

Maine Annual Performance and Evaluation Report

Implementation of Maine's Consolidated Plan in 2014

Submitted by:

Maine State Housing Authority
Department of Economic and Community Development

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I. Introduction

This Maine Annual Performance Report describes progress on implementation of Maine's Consolidated Plan during calendar year 2014. The Consolidated Plan covers the use of HOME Investment Partnership Program (HOME), Emergency Solutions Grant (ESG), Community Development Block Grant (CDBG), and leveraged funds for the period 1/1/2014 to 12/31/2014. This report covers:

- Activities and accomplishments, including information on financial resources, geographic distribution of assistance and investments, and leveraging.
- Assessment of progress toward the 5-year goals in the Consolidated Plan.

II. Activities and Accomplishments

Maine leverages a variety of financial resource to address Maine's housing and community development needs.

A. Financial Resources

1. Federal Resources Administered by the State

a. HOME Investment Partnership Program (HOME)

HOME provides formula grants used to make housing more affordable for low income people. Maine received \$3,332,989 in 2014 and pursued the following activities and accomplishments:

Rental Housing Loan Program: MaineHousing's Rental Loan Program (RLP) provides low interest rate, long-term mortgage financing and subsidy to qualified private and nonprofit developers of affordable rental housing.

The RLP may be used for acquisition, acquisition and rehab, or new construction of apartment buildings of five or more units. Developers must reserve a portion of the units for lower income renters. RLP financing can be combined with the subsidy available from the federal Low Income Housing Tax Credit.

In 2014, HOME funds were awarded to three Rental Loan projects. The total HOME funds awarded were \$3,273,912.

Community Housing Development Organizations (CHDOs):

In 2014, HOME funds were committed to one CHDO project which will provide 27 housing units.

Home Repair Program: MaineHousing's Home Repair Program provides grants to help low-income homeowners who cannot afford necessary home repairs and improvements. The program is delivered through Community Action Agencies.

The Home Repair Program was funded in 2014 through a combination of HOME funds and State Housing Opportunities for Maine funds.

During 2014, 134 projects were completed at a total cost of \$698,955 including \$466,276 in HOME funds. The projects included:

- 86 home repairs;
- 48 Accessibility improvements for people with physical disabilities;

Tenant Based Rental Assistance (TBRA): Maine Housing allocates funds for short-term rental assistance (not to exceed 12 months) to individuals or families who are homeless and whose annual income is at or below 60% of area median income. The focus of the Stability Through Engagement Program (STEP) is to rapidly re-house people who currently are staying in a participating homeless shelter (a grantee of the Home to Stay Program). STEP is funded with federal HOME Partnership Program funds, and match is provided through MaineCare, a medical financial assistance program administered by the Department of Health and Human Services.

During 2014, there were 118 households assisted by the STEP program. The average total cost per household was \$3,400. The STEP program used \$402,876 in HOME funds.

b. Emergency Solutions Grant (ESG)

In 2014, Maine disbursed \$714,663 in ESG funds to assist 41 homeless shelter programs and 29 provider agencies in their efforts to rapidly re-house 7,765 unique homeless individuals. Shelter providers applied ESG funds to sustain and support shelter operations, and a new supplement allowed funds to be used for services as well.

Emergency Shelter Grant (ESG), state real estate transfer tax, and state general funds were used to support homeless shelter providers. A total of \$3.4 million in funds were awarded to shelter providers based upon an even distribution and bed-night usage..

Home to Stay Program: Maine Housing allocates ESG funds for housing relocation and stabilization services to rapidly re-house and stabilize individuals and families across the state who are living in shelters or on the streets.. Homeless service providers employ Housing Assistance Navigators to conduct comprehensive assessments of clients, identify and prioritize housing needs, create housing stability plans, assist with housing search and placement, and follow clients beyond the shelter to ensure housing stability. Home To Stay Program funds were allocated through an RFP Process, and \$960,288 were distributed to 15 shelter provider agencies.

c. Low Income Housing Tax Credit (LIHTC)

Maine Housing allocates federal Low Income Housing Tax Credits (LIHTC) through a competitive process. Developers who receive tax credits must reserve a portion of the rental units for low income renters.

Developers sell (syndicate) tax credits to corporate investors and the funds raised are used as equity in the rental housing project. The LIHTC can be linked with other financing through

MaineHousing's Rental Loan Program (RLP), which awards HOME funds as described above.

With an investment of \$20,156,889, MaineHousing's LIHTC program in 2014 resulted in the completion of 7 projects with 183 units.

MaineHousing also provided financing for 17 rental projects with 746 units with an investment of \$24,958,277 in LIHTC funds.

d. Section 8 Housing Choice Vouchers and Moderate Rehabilitation

MaineHousing's Section 8 Housing Choice Voucher (HCV) program provides rental assistance to income-eligible tenants by subsidizing a portion of their monthly rent and paying it directly to their landlord. The assistance provided is the difference between what the tenant pays toward rent (generally 30 to 40% of the household's adjusted gross income) and the cost of the rent. In some cases, MaineHousing's HOME-funded TBRA programs fill the gap until clients are able to receive a Housing Choice voucher.

During 2014, the program provided over \$24,643,720 in HCV assistance, benefiting 3,642 households.

e. Section 8 New Construction and Substantial Rehabilitation

MaineHousing is the Contract Administrator for 106 multi-family rental projects with 2,917 units covered by project-based rental subsidies. The typical development under this program is 30 units, but sizes range from 6 to 200 units. These projects are primarily privately owned with financing from MaineHousing and are located throughout the state. The rental subsidy provides affordable rental housing to extremely low, very low and low income families and seniors.

MaineHousing also provides contract administration for 150 multi-family properties with 5,378 units previously managed by HUD.

During 2014, the combined Section 8 Multifamily programs provided over \$67,544,838 in project based rental assistance, benefiting 8,058 households.

2. State Resources

a. State Shelter Funds

Emergency Shelter Grant (ESG), state real estate transfer tax, and state general funds were used to support homeless shelter providers. A total of \$3.4 million in funds were awarded to 41 shelter providers based upon an even distribution and bed-night usage.

MaineHousing convened an ad hoc committee made up of representatives from members of the Continuums of Care, Regional Homeless Councils and the Statewide Homeless Council to receive recommendations and input on criteria that should be used to determine the funding allocation for ESG and State Shelter Funds.

b. Mortgage Revenue Bonds

During 2014, MaineHousing issued \$122,530,000 of tax exempt bonds (including new money issues and refundings) for the single family homeownership program. Tax exempt

proceeds were used to purchase 482 single family mortgage loans from lenders, totaling approximately \$50.2M.

c. 501(c)(3) Bonds

MaineHousing may issue bonds to finance a Section 501(c)(3) nonprofit organization. MaineHousing did not issue any 501(c)(3) bonds in 2014.

d. Housing Opportunities for Maine Fund

The State's housing trust fund has been a critical resource used to leverage other funding sources, bringing additional federal funding to Maine. The funds come from a portion of the revenue collected by the Real Estate Transfer Tax that is committed to MaineHousing for use in affordable housing initiatives.

