

MAINE STATE HOUSING AUTHORITY

STEP - Preliminary Application (SHELTER NAVAGATOR USE ONLY)

MaineHousing
26 Edison Drive
Augusta, ME 04330-4633

1-800-452-4668 Voice
7-1-1 (Maine Relay)

If you would like assistance in completing this application, need this document in an alternative format, need translation assistance or need this document in audiotape form, please call.

The Fair Housing Act of 1988, Section 504 of the 1973 Rehabilitation Act, and the Americans with Disabilities Act require that we reasonably accommodate persons with disabilities. Do you, or a family member who will be living with you, require a specific accommodation in order to fully participate in the Program? Yes No
If Yes, MaineHousing may request disability-related information that (1) is necessary to verify that the person meets the definition of "disability," (2) describes the needed accommodation, and (3) shows the relationship between the disability and the requested accommodation. You can also contact the Fair Housing and Equal Opportunity National toll free hot-line number **1-800-424-8590**.

Name (Head of Household)

Current Address Apt. No.

City State Zip Code

Mailing Address (if different from above*) Apt. No.

City State Zip Code

Primary and Alternate Phone Number(s)

Zip Code of last permanent address

Referring Agency

Navigator/Agency Address

Navigator's Name

Navigator's Phone /Fax Number(s)

Navigator's e-mail address

*All Program related correspondence will be sent to the Mailing Address listed here unless or until MaineHousing receives a written request from you to update your Mailing Address information. Failure to provide a current Mailing Address may result in the loss or delay of your receipt of important information regarding your participation in the Program.

Have you ever received services or benefits under another name? Yes No

If "Yes", what name(s)? _____

In what city or town do you intend to live? _____

If you know the county where that city or town is located, please check below. Please check only one county.

- | | | | | | |
|-------------------------------------|---------------------------------------|-----------------------------------|-----------------------------------|--------------------------------------|------------------------------------|
| <input type="checkbox"/> Cumberland | <input type="checkbox"/> Androscoggin | <input type="checkbox"/> Franklin | <input type="checkbox"/> Kennebec | <input type="checkbox"/> Aroostook | <input type="checkbox"/> Hancock |
| <input type="checkbox"/> York | <input type="checkbox"/> Knox | <input type="checkbox"/> Lincoln | <input type="checkbox"/> Oxford | <input type="checkbox"/> Piscataquis | <input type="checkbox"/> Penobscot |
| | <input type="checkbox"/> Sagadahoc | <input type="checkbox"/> Somerset | <input type="checkbox"/> Waldo | <input type="checkbox"/> Washington | |

HOUSEHOLD COMPOSITION AND CHARACTERISTICS

1. List the Head of Household and **all other household members who will be living with you**. Give the relationship of each member to the Head of Household. If more room is needed for additional members, attach another sheet.

Family Member's Full Name	Relationship To Applicant	Birth Date	Sex	Social Security Number	OPTIONAL	
					Race	Ethnicity
	Head of Household					

Check here if Head of Household is an emancipated minor and can provide documentation.

2. Are you, or any member of your household, a United States Military Veteran? Yes No

3. Are any members of your household, who are over the age of 18, a full time student? Yes No

If yes, who: _____

4. Do you expect any changes in your household composition in the next 6 months? Yes No

If yes, explain: _____

5. Have you or any other members of your household ever received, or are you or they now receiving, rental assistance?

Yes No

If yes, where and when? _____

6. Are you on the waiting list anywhere for rental assistance?

Yes No

If yes, where and when did you apply? _____

ASSET DECLARATION

I declare I have the following assets:

Asset Type	Value
Cash	\$
Checking Accounts	\$
Savings Accounts	\$
Money Market Accounts	\$
Trusts*	\$
Investments (stocks, bonds, CDs, etc.)*	\$
Retirement Accounts (IRA, 401(k), Keogh, etc.)*	\$
Other (specify):	\$
Total Assets	\$

INCOME INFORMATION Verification of all income must be provided

Income Category	Amount Received (monthly)
Earned Income	\$
Unemployment	\$
Disability Income	\$
Worker's Compensation	\$
TANF	\$
Social Security	\$
Supplemental Security Income (SSI)	\$
Social Security Disability Income (SSDI)	\$
Alimony/Child Support/Foster Care Income	\$
Armed Forces Income	\$
Retirement/Pension	\$
Interest/Dividends	\$
Other (specify):	\$
Total Monthly Income	\$

My household currently has no source of income.

