 Office of Fair Housing and Equal Opportunity

OMB Approval No. 2529-0043
 (exp. 11/30/2018)

Disbursement Agency
MAINE HUMAN RIGHTS COMMISSION
51 State House Station, Augusta, ME 04333
01-6000001

Reporting Entity
MAINE HUMAN RIGHTS COMMISSION
51 State House Station, Augusta, ME 04333

Dollar Amount	\$8,831,771.22
Contact Person	Terry Holden
Date Report Submitted	01/17/2018

Reporting Period		Program Area Code	Program Area Name
From	To		
1/1/17	12/31/17	CDB1	Community Devel Block Grants

Part I: Employment and Training

Job Category	Number of New Hires	Number of New Hires that Are Sec. 3 Residents	Aggregate Number of Staff Hours Worked	Total Staff Hours for Section 3 Employees	Number of Section 3 Trainees
The expenditure of these funds did not result in any new hires.					
Total New Hires					0
Section 3 New Hires					0
Percent Section 3 New Hires					N/A
Total Section 3 Trainees					0
The minimum numerical goal for Section 3 new hires is 30% .					

Part II: Contracts Awarded

Construction Contracts	
Total dollar amount of construction contracts awarded	\$3,416,018.00
Total dollar amount of contracts awarded to Section 3 businesses	\$0.00
Percentage of the total dollar amount that was awarded to Section 3 businesses	0.0%
Total number of Section 3 businesses receiving construction contracts	0
The minimum numerical goal for Section 3 construction opportunities is 10% .	

Non-Construction Contracts	
Total dollar amount of all non-construction contracts awarded	\$0.00
Total dollar amount of non-construction contracts awarded to Section 3 businesses	\$0.00
Percentage of the total dollar amount that was awarded to Section 3 businesses	N/A
Total number of Section 3 businesses receiving non-construction contracts	0
The minimum numerical goal for Section 3 non-construction opportunities is 3% .	

Part III: Summary

Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low- and very low-income persons, particularly those who are recipients of government assistance for housing.

Yes	Attempted to recruit low-income residents through: local advertising media, signs prominently displayed at the project site, contacts with community organizations and public or private agencies operating within the metropolitan area (or nonmetropolitan county) in which the Section 3 covered program or project is located, or similar methods.
No	Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.
No	Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.
No	Coordinated with Youthbuild Programs administered in the metropolitan area in which the Section 3 covered project is located.
No	Other; describe below.

There are no youthbuild programs in the areas of Presque Isle or Stonington.

We held trainings on Section 3 in Augusta for all our grantees on August 3 & 4, 2017. The companies that we worked with on these projects are small local companies with set crews and they do work with subs who are small crews. They scramble to just keep their guys busy.



Section 3 Summary Report

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Disbursement Agency
MAINE HUMAN RIGHTS COMMISSION
51 State House Station, Augusta, ME 04333
01-6000001

Reporting Entity
MAINE HUMAN RIGHTS COMMISSION
51 State House Station, Augusta, ME 04333

Dollar Amount:	\$39,492.00
Contact Person:	Terry Holden
Date Report Submitted:	01/30/2018

Reporting Period		Program Area Code	Program Area Name
From	To		
1/1/17	12/31/17	SPC	Shelter + Care

The expenditure of these funds did not result in any covered contracts or new hires during the 12-month period selected.



Section 3 Summary Report

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Disbursement Agency
MAINE STATE HOUSING AUTHORITY
353 WATER STREET, AUGUSTA, ME 4330
01-0312916

Reporting Entity
MAINE STATE HOUSING AUTHORITY
353 WATER STREET, AUGUSTA, ME 04330

Dollar Amount:	\$352,219.82
Contact Person:	Paula Weber
Date Report Submitted:	02/14/2018

Reporting Period		Program Area Code	Program Area Name
From	To		
1/1/17	12/31/17	SNAP	Special Needs Assistance

The expenditure of these funds did not result in any covered contracts or new hires during the 12-month period selected.