During 2014, \$4,877,205 of these funds were used to provide:

- operating revenue to emergency shelters (leveraged with ESG funds as described above),
- matching funds for federal lead hazard control grants,
- funding for the home repair program (funded with HOME, as described above),
- funding for weatherization of single-family homes,
- funding to make housing accessible to people with physical disabilities,
- assistance to first-time homebuyers,
- the deference of up to four mortgage payment for MaineHousing borrowers who lose their jobs, and
- Foreclosure prevention counseling services.

B. Geographic Distribution of HOME Assistance and Investments

The geographic distribution and location of investments of HOME assistance (units/grants/loans) by county during 2014 was as follows:

| County | Amount | # of Units | % of Units |
|---------------|-----------------------|-------------------|-------------------|
| Androscoggin | \$40,583.60 | 3 | 2.19% |
| Aroostook | \$60,058.00 | 3 | 2.19% |
| Cumberland | \$29,640.00 | 2 | 1.46% |
| Hancock | \$30,100.00 | 1 | 0.73% |
| Kennebec | \$21,910.00 | 1 | 0.73% |
| Knox | \$15,795.00 | 1 | 0.73% |
| Oxford | \$50,186.50 | 1 | 0.73% |
| Penobscot | \$471,081.00 | 32 | 23.36% |
| Piscataquis | \$184,69.34 | 2 | 1.46% |
| Somerset | \$79,739.00 | 4 | 2.92% |
| York | \$2,867,136.00 | 87 | 63.50% |
| Totals | \$3,684,698.44 | 137 | 100.00% |

C. Leveraging

HOME: A total of \$55,486.06 in matching funds from a variety of sources was generated toward the State of Maine’s 2014 match requirement of \$137,155.31. . The shortfall was deducted from the match bank.

FY 2014 Match Report

| | Cash (75%) | Bonds (25%) | Total (100%) |
|------------------------------|-----------------------|------------------------|-------------------------|
| Beginning Balance | \$28,854,239.47 | \$12,690,689.27 | \$41,544,928.74 |
| FY 2014 Contributions | \$55,486.02 | \$0.00 | \$55,486.02 |
| FY 2014 Liabilities | \$137,115.31 | \$0.00 | \$137,115.31 |
| Ending Balance | \$28,772,570.18 | \$12,690,689.27 | \$41,463,259.45 |

Major sources of matching funds in the past have included Real Estate Transfer Tax funds, foregone taxes and fees, state medical care expenses for TBRA clients, and the present value of interest subsidy for below market rate loans used for rehabilitation or construction of affordable multi-family rental properties.

The Annual Match Report for 2014 is provided in Appendix C.

ESG: The State of Maine uses state general funds (the Shelter Operating Subsidy) to meet the matching requirement.

D. Other Actions Undertaken

1. Key Fair Housing Actions and Accomplishments

The Fair Housing team of MaineHousing monitors fair housing and equal access activities throughout the organization and addresses issues as they arise. The team maintains a spreadsheet that documents research and planning, education and outreach, programs and policies, staff training, and monitoring activities that MaineHousing undertakes to address: lack of affordable housing; racial, ethnic, or cultural barriers; lack of awareness of fair housing laws; lack of understanding of duty to provide reasonable accommodations and modifications; barriers faced by persons with disabilities; fair housing complaints; and lack of understanding of duty to provide reasonable accommodations and modifications.

2. Lead Based Paint

Older houses often have lead-based paint. The table below estimates the number of low income households in Maine living in homes that are likely to have lead-based paint. Over 56,000 rental units and 55,000 single family homes – nearly 21% of all housing units – are estimated to be occupied by low income households facing lead-based paint risks.

Estimated Households with Lead-Based Paint¹

| Year Built | Estimated Low Income ² Households | Percent with Lead-Based Paint | Estimated ³ Low Income with Lead-Based Paint |
|----------------------|--|-------------------------------|---|
| Renters | | | |
| Pre-1940 | 35,336 | 90% | 31,802 |
| 1940 - 1959 | 13,020 | 80% | 10,416 |
| 1960 - 1979 | 22,428 | 62% | 13,905 |
| Total Renters | 70,704 | | 56,123 |
| Owners | | | |
| Pre-1940 | 29,204 | 90% | 26,284 |
| 1940 - 1959 | 14,935 | 80% | 11,948 |
| 1960 - 1979 | 27,341 | 62% | 16,951 |
| Total Owners | 71,480 | | 55,183 |

During 2014, 12 households were assisted by the lead hazard control program using \$85,138 of HOME funds.

III. Assessment of Five Year Goals & Annual Performance

The State of Maine continued to build on previous efforts to coordinate housing activity across agencies. Through coordination and intergovernmental cooperation, MaineHousing, Department of Economic and Community Development, and the Maine Department of Health and Human Services were able to better serve client populations with special needs and foster affordable, independent living opportunities.

MaineHousing and DECD continued to coordinate activities, including jointly hosting public hearings to solicit input from partners and customers.

The Consolidated Plan projected the provision of 6,000 loans for first time homebuyers. Since January of 2010, MaineHousing has provided 3,121 first time homebuyer loans. In 2010, MaineHousing purchased 916 loans. During 2011, an additional 795 first time homebuyer loans were purchased. An additional 318 homebuyer loans were purchased

¹ Sources: 2000 Census, HUD Guidelines for the Evaluation and Control of Lead-based Paint Hazards in Housing, Chapter 3, page 7

² Definition: Low-income households are those households making 80% or less than the area median income in Maine (\$36,652 per 2010 American Community Survey).

³ Calculation: Number Estimated with Lead-Based Paint is calculated by multiplying the Number of Low-Income Households by the Percent with Lead-Based Paint.

during 2012. MaineHousing provided 610 more first time homebuyer loans during 2013 and 482 first time homebuyer loans in 2014

Home Repair Program Performance Measures and Final Outcome:

| | |
|---------------|-------------------------------------|
| Objective: | Create Suitable Living Environment |
| Outcome: | Sustainability |
| 2014 Goal: | 32 to 38 Homes repaired or replaced |
| 2014 Outcome: | 86 |

MaineHousing’s Home Repair Program, which consists of a home repair grant, elderly hardship grant, and septic repair/replacement loans, provides help to low-income homeowners who cannot afford necessary home repairs. The assistance may be used for a variety of home rehab needs, such as heating and electrical repairs, roof and structural repairs, repair or replacement of substandard or failed septic systems, and other health and safety improvements. The Consolidated Plan projected that 700 homes would receive rehabilitation assistance during the plan’s five years. During 2010, the first year of the plan, 185 projects were completed totaling \$2,546,865 of which \$788,114 were HOME funds. In 2011, 55 projects were completed. During 2012, 26 projects were completed totaling \$383,782. During 2013, 33 home repair projects were completed including \$480,028 of HOME funds. In 2014, 86 home repair projects were completed totaling \$541,315 of which \$466,276 were HOME funds.

Rental Loan Program & CHDOs Performance Measures, Goal and Final Outcome

| | |
|---------------|------------------------------------|
| Objective: | Provide decent affordable housing. |
| Outcome: | Affordability |
| 2014 Goal: | 350 |
| 2014 Outcome: | 112 |

The Rental Loan Program (RLP) provides low interest rate, long-term mortgage financing to qualified private and nonprofit developers of affordable rental housing. The RLP may be used for acquisition, acquisition and rehab, or new construction of apartment buildings of five or more units. Developers must reserve a portion of the units for lower income renters.