For purposes of Program Income Deductions:

- a. Is head of household disabled? Yes No
- b. Is spouse of head of household disabled? Yes No
- c. Are any other household members disabled? Yes No

EXPENSE INFORMATION If yes on any question, the appropriate verification form must be accompanied with this application

Out-of-pocket child care expenses for children under 13 years old, and children with a documented disability under 18 years old can be deducted from and reduce overall gross income. This can potentially reduce the tenant portion of the rent.

Yes No Does your household pay child care expenses for children under age 13 that enable another family member to work or go to school?

Yes No Does your household pay for the care of a family member with disabilities that enables another family member to work?

Out-of-pocket medical expenses in excess of 3% of annual income can be deducted from and reduce overall annual gross income. This can potentially reduce the tenant portion of the rent. Anticipated, out

Yes No Does your household have unreimbursed medical expenses in excess of 3 percent of annual income?

Out of pocket, unreimbursed prescription drug costs can be deducted from and reduce overall annual gross income. This can potentially reduce the tenant portion of the rent.

Yes No Does your household have any anticipated out-of-pocket prescription drug expense on a regular basis?

HOUSEHOLD SCREENING

MaineHousing screens **all adult household members** for drug-related criminal activities, violent criminal activities, sex offenses and sex offender registrations, debts owed to housing agencies, alcohol related crimes and use of illegal drugs including “medical marijuana”. **MaineHousing’s medical marijuana policy denies usage, possession or cultivation in federally subsidized housing units.**

Yes No **Do any household members currently use, cultivate or possess illegal drugs including “medical marijuana”?**

If your answer is “Yes”: Household Member Name: _____

Yes No **Have any household members ever been arrested for drug-related or violent criminal activity?**

If your answer is “Yes”: Household Member Name: _____

Where and when: State: _____ Year: _____

Yes No **Do any household members owe money to any Housing Authority?**

If your answer is “Yes”: Household Member Name: _____

Year: _____ Amount Owed: \$ _____ to _____

Warning:

Title 18, Section 101 of the United States Code states that a Person is guilty of felony for knowingly and willingly making false or fraudulent statements to any Department or Agency of the United States, and shall be fined not more than \$10,000, or imprisoned for not more than 5 years, or both.

I certify that the information given to MaineHousing regarding my household family members, income, assets, allowances and deductions is accurate and complete to the best of my knowledge and belief. I understand that false statements or information are punishable under Federal Law. I also understand that false statements or information are grounds for denial of housing assistance.

Signature of Head of Household: _____ Date: _____

Signature of other Adults in Household _____



MaineHousing Authority does not discriminate on the basis of race, color, religion, sex, sexual orientation, gender identity or expression, national origin, ancestry, physical or mental disability, age, familial status or receipt of public assistance in the admission or access to or treatment in its programs and activities. In employment, MaineHousing does not discriminate on the basis of race, color, religion, sex, sexual orientation, gender identity or expression, national origin, ancestry, age, physical or mental disability or genetic information. MaineHousing will provide appropriate communication auxiliary aids and services upon sufficient notice. MaineHousing will also provide this document in alternative formats upon sufficient notice. MaineHousing has designated the following person responsible for coordinating compliance with applicable federal and state nondiscrimination requirements and addressing grievances: Kelley Stonebraker, Maine State Housing Authority, 26 Edison Drive, Augusta, Maine 04330-4633, Telephone Number 1-800-452-4668 (voice in state only), (207) 626-4600 (voice), Maine Relay 711, or EqualAccess@mainehousing.org (email)