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Disbursement Agency
MAINE STATE HOUSING AUTHORITY
353 WATER STREET, AUGUSTA, ME 4330
01-0312916

Reporting Entity
MAINE STATE HOUSING AUTHORITY
353 WATER STREET, AUGUSTA, ME 04330

Dollar Amount	\$5,031,652.90
Contact Person	Paula Weber
Date Report Submitted	03/27/2018

Reporting Period		Program Area Code	Program Area Name
From	To		
1/1/17	12/31/17	HOME	HOME Program

Part I: Employment and Training

Job Category	Number of New Hires	Number of New Hires that Are Sec. 3 Residents	Aggregate Number of Staff Hours Worked	Total Staff Hours for Section 3 Employees	Number of Section 3 Trainees
The expenditure of these funds did not result in any new hires.					
Total New Hires					0
Section 3 New Hires					0
Percent Section 3 New Hires					N/A
Total Section 3 Trainees					0
The minimum numerical goal for Section 3 new hires is 30% .					

Part II: Contracts Awarded

Construction Contracts	
Total dollar amount of construction contracts awarded	\$2,455,907.31
Total dollar amount of contracts awarded to Section 3 businesses	\$0.00
Percentage of the total dollar amount that was awarded to Section 3 businesses	0.0%
Total number of Section 3 businesses receiving construction contracts	0
The minimum numerical goal for Section 3 construction opportunities is 10% .	
Non-Construction Contracts	
Total dollar amount of all non-construction contracts awarded	\$1,009,457.69
Total dollar amount of non-construction contracts awarded to Section 3 businesses	\$0.00
Percentage of the total dollar amount that was awarded to Section 3 businesses	0.0%
Total number of Section 3 businesses receiving non-construction contracts	0
The minimum numerical goal for Section 3 non-construction opportunities is 3% .	

Part III: Summary

Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low- and very low-income persons, particularly those who are recipients of government assistance for housing.

No	Attempted to recruit low-income residents through: local advertising media, signs prominently displayed at the project site, contacts with community organizations and public or private agencies operating within the metropolitan area (or nonmetropolitan county) in which the Section 3 covered program or project is located, or similar methods.
No	Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.
No	Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.
No	Coordinated with Youthbuild Programs administered in the metropolitan area in which the Section 3 covered project is located.
No	Other; describe below.

The 2017 HOME Expenditures were for TBRA (\$1,256,322), Admin (\$309,965.90) and Multifamily Housing (\$3,465,365). HOME funds used for TBRA and Admin did not relate to construction, reconstruction, conversion or rehabilitation expenses.

Housing developers are required to conduct outreach. No new hires were reported in 2017.



Section 3 Summary Report

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Disbursement Agency
MAINE STATE HOUSING AUTHORITY
353 WATER STREET, AUGUSTA, ME 4330
01-0312916

Reporting Entity
MAINE STATE HOUSING AUTHORITY
353 WATER STREET, AUGUSTA, ME 04330

Dollar Amount:	\$11,882.75
Contact Person:	Paula Weber
Date Report Submitted:	03/26/2018

Reporting Period		Program Area Code	Program Area Name
From	To		
1/1/17	12/31/17	HTF	CPD's Integrated Disb & Inf System

The expenditure of these funds did not result in any covered contracts or new hires during the 12-month period selected.



Section 3 Summary Report

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 (exp. 11/30/2018)

Disbursement Agency
MAINE STATE HOUSING AUTHORITY
353 WATER STREET, AUGUSTA, ME 4330
01-0312916

Reporting Entity
MAINE STATE HOUSING AUTHORITY
353 WATER STREET, AUGUSTA, ME 04330

Dollar Amount:	\$1,088,711.42
Contact Person:	Paula Weber
Date Report Submitted:	03/26/2018

Reporting Period		Program Area Code	Program Area Name
From	To		
1/1/17	12/31/17	EMRG	Emergency Shelter Grants

The expenditure of these funds did not result in any covered contracts or new hires during the 12-month period selected.