MaineHousing FedHOME assisted multi-family projects are inspected, at a minimum, every 3 years and are required to meet Housing Quality Standards. A written report is generated on each inspection. Any life safety findings observed must be corrected within 24-hours. In 2010, 277 site inspections of multi-family projects were conducted, 56 had HOME funds and 5 were HOME match projects. During 2011, 277 inspections were completed, 36 had HOME funds and 5 were HOME match projects. During 2012, 424 inspections were completed, 67 had HOME funds and 4 were HOME match. In 2013, MaineHousing inspected 357 units, 58 had HOME funds and 19 were projects with HOME match. In 2014 MaineHousing completed 329 inspections of which 38 had HOME funds and 15 were projects with HOME match.

RLP financing can be combined with the subsidy available from the federal Low Income Housing Tax Credit. The federal Low Income Housing Tax Credit (LIHTC) provides subsidy in the form of a federal tax credit to developers of affordable rental housing. Developers using funding must reserve a portion of the rental units for lower income

renters. During 2010, there were 12 rental housing projects funded in support of families and seniors providing 354 new units at a cost of \$16,579,828. During 2011, there were 12 rental housing projects funded in support of families and seniors providing 407 new units at a cost of \$16,579,828. In 2012, MaineHousing competitively awarded \$563,239 in HOME funds under this program to produce 74 affordable rental units. During 2013, 388 units of rental housing were completed at a cost of \$41,134,255 using a combination of HOME and LIHTC. Also during 2013, 260 rental housing units were financed with \$27,605,346 of HOME and LIHTC. In 2014, HOME funds were awarded to three Rental Loan projects to produce 112 rental units at a cost of \$3,273,912 in HOME funds. One of the 2014 awards was to a CHDO.

Supportive Housing Program & CHDOs Performance Measures, Goal and Final Outcome

| | |
|---------------|--------------------------------------|
| Objective: | Create suitable living environments. |
| Outcome: | Availability/accessibility |
| 2014 Goal: | 25 |
| 2014 outcome: | 0 |

The Supportive Housing Programs (SHP) provide reduced interest rate debt financing and subsidy funds to eligible nonprofit developers to create affordable housing for low- and very low- income persons with special needs. Financing may be used to purchase, purchase and rehab, or construct facilities such as transitional housing, group homes, emergency shelters, and supported or independent apartments. During 2010, MaineHousing provided funding of \$3,815,812 for the establishment of six new supportive housing projects with 42 units. During 2011, MaineHousing provided funding of \$4,280,750 for the establishment of 7 new supportive housing projects with 44 units. No new supportive housing projects were funded during 2012, 2013 or 2014.

TBRA Performance Measures and Final Outcome:

| | |
|---------------|-----------------------------------|
| Objective: | Provide decent affordable housing |
| Outcome: | Affordability |
| 2014 Goal: | 150 |
| 2014 Outcome: | 118 households assisted |

With the assistance of Housing Navigators, the process for providing services will be evaluated as well opportunities for improvements will be identified. The STEP Program was designed to provide short term rental assistance for 6-12 months, as well as security and utility deposits, to help individuals and families achieve housing stability. Federal and state initiatives have focused on the need for a rapid re-housing approach to quickly move clients out of shelters and into stable and sustainable housing. The focus is on rapidly re-housing people who are homeless and referred by a shelter who is a Home To Stay grantee. Additionally, Housing Relocation and Stabilization Services as outlined in the HEARTH Act, are provided to clients of in order to rapidly re-house households who are living in shelters and on the streets and to assist them in gaining and maintaining housing stability. The STEP Program utilizes the resources available to their best potential to meet the needs of the homeless population and current trends from best practices.

This program is funded with federal HOME Partnership Program funds. Match is provided through MaineCare. MaineCare is a State of Maine medical financial assistance program administered by the Department of Health and Human Services.

2014 Accomplishments HOME

| Renters | TBRA | New Rental Units | Total |
|----------------------|-------------|-------------------------|--------------|
| 0 – 30% of MFI | 106 | | 106 |
| Completed but Vacant | | 0 | 0 |
| Total | 106 | 0 | 106 |

| Owners | Home Rehab | Total |
|-----------------|-------------------|--------------|
| 0 – 30% of MFI | 0 | 0 |
| 31 – 50% of MFI | 60 | 60 |
| 51 - 80% of MFI | 74 | 74 |
| Total | 134 | 134 |

2014 Priority Housing Activities

| Rental | |
|--------------------------------|-----|
| Rental Assistance | 106 |
| Production of New Rental Units | 20 |
| Total | 126 |

| Owner | |
|---------------------|-----|
| Acquisition & Rehab | 134 |
| Total | 134 |

2014 Summary of Specific Annual Objectives

DH-2 Affordability of Decent Housing

| | |
|---------------------------|-----|
| TBRA | 106 |
| Rental | 20 |
| Owner Acquisition & Rehab | 134 |
| Total | 260 |

SL-3 Sustainability of Suitable Living Environment

| | |
|---------------------------|-----|
| Owner Acquisition & Rehab | 134 |
|---------------------------|-----|

IV. Community Development Block Grant (CDBG) Report

The CDBG program seeks to improve the living conditions and economic opportunities of Maine's low and moderate-income persons. To meet this goal, a minimum of 70% of all allocated CDBG funds must benefit low and moderate-income persons. CDBG funds may be used for a variety of eligible activities, including housing, infrastructure improvements, public facilities, public service, planning, and economic development. Housing activities may involve costs associated with the acquisition, new construction or rehabilitation of either homeowner or rental units.

The Maine Department of Economic and Community Development competitively awards CDBG funds to applicant units of general local governments for project administration and implementation. Each unit of local general government determines which type of eligible project they want to apply for assistance with through the state's CDBG program. Therefore, the types of projects receiving CDBG assistance are ultimately based on the self-determined needs identified by each community.

In Maine, all cities, towns and unorganized territories with the exception of the cities of Auburn, Bangor, Biddeford, Lewiston, Portland and all of Cumberland County except Brunswick and Frye Island are eligible for program funding. The program provides grants or loans on a competitive basis to carry out a wide range of community development activities directed toward neighborhood revitalization, economic development, community infrastructure and facility improvements and to address identified housing needs.

Funds were allocated to municipalities in the following amounts during the reporting period:

| <u>Program</u> | |
|--------------------------------------|--------------|
| Regional Council Planning and TA | \$ 114,347 |
| Housing Assistance | \$ 2,700,000 |
| Public Infrastructure | \$ 2,700,000 |
| Public Facilities | \$ 300,000 |
| Public Service/Workforce Development | \$ 750,000 |
| Downtown Revitalization | \$ 400,000 |
| Economic Development | |
| Business Assistance | \$ 2,700,000 |
| Micro-Enterprise Assistance | \$ 700,000 |
| Maine Downtown Center | \$ 100,000 |

Performance Evaluation Report-Narrative

The State of Maine's CDBG Performance Evaluation Report (PER) provides a narrative addressing each of the five statutory requirements for each program year of the PER. No changes occurred that could be reported for the program years 1994-2013 in relation to the first four statutory requirements of the PER narrative. The responses that would be contained in the PER for the years 1995-2014 are substantially identical to those found in the 2013 PER.