U.S. Department of Housing and Urban Development

Office of Public and Indian Housing

DEBTS OWED TO PUBLIC HOUSING AGENCIES AND TERMINATIONS

Paperwork Reduction Notice: Public reporting burden for this collection of information is estimated to average 7 minutes per response. This includes the time for respondents to read the document and certify, and any record keeping burden. This information will be used in the processing of a tenancy. Response to this request for information is required to receive benefits. The agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. The OMB Number is 2577-0266, and expires 06/30/2026.

NOTICE TO APPLICANTS AND PARTICIPANTS OF THE FOLLOWING HUD RENTAL ASSISTANCE PROGRAMS:

- Public Housing (24 CFR 960)
- Section 8 Housing Choice Voucher, including the Disaster Housing Assistance Program (24 CFR 982)
- Section 8 Moderate Rehabilitation (24 CFR 882)
- Project-Based Voucher (24 CFR 983)

The U.S. Department of Housing and Urban Development maintains a national repository of debts owed to Public Housing Agencies (PHAs) or Section 8 landlords and adverse information of former participants who have voluntarily or involuntarily terminated participation in one of the above-listed HUD rental assistance programs. This information is maintained within HUD's Enterprise Income Verification (EIV) system, which is used by Public Housing Agencies (PHAs) and their management agents to verify employment and income information of program participants, as well as, to reduce administrative and rental assistance payment errors. The EIV system is designed to assist PHAs and HUD in ensuring that families are eligible to participate in HUD rental assistance programs and determining the correct amount of rental assistance a family is eligible for. All PHAs are required to use this system in accordance with HUD regulations at 24 CFR 5.233.

HUD requires PHAs, which administers the above-listed rental housing programs, to report certain information at the conclusion of your participation in a HUD rental assistance program. This notice provides you with information on what information the PHA is required to provide HUD, who will have access to this information, how this information is used and your rights. PHAs are required to provide this notice to all applicants and program participants and you are required to acknowledge receipt of this notice by signing page 2. Each adult household member must sign this form.

What information about you and your tenancy does HUD collect from the PHA?

The following information is collected about each member of your household (family composition): full name, date of birth, and Social Security Number.

The following adverse information is collected once your participation in the housing program has ended, whether you voluntarily or involuntarily move out of an assisted unit:

1. Amount of any balance you owe the PHA or Section 8 landlord (up to \$500,000) and explanation for balance owed (i.e. unpaid rent, retroactive rent (due to unreported income and/ or change in family composition) or other charges such as damages, utility charges, etc.); and
2. Whether or not you have entered into a repayment agreement for the amount that you owe the PHA; and
3. Whether or not you have defaulted on a repayment agreement; and
4. Whether or not the PHA has obtained a judgment against you; and
5. Whether or not you have filed for bankruptcy; and
6. The negative reason(s) for your end of participation or any negative status (i.e., abandoned unit, fraud, lease violations, criminal activity, etc.) as of the end of participation date.

Who will have access to the information collected?

This information will be available to HUD employees, PHA employees, and contractors of HUD and PHAs.

How will this information be used?

PHAs will have access to this information during the time of application for rental assistance and reexamination of family income and composition for existing participants. PHAs will be able to access this information to determine a family's suitability for initial or continued rental assistance, and avoid providing limited Federal housing assistance to families who have previously been unable to comply with HUD program requirements. If the reported information is accurate, a PHA may terminate your current rental assistance and deny your future request for HUD rental assistance, subject to PHA policy.

How long is the debt owed and termination information maintained in EIV?

Debt owed and termination information will be maintained in EIV for a period of up to ten (10) years from the end of participation date or such other period consistent with State Law.

What are my rights?