The evaluation of the extent to which the program benefited low and moderate-income persons has changed. This is because each year's PER is based upon incomplete information until final project closeout and the percentage is adjusted to reflect actual figures.

ASSESSMENT OF THE RELATIONSHIP OF THE USE OF FUNDS TO THE STATE'S PROGRAM OBJECTIVES

The State's objectives for the CDBG program remained the same from 2001 to the 2014 program year as listed below.

The objective of Maine's CDBG program is to serve as a catalyst for local governments to implement programs which:

1. Benefit low and moderate income persons eliminating slums or blight or meeting needs having a particular urgency;
2. Are part of a long range community strategy;
3. Improve deteriorated residential and business districts and local economic conditions;
4. Provide the conditions and incentives for further public private investment; and
5. Foster partnerships between groups of municipalities, state and federal entities, regional organizations, and the private sector to address common community and economic development problems with innovative solutions that maximize resources.
6. Minimize development sprawl consistent with the State of Maine Growth Management Act.

In assessing the relationship between the use of CDBG funds during the period January 1, 2014 and December 31, 2014 and the program's stated objectives, it is concluded that:

1. The majority of program funds (well in excess of the HUD required 70%) benefited low and moderate income persons averaging 83.18% for the years 2011 – 2014.
2. Funds are granted to communities as part of long range strategies to improve the lives and living conditions of low and moderate-income persons. Grants activities are designed to improve the health, safety and welfare of community residents, enhance economic opportunities and improve housing conditions.
3. The vast majority of CDBG funds are expended in conjunction with efforts to improve deteriorated residential and business districts or to improve local economic conditions.
4. The improvement of residential and business districts and local economic conditions provide the conditions and incentives for further public and private investment. While there can be no guarantee that this will occur, beyond the specific funded activity, CDBG investment provides the necessary conditions for this to happen.
5. Partnerships are being created and strengthened among municipalities, state and federal agencies, regional organizations and the private sector to address common community and economic problems. Examples include: multi-jurisdictional CDBG projects, projects funded with CDBG resources in combination with USDA Rural Development, Maine State Housing Authority, EDA, Maine Dept. of Transportation, regional revolving loan funds, SBA, the Finance Authority of Maine, commercial lenders, Maine Dept. Environmental Protection, Maine Dept. of Human Services, Maine's community action agencies and other private and governmental sources.

6. In accordance with Title 30-A subsection 4349-A, the OCD may only award funds to communities that comply with the law regarding growth related capital investment. In addition, the OCD is required to give preference in the award of grants for capital investments not defined as growth related in subsection 4301-5B to communities with certified growth management programs or that have adopted a comprehensive plan and implementation strategy consistent with the goals and guidelines of the subchapter over a municipality that does not obtain the certificate or finding of consistency within 2 years after receipt of the first installment of a financial assistance grant or rejection of an offer of such financial assistance grant from the Maine State Planning Office.

NATURE AND REASONS FOR ANY CHANGES IN PROGRAM OBJECTIVES

The Maine CDBG program had retained the same program objectives since 1993 without change until 2001. The Maine Legislature enacted changes in the Growth Management Act to address issues associated with sprawl, which affect the award of CDBG grants made since January 1, 2001. These revisions govern growth related capital investments, comprehensive planning and growth management. Therefore to remain consistent with the State law and strategies regarding community and economic growth, the CDBG program adopted the objective of minimizing development sprawl and promoting “smart growth”. The OCD has provided communities with information outlining these changes and their impact on the award of CDBG grants for growth related capital investments as defined in the statute.

INDICATION OF HOW THE STATE WOULD CHANGE THE PROGRAM AS A RESULT OF ITS EXPERIENCE

As stated in past PERs, Maine's CDBG program was significantly altered in 1993 based upon the results of a comprehensive program evaluation. While the 2014 program remained essentially the same as in 2013, modest changes took place in 2013 regarding formulating the 2014 Program Statement. Following is a listing of these changes:

Housing:

Maximum grant award amount increased to \$500,000

Public Infrastructure:

Increased program budget

Maximum grant award increased to \$1,000,000

Downtown Revitalization:

Maximum grant award amount decreased to \$200,000

Bonus points for communities who have been certified as Business-Friendly Communities at time of application

Workforce Development:

New Public Service program with a budget of \$750,000

Maximum grant award amount \$100,000

Economic Development Program:

Increased program budget

Maximum grant award amount increased to \$1,000,000

Bonus points for communities who have been certified as Business-Friendly Communities at time of application

Removal of Development Fund Loan Program

Microenterprise Grant Program (previously Community Enterprise Program):

Program budget of \$700,000

New requirement: Micro-Enterprise Assistance Loan – municipalities receiving \$35,000+ in loan repayments must utilize the services of a Community development Financial Institution (CDFI) or a Community Based Development Organization (CBDO)

Maximum Grant Amount of \$150,000

Maximum Microenterprise Grant assistance to business \$50,000

Bonus points for communities who have been certified as Business-Friendly Communities at time of application

Technical Assistance:

Reduction in program budget

Clarification of use of CDBG Technical Assistance Funds

**SUMMARY OF ANY COMMENTS CONCERNING THE MAINE CDBG PROGRAM
THAT HAVE BEEN RECEIVED FROM CITIZENS**

During the CDBG 2014 Program Statement comment period the Office of Community Development received comments from 3 individuals and organizations. These individuals and organizations made a total of 3 comments on 2 topics related to the 2014 CDBG program. The comments were favorable to the directions the program will be taking. The majority of comments involved the Economic Development programs and the Downtown Center.

All persons commenting on the program were municipal officials, staff members of regional organizations, or private consultants with contracts or interested in obtaining contracts to administer CDBG programs. The OCD feels that the lack of comments was an indication of widespread support for the Method of Distribution for the 2014 CDBG Program. We feel that support is generated by the continuous effort to solicit informal input well in advance of the publication of the proposed statement. We only held one public hearing for the 2014 program year.

EVALUATION OF THE EXTENT TO WHICH THE PROGRAM BENEFITED LOW AND MODERATE INCOME PERSONS

The chart below details the percentage of CDBG funds in each program year meeting the national objective of benefiting low and moderate-income persons.

1988 – 87.1%

1989 – 88.0%

1990 – 91.2%

1991 – 93.8%

1992 – 92.2%

1993 – 93.3%

1994 – 96.1%

1995 – 86.5%

1996 – 85.1%

1997 – 86.0%

1998 – 89.9%

1999 – 91.2%

2000 – 87.4%

2001 – 85.3%

2002 – 90.0%

2003 – 86.5%

2004 – 87.7%

2005 – 82.4%

2006 – 83.3%

2007 – 81.6%

2008 – 77.4%

2009 – 79.5%

2010 – 82.5%

2011 – 73.5%

PART III

COMPLIANCE WITH APPLICABLE CIVIL RIGHTS LAWS

The Civil Rights Section of the PER is required for states submitting PERs covering FY07. The requirements apply only to the FY 93 and later allocations, except for beneficiary data, which must be reported beginning FY 92. CDBG program regulations require states to submit to HUD data on the racial, ethnic and gender characteristics of persons who are applicants for, participants in, or beneficiaries of CDBG funded programs. This information as provided by the PR 03 Report as part of the 2014 PER.

| | |
|---|-------------------------|
| Applicant and Beneficiary Information | Report Attached |
| Review of Recipient Civil Rights Performance for FY 14 Allocation | Summary Attached |
| State and Local Efforts, Actions and Results In Affirmatively Furthering Fair Housing for FY 14 Allocation | Summary Attached |
| State and Recipients Actions to Use Minority And Women-Owned Businesses in Carrying Out CDBG-funded Activities for FY 14 Allocations | Summary Attached |

REVIEW OF RECIPIENT CIVIL RIGHTS PERFORMANCE FY 14 ALLOCATION

Maine's process for review of recipient civil rights performance begins with awareness of civil rights issues in Phase I (initial application) of the grant selection process. Each applicant must certify that it will comply with all applicable federal and state civil rights statutes and regulations if it is ultimately successful in receiving a grant award. Communities chosen to continue into Phase II (project development phase) of the grantee selection process must adopt Standards of Conduct that include civil rights requirements. All communities during the project development phase, must adopt a Fair Housing Resolution pledging to affirmatively further fair housing and must complete a Fair Housing Self Assessment designed to provide data to local officials and the state CDBG program concerning fair housing needs and issues. These requirements must be met before the state will execute grant contracts.

During the term of each grant, the state monitors for compliance with civil rights requirements. The civil rights section of Maine's monitoring document includes detailed questions concerning local personnel and procurement policies, Section 3 plans, pre-construction conferences, minority and women-owned business opportunities, applicant/beneficiary data documentation, affirmatively furthering fair housing and Section 504 and ADA compliance.

A review of the monitoring results is performed each year. All grantees were found to be administering their programs in accordance with civil rights policies and procedures.

STATE AND LOCAL EFFORTS, ACTIONS AND RESULTS IN AFFIRMATIVELY FURTHERING FAIR HOUSING FOR FY 14 ALLOCATION

Within the CDBG Program, efforts on the state level to affirmatively further fair housing primarily involve providing information about fair housing requirements and opportunities through workshops and written materials. The Office of Community Development (OCD) works with the Maine Human Rights Commission and the Maine State Housing Authority to exchange information and ideas about fair housing needs and issues across the state.

CDBG recipients in 2014 must, at minimum, complete project development phase civil rights tasks described in the previous section. In addition, communities are encouraged to make fair housing information available to their citizens. The OCD prints and distributes the HUD Fair Housing Booklet (HUD 1260-FHEO (1)). The Fair Housing logo is required to appear on all relevant OCD materials and is required to be printed on all relevant community printed materials and advertisements soliciting project bids and in hiring personnel.

STATE AND RECIPIENT ACTIONS TO USE MINORITY AND WOMEN-OWNED BUSINESSES IN CARRYING OUT CDBG FUNDED ACTIVITIES FOR FY 14 ALLOCATION

Within the Maine CDBG Program, state and recipient actions to employ minority and women owned businesses primarily involve insuring that all potential bidders have equal access and opportunities. All bid documents and advertisements must contain nondiscrimination language as specified in federal and state requirements. The selection process used to award professional and construction contracts is reviewed for compliance with conflict of interest and nondiscrimination policies. Recipient communities are encouraged to develop and maintain lists of potential bidders and suppliers that include minority and women owned businesses

Summary of Activities and Results from Technical Assistance Funding

The Office of Community Development (OCD) utilized a range of technical assistance activities to assist communities in developing grant applications and in administering their grants. Listed below are highlights providing an overview of activities supported by the Technical Assistance Program.

Regional Technical Assistance Network

The Office of Community Development contracts with Maine's Regional Councils and two Counties to provide a more local resource for technical assistance. Assistance is provided to communities to help assess their needs, possible solutions and potential funding resources. This year over 109 Maine communities and non-profit groups received direct technical assistance from the regional providers. Among the services and activities of the regional providers are:

Publicity — Regional providers assist in marketing the availability of the CDBG program through articles in their newsletters as well as direct mailings to their service area towns. Many regional providers also have staff attend council/selectmen's meetings to provide information regarding program availability and eligible activities.

Local Meetings with Town and Community Leaders and Civic Groups — Staff from the regional providers meets with community officials and interested community groups as requested to aid in assessing needs, gathering and organizing information for program applications. Staff is also involved in assisting communities in administering current CDBG program grant awards.

Financial Resource Development — Staff from regional providers are responsible to seek alternate funding sources for addressing community needs. The staff is to become familiar with the funding cycles and application process and provide any needed assistance in this area. This service allows for a more efficient use of CDBG funds and the potential for more comprehensive projects.

Maine Municipal Association Annual Convention

The Maine Municipal Association's Annual Convention is a two day event held specifically for officials of Maine communities to provide an opportunity to gather, learn about products and services available to them, attend municipal management training and share information regarding trends, policy direction and successful operations techniques. The Office of Community

Development set up a display and informational booth about the CDBG Program and successful program projects. The main focus of this activity was to provide introductory information about the CDBG programs available, and the respective eligibility requirements and upcoming application due dates. In addition, OCD sponsored a Maine Community Development Association luncheon attended by over 30 people.

Training

Each year the Office of Community Development provides a number of training opportunities in addition to regional work. This year, we provided:

CDBG Grant Administrators' Certification/Implementation Course

The Office of Community Development makes available a two-day intensive program designed to train participants for general program administration and rehabilitation technician services. It is a requirement of the Maine CDBG program that each grantee must utilize a certified CDBG administrator. Topics presented by the Technical Assistance Program Manager and other instructors included citizen participation, labor standards, contracting, procurement, program income, benefit tracking, financial management, income survey methods and environmental review record requirements. Passing the examination gains the attendee an official CDBG Administrators' Certification. 61 people attended the classes and gained certification.

Project Development Visits

To provide more personalized hands-on training, the Development Program Manager assigned to the individual towns completed this task with the respective town officials. The process includes completion of all required federal and state certifications and reviews that must be completed before contract award.

OCD Participation at Other Community Development Related Events

OCD also participated in the following events during the past year by having a display booth with program materials available and staff assigned to answer questions about the CDBG program. These include the Maine Downtown Center's Annual Downtown Meeting, the Maine Affordable Housing Network Annual Meeting, the Governor's Conference on Affordable Housing, and the Tri-State Community Action Association Training. Over 1,000 people attended these events.

Unsuccessful Application Review

Each applicant that was not successful in the CDBG application process was provided a written summary of the application strengths and weaknesses and an opportunity to meet with the Technical Assistance Program Manager and/or the Project Development Specialist assigned to their community to review their application and ask questions about areas for improvement.

CDBG Program Materials

All program materials were revised again this year in a continuing effort to provide the most clear and to date information possible to communities regarding the CDBG and related programs. The OCD website at www.meocd.org was completely reconstructed last year and is now better than ever. It is our major conduit for CDBG program information, forms and applications, news and calendar of events, and will is fully compliant with all accessibility requirements.

State: Maine

Reporting Period: 01/01/14 - 12/31/14

Grant Number: B-02-DC-23-0001

Data as of December 31, 2014

1. Financial Status

A. Total Funds

(1) Allocation 16,968,000

(2) Program Income 969,685

B. Amount Obligated to Recipients 17,104,210

C. Amount Drawn Down 16,968,000

D. Amount for State Administration 439,360

E. Amount for Technical Assistance 169,680

F. Amount for Section 108 Loan Guar. 0

2. National Objectives

A. Period Specified for Benefit: FY 00 to FY 02

B. Amount Used to:

(1) Benefit to Low-Mod Income 14,528,812

(2) Prevent/Eliminate Slums/Blight 892,602

(3) Meet Urgent Community Develop Needs 61,076

(4) Acquisition/Rehabilit. Noncountable 0

(5) Local Administration 652,035

16,134,525

State: Maine

Reporting Period: 01/01/14 - 12/31/14

Grant Number: B-03-DC-23-0001

Data as of December 31, 2014

1. Financial Status

A. Total Funds

(1) Allocation 16,850,000
(2) Program Income 346,869

B. Amount Obligated to Recipients 16,330,973

C. Amount Drawn Down 16,850,000

D. Amount for State Administration 437,000

E. Amount for Technical Assistance 168,500

F. Amount for Section 108 Loan Guar. 0

2. National Objectives

A. Period Specified for Benefit: FY 02 to FY 04

B. Amount Used to:

(1) Benefit to Low-Mod Income 13,831,086
(2) Prevent/Eliminate Slums/Blight 1,393,058
(3) Meet Urgent Community Develop Needs 97,337
(4) Acquisition/Rehabilit. Noncountable 0
(5) Local Administration 662,623

15,984,104

State: Maine

Reporting Period: 01/01/14 - 12/31/14

Grant Number: B-04-DC-23-0001

Data as of December 31, 2014

1. Financial Status

A. Total Funds

(1) Allocation 16,859,633

(2) Program Income 501,834

B. Amount Obligated to Recipients 16,765,331

C. Amount Drawn Down 16,859,633

D. Amount for State Administration 437,193

E. Amount for Technical Assistance 168,596

F. Amount for Section 108 Loan Guar. 0

2. National Objectives

A. Period Specified for Benefit: FY 02 to FY 04

B. Amount Used to:

(1) Benefit to Low-Mod Income 14,259,805

(2) Prevent/Eliminate Slums/Blight 958,069

(3) Meet Urgent Community Develop Needs 144,500

(4) Acquisition/Rehabilit. Noncountable 0

(5) Local Administration 901,123

16,263,497

State: Maine

Reporting Period: 01/01/14 - 12/31/14

Grant Number: B-05-DC-23-0001

Data as of December 31, 2014

1. Financial Status

A. Total Funds

(1) Allocation 15,665,948
(2) Program Income 443,076

B. Amount Obligated to Recipients 15,251,632

C. Amount Drawn Down 15,665,948

D. Amount for State Administration 413,319

E. Amount for Technical Assistance 156,659

F. Amount for Section 108 Loan Guar. 0

2. National Objectives

A. Period Specified for Benefit: FY 05 to FY 07

B. Amount Used to:

(1) Benefit to Low-Mod Income 12,205,838
(2) Prevent/Eliminate Slums/Blight 1,973,227
(3) Meet Urgent Community Develop Needs 0
(4) Acquisition/Rehabilit. Noncountable 0
(5) Local Administration 629,491

14,808,556

State: Maine

Reporting Period: 01/01/14 - 12/31/14

Grant Number: B-06-DC-23-0001

Data as of December 31, 2014

1. Financial Status

A. Total Funds

(1) Allocation 14,148,809
(2) Program Income 578,912

B. Amount Obligated to Recipients 14,159,345

C. Amount Drawn Down 14,148,809

D. Amount for State Administration 382,976

E. Amount for Technical Assistance 141,488

F. Amount for Section 108 Loan Guar. 0

2. National Objectives

A. Period Specified for Benefit: FY 05 to FY 07

B. Amount Used to:

(1) Benefit to Low-Mod Income 11,313,810
(2) Prevent/Eliminate Slums/Blight 1,634,293
(3) Meet Urgent Community Develop Needs 80,000
(4) Acquisition/Rehabilit. Noncountable 0
(5) Local Administration 552,330

13,580,433

State: Maine

Reporting Period: 01/01/14 - 12/31/14

Grant Number: B-07-DC-23-0001

Data as of December 31, 2014

1. Financial Status

A. Total Funds

(1) Allocation 13,082,613

(2) Program Income 118,383

B. Amount Obligated to Recipients 12,690,135

C. Amount Drawn Down

D. Amount for State Administration 361,652

E. Amount for Technical Assistance 130,826

F. Amount for Section 108 Loan Guar. 0

2. National Objectives

A. Period Specified for Benefit: FY 05 to FY 07

B. Amount Used to:

(1) Benefit to Low-Mod Income 10,254,863

(2) Prevent/Eliminate Slums/Blight 1,316,645

(3) Meet Urgent Community Develop Needs 99,500

(4) Acquisition/Rehabilit. Noncountable 0

(5) Local Administration 900,744.00

12,571,752

State: Maine

Reporting Period: 01/01/14 - 12/31/14

Grant Number: B-08-DC-23-0001

Data as of December 31, 2014

1. Financial Status

A. Total Funds

(1) Allocation 12,690,754

(2) Program Income 7,842

B. Amount Obligated to Recipients 12,210,031

C. Amount Drawn Down 0

D. Amount for State Administration 353,815

E. Amount for Technical Assistance 126,908

F. Amount for Section 108 Loan Guar. 0

2. National Objectives

A. Period Specified for Benefit: FY 08 to FY 10

B. Amount Used to:

(1) Benefit to Low-Mod Income 9,484,034

(2) Prevent/Eliminate Slums/Blight 2,098,091

(3) Meet Urgent Community Develop Needs 40,000

(4) Acquisition/Rehabilit. Noncountable 0

(5) Local Administration 580,064

12,202,189

State: Maine

Reporting Period: 01/01/14 - 12/31/14

Grant Number: B-09-DC-23-0001

Data as of December 31, 2014

1. Financial Status

A. Total Funds

(1) Allocation 12,852,382

(2) Program Income 0

B. Amount Obligated to Recipients 12,368,810

C. Amount Drawn Down 0

D. Amount for State Administration 355,048

E. Amount for Technical Assistance 128,524

F. Amount for Section 108 Loan Guar. 0

2. National Objectives

A. Period Specified for Benefit: FY 08 to FY 10

B. Amount Used to:

(1) Benefit to Low-Mod Income 9,812,026

(2) Prevent/Eliminate Slums/Blight 1,773,722

(3) Meet Urgent Community Develop Needs 0

(4) Acquisition/Rehabilit. Noncountable 0

(5) Local Administration 780,562

12,368,810

State: Maine

Reporting Period: 01/01/14 - 12/31/14

Grant Number: B-10-DC-23-0001

Data as of December 31, 2014

1. Financial Status

A. Total Funds

(1) Allocation 13,725,769

(2) Program Income 0

B. Amount Obligated to Recipients 13,213,997

C. Amount Drawn Down 0

D. Amount for State Administration 374,515

E. Amount for Technical Assistance 137,257

F. Amount for Section 108 Loan Guar. 0

2. National Objectives

A. Period Specified for Benefit: FY 08 to FY 10

B. Amount Used to:

(1) Benefit to Low-Mod Income 10,933,458

(2) Prevent/Eliminate Slums/Blight 1,000,428

(3) Meet Urgent Community Develop Needs 0

(4) Acquisition/Rehabilit. Noncountable 0

(5) Local Administration 667,445

13,213,997

State: Maine

Reporting Period: 01/01/14 - 12/31/14

Grant Number: B-11-DC-23-0001

Data as of December 31, 2014

1. Financial Status

A. Total Funds

(1) Allocation 11,496,744

(2) Program Income 0

B. Amount Obligated to Recipients 11,051,843

C. Amount Drawn Down 0

D. Amount for State Administration 329,934

E. Amount for Technical Assistance 114,967

F. Amount for Section 108 Loan Guar. 0

2. National Objectives

A. Period Specified for Benefit: FY 11 to FY 13

B. Amount Used to:

(1) Benefit to Low-Mod Income 8,719,409

(2) Prevent/Eliminate Slums/Blight 1,882,293

(3) Meet Urgent Community Develop Needs 0

(4) Acquisition/Rehabilit. Noncountable 0

(5) Local Administration 450,141

11,051,843

State: Maine

Reporting Period: 01/01/14 - 12/31/14

Grant Number: B-12-DC-23-0001

Data as of December 31, 2014

1. Financial Status

A. Total Funds

(1) Allocation 10,581,799

(2) Program Income 0

B. Amount Obligated to Recipients 10,164,347

C. Amount Drawn Down 0

D. Amount for State Administration 311,635

E. Amount for Technical Assistance 105,817

F. Amount for Section 108 Loan Guar. 0

2. National Objectives

A. Period Specified for Benefit: FY 11 to FY 13

B. Amount Used to:

(1) Benefit to Low-Mod Income 8,976,585

(2) Prevent/Eliminate Slums/Blight 1,151,188

(3) Meet Urgent Community Develop Needs 0

(4) Acquisition/Rehabilit. Noncountable 0

(5) Local Administration 36,574

10,164,347

State: Maine

Reporting Period: 01/01/14 - 12/31/14

Grant Number: B-13-DC-23-0001

Data as of December 31, 2014

| | | | |
|--------------------------------------|------------|---|------------|
| 1. Financial Status | | 2. National Objectives | |
| A. Total Funds | | A. Period Specified for Benefit: FY 11 to FY 13 | |
| (1) Allocation | 11,129,112 | B. Amount Used to: | |
| (2) Program Income | 0 | (1) Benefit to Low-Mod Income | 10,233,483 |
| B. Amount Obligated to Recipients | 10,695,240 | (2) Prevent/Eliminate Slums/Blight | 104,812 |
| C. Amount Drawn Down | 0 | (3) Meet Urgent Community Develop Needs | 0 |
| D. Amount for State Administration | 322,582 | (4) Acquisition/Rehabilit. Noncountable | 0 |
| E. Amount for Technical Assistance | 111,290 | (5) Local Administration | 356,945 |
| F. Amount for Section 108 Loan Guar. | 0 | | |
| | | | 10,695,240 |

State: Maine

Reporting Period: 01/01/14 - 12/31/14

Grant Number: B-14-DC-23-0001

Data as of December 31, 2014

| | | | |
|--------------------------------------|------------|---|-----------|
| 1. Financial Status | | 2. National Objectives | |
| A. Total Funds | | A. Period Specified for Benefit: FY 14 to FY 16 | |
| (1) Allocation | 10,936,483 | B. Amount Used to: | |
| (2) Program Income | 0 | (1) Benefit to Low-Mod Income | 7,807,213 |
| B. Amount Obligated to Recipients | 7,947,789 | (2) Prevent/Eliminate Slums/Blight | 0 |
| C. Amount Drawn Down | 0 | (3) Meet Urgent Community Develop Needs | 0 |
| D. Amount for State Administration | 318,730 | (4) Acquisition/Rehabilit. Noncountable | 0 |
| E. Amount for Technical Assistance | 109,365 | (5) Local Administration | 140,576 |
| F. Amount for Section 108 Loan Guar. | 0 | | |
| | | | 7,947,789 |

Appendix: A Public Review and Comment

The Maine State Housing Authority and the Department of Economic and Community Development released the draft 2014 Consolidated Plan Annual Performance Report for public comment and a public hearing was held on March 26, 2015 at MaineHousing. No comments were received at the public hearing.

In response to the legal notices, one request was received for a copy of the draft Annual Performance Report. No comments have been received.

Appendix: B Program Income

Appendix: C Annual Match Report

Appendix: D Emergency Solutions Grant Report

CR-60 - ESG 91.520(g) (ESG Recipients only)

**ESG Supplement to the CAPER in *e-snaps*
For Paperwork Reduction Act**

1. Recipient Information—All Recipients Complete

Basic Grant Information

| | |
|---|----------------------------|
| Recipient Name | MAINE |
| Organizational DUNS Number | 809045511 |
| EIN/TIN Number | 016000001 |
| Identify the Field Office | BOSTON |
| Identify CoC(s) in which the recipient or subrecipient(s) will provide ESG assistance | Maine Balance of State CoC |

ESG Contact Name

Prefix
First Name
Middle Name
Last Name
Suffix
Title

ESG Contact Address

| | |
|------------------|------------|
| Street Address 1 | |
| Street Address 2 | |
| City | |
| State | |
| ZIP Code | 04330-4633 |
| Phone Number | |
| Extension | |
| Fax Number | |
| Email Address | |

ESG Secondary Contact

Prefix

First Name
Last Name
Suffix
Title
Phone Number
Extension
Email Address

2. Reporting Period—All Recipients Complete

Program Year Start Date 01/01/2014
Program Year End Date 12/31/2014

3a. Subrecipient Form – Complete one form for each subrecipient

Subrecipient or Contractor Name
City
State
Zip Code
DUNS Number
Is subrecipient a victim services provider
Subrecipient Organization Type
ESG Subgrant or Contract Award Amount

CR-65 - Persons Assisted

4. Persons Served

4a. Complete for Homelessness Prevention Activities

| Number of Persons in Households | Total |
|---------------------------------|----------|
| Adults | 0 |
| Children | 0 |
| Don't Know/Refused/Other | 0 |
| Missing Information | 0 |
| Total | 0 |

Table 1 – Household Information for Homeless Prevention Activities

4b. Complete for Rapid Re-Housing Activities

| Number of Persons in Households | Total |
|---------------------------------|--------------|
| Adults | 723 |
| Children | 365 |
| Don't Know/Refused/Other | 0 |
| Missing Information | 17 |
| Total | 1,105 |

Table 2 – Household Information for Rapid Re-Housing Activities

4c. Complete for Shelter

| Number of Persons in Households | Total |
|---------------------------------|--------------|
| Adults | 5,977 |
| Children | 1,590 |
| Don't Know/Refused/Other | 0 |
| Missing Information | 1 |
| Total | 7,568 |

Table 3 – Shelter Information

4d. Street Outreach

| Number of Persons in Households | Total |
|---------------------------------|----------|
| Adults | 0 |
| Children | 0 |
| Don't Know/Refused/Other | 0 |
| Missing Information | 0 |
| Total | 0 |

Table 4 – Household Information for Street Outreach

4e. Totals for all Persons Served with ESG

| Number of Persons in Households | Total |
|---------------------------------|--------------|
| Adults | 6,163 |
| Children | 1,723 |
| Don't Know/Refused/Other | 0 |
| Missing Information | 18 |
| Total | 7,904 |

Table 5 – Household Information for Persons Served with ESG

5. Gender—Complete for All Activities

| | Total |
|--------------------------|--------------|
| Male | 4,820 |
| Female | 3,062 |
| Transgender | 16 |
| Don't Know/Refused/Other | 6 |
| Missing Information | 0 |
| Total | 7,904 |

Table 6 – Gender Information

6. Age—Complete for All Activities

| | Total |
|--------------------------|--------------|
| Under 18 | 1,809 |
| 18-24 | 1,005 |
| 25 and over | 4,605 |
| Don't Know/Refused/Other | 0 |
| Missing Information | 485 |
| Total | 7,904 |

Table 7 – Age Information

7. Special Populations Served—Complete for All Activities

Number of Persons in Households

| Subpopulation | Total | Total Persons Served – Prevention | Total Persons Served – RRH | Total Persons Served in Emergency Shelters |
|-----------------------------------|-------|-----------------------------------|----------------------------|--|
| Veterans | 433 | 0 | 40 | 393 |
| Victims of Domestic Violence | 2,352 | 0 | 219 | 2,133 |
| Elderly | 194 | 0 | 12 | 182 |
| HIV/AIDS | 18 | 0 | 1 | 17 |
| Chronically Homeless | 2,300 | 0 | 144 | 2,156 |
| Persons with Disabilities: | | | | |
| Severely Mentally Ill | 1,367 | 0 | 150 | 1,217 |
| Chronic Substance Abuse | 643 | 0 | 56 | 587 |
| Other Disability | 997 | 0 | 115 | 882 |
| Total (Unduplicated if possible) | 4,485 | 0 | 434 | 4,051 |

Table 8 – Special Population Served

CR-70 – ESG 91.520(g) - Assistance Provided and Outcomes

8. Shelter Utilization

| | |
|--------------------------------------|---------|
| Number of New Units - Rehabbed | 0 |
| Number of New Units - Conversion | 0 |
| Total Number of bed-nights available | 429,970 |
| Total Number of bed-nights provided | 409,856 |
| Capacity Utilization | 95.32% |

Table 9 – Shelter Capacity

9. Project Outcomes Data measured under the performance standards developed in consultation with the CoC(s)

The MCoC has begun to look at project performance in light of the HUD and HEARTH Act performance measures. MCoC has been working with Cloudburst for HUD TA on performance measures. A process for monitoring for these measures and developing the metrics for evaluation will occur in 2015 and this will be incorporated into the monitoring of projects in future renewals once it completed as well as site visits for projects. Currently MCoC does not monitor ESG recipients; however, this year, as part of the FY 2012 Planning grant, a monitoring and evaluation process for ESG recipients was developed and approved in December of 2014. This process will be incorporated into the MCoC monitoring in 2015 and will include performance measures.

Also, as part of the shelter renewal application process for 2015, performance measures were incorporated into the Program Guide. ESG recipients will be evaluated on the following measures in 2015:

- • Number and percentage of shelter exits to permanent housing will be at least 30%;
- • Decrease by 10% in average length of stay and;
- • Number of households returning to shelters from permanent housing will be 25% or less

The Homeless Management Information System (HMIS) will be used in conjunction with regular program monitoring to ensure grantee performance using established performance measures. Shelter outcomes will be evaluated on a semi-annual basis. Success in achieving performance measures, along with other factors, will form the basis for funding decisions.

CR-75 – Expenditures

11. Expenditures

11a. ESG Expenditures for Homelessness Prevention

| | Dollar Amount of Expenditures in Program Year | | |
|---|---|----------|----------|
| | 2012 | 2013 | 2014 |
| Expenditures for Rental Assistance | 0 | 0 | 0 |
| Expenditures for Housing Relocation and Stabilization Services - Financial Assistance | 0 | 0 | 0 |
| Expenditures for Housing Relocation & Stabilization Services - Services | 0 | 0 | 0 |
| Expenditures for Homeless Prevention under Emergency Shelter Grants Program | 0 | 0 | 0 |
| Subtotal Homelessness Prevention | 0 | 0 | 0 |

Table 10 – ESG Expenditures for Homelessness Prevention

11b. ESG Expenditures for Rapid Re-Housing

| | Dollar Amount of Expenditures in Program Year | | |
|---|---|----------------|----------------|
| | 2012 | 2013 | 2014 |
| Expenditures for Rental Assistance | 0 | 0 | 0 |
| Expenditures for Housing Relocation and Stabilization Services - Financial Assistance | 0 | 0 | 0 |
| Expenditures for Housing Relocation & Stabilization Services - Services | 30,000 | 187,685 | 757,841 |
| Expenditures for Homeless Assistance under Emergency Shelter Grants Program | 0 | 0 | 0 |
| Subtotal Rapid Re-Housing | 30,000 | 187,685 | 757,841 |

Table 11 – ESG Expenditures for Rapid Re-Housing

11c. ESG Expenditures for Emergency Shelter

| | Dollar Amount of Expenditures in Program Year | | |
|--------------------|---|---------|---------|
| | 2012 | 2013 | 2014 |
| Essential Services | 0 | 0 | 0 |
| Operations | 791,197 | 643,916 | 453,119 |

| | | | |
|-----------------|----------------|----------------|----------------|
| Renovation | 0 | 0 | 0 |
| Major Rehab | 0 | 0 | 0 |
| Conversion | 0 | 0 | 0 |
| Subtotal | 791,197 | 643,916 | 453,119 |

Table 12 – ESG Expenditures for Emergency Shelter

11d. Other Grant Expenditures

| | Dollar Amount of Expenditures in Program Year | | |
|-----------------|---|--------|------|
| | 2012 | 2013 | 2014 |
| HMIS | 0 | 0 | 0 |
| Administration | 0 | 65,435 | 0 |
| Street Outreach | 0 | 0 | 0 |

Table 13 - Other Grant Expenditures

11e. Total ESG Grant Funds

| Total ESG Funds Expended | 2012 | 2013 | 2014 |
|--------------------------|---------|---------|-----------|
| 2,929,193 | 821,197 | 897,036 | 1,210,960 |

Table 14 - Total ESG Funds Expended

11f. Match Source

| | 2012 | 2013 | 2014 |
|---------------------------|----------------|----------------|------------------|
| Other Non-ESG HUD Funds | 0 | 0 | 0 |
| Other Federal Funds | 0 | 0 | 0 |
| State Government | 821,197 | 897,036 | 1,210,960 |
| Local Government | 0 | 0 | 0 |
| Private Funds | 0 | 0 | 0 |
| Other | 0 | 0 | 0 |
| Fees | 0 | 0 | 0 |
| Program Income | 0 | 0 | 0 |
| Total Match Amount | 821,197 | 897,036 | 1,210,960 |

Table 15 - Other Funds Expended on Eligible ESG Activities

11g. Total

| Total Amount of Funds Expended on ESG Activities | 2012 | 2013 | 2014 |
|---|-----------|-----------|-----------|
| 5,858,386 | 1,642,394 | 1,794,072 | 2,421,920 |

Table 16 - Total Amount of Funds Expended on ESG Activities

Appendix: E Section 3 Requirements