In accordance with the Federal Privacy Act of 1974, as amended (5 USC 552a) and HUD regulations pertaining to its implementation of the Federal Privacy Act of 1974 (24 CFR Part 16), you have the following rights:

1. To have access to your records maintained by HUD, subject to 24 CFR Part 16.
2. To have an administrative review of HUD's initial denial of your request to have access to your records maintained by HUD.
3. To have incorrect information in your record corrected upon written request.
4. To file an appeal request of an initial adverse determination on correction or amendment of record request within 30 calendar days after the issuance of the written denial.
5. To have your record disclosed to a third party upon receipt of your written and signed request.

What do I do if I dispute the debt or termination information reported about me?

If you disagree with the reported information, you should contact in writing the PHA who has reported this information about you. The PHA's name, address, and telephone numbers are listed on the Debts Owed and Termination Report. You have a right to request and obtain a copy of this report from the PHA. Inform the PHA why you dispute the information and provide any documentation that supports your dispute. HUD's record retention policies at 24 CFR Part 908 and 24 CFR Part 982 provide that the PHA may destroy your records three years from the date your participation in the program ends. To ensure the availability of your records, disputes of the original debt or termination information must be made within three years from the end of participation date; otherwise the debt and termination information will be presumed correct. Only the PHA who reported the adverse information about you can delete or correct your record. Your filing of bankruptcy will not result in the removal of debt owed or termination information from HUD's EIV system. However, if you have included this debt in your bankruptcy filing and/or this debt has been discharged by the bankruptcy court, your record will be updated to include the bankruptcy indicator, when you provide the PHA with documentation of your bankruptcy status.

The PHA will notify you in writing of its action regarding your dispute within 30 days of receiving your written dispute. If the PHA determines that the disputed information is incorrect, the PHA will update or delete the record. If the PHA determines that the disputed information is correct, the PHA will provide an explanation as to why the information is correct.

This Notice was provided by the below-listed PHA:

**I hereby acknowledge that the PHA provided me with the
*Debts Owed to PHAs & Termination Notice:***

Signature

Date

Printed Name

Housing Stability Plan

Head Of Household: _____ Date: _____

Navigator: _____ Agency: _____

Other Household members: _____

Housing Goal: _____

Strengths to achieve goal: _____

Barriers: _____

30 Day Update:

60 Day Update:

Goal achieved after 90 days? Y/N

Income Goal: _____

Strengths to achieve goal: _____

Barriers: _____

30 Day Update:

60 Day Update:

Goal achieved after 90 days? Y/N

Client Signature: _____ Nav Signature: _____

Documents needed for housing

Proof of Identity

- Driver's License
- State issued Photo ID
- Military ID
- Passport
- Birth Certificate

Lack of correct documentation is one of the most common barriers to housing. Waiting until a voucher is available to get these documents is too late. Work on this from day one of a client's shelter stay.

If client has none of the above, the below documents will be needed to obtain a Maine photo ID.

- Social Security Card, OR W-2 with SS # on it.
- Birth Certificate OR naturalization papers
- Proof of Maine residency, which can include:
 - Maine Vehicle Registration or other credential
 - Utility Bill- electric bill, water/sewer bill, cell phone bill, etc.
 - Maine Resident Hunting and or Fishing License
 - Contract in their name- mortgage agreement, lease, insurance policy, insurance ID card, SR22
 - Tax bill
 - Document issued by a government entity
 - Tax return
 - Paycheck stub
 - W-2
 - Conditional order of restoration

If none of those are available, two affidavits confirming Maine residence can be used.

Photo ID needed for every adult in household; Birth Cert. for all members needed for many programs

Proof of Social Security Number: Pick one

- Social Security Card
- Social Security card application verification with SSN on it.

Proof of SSN needed for every member of household

Proof of Income: All that apply

- Last 4 paystubs, or bank statements showing wages deposited
- TANF and/or SNAP award letter
- Child Support award letter
- SSI benefit letter
- Any other documentation of income (legal settlement, pension, etc)
- Zero Income certification

Proof of income must be provided for every adult in household

*Many programs will also need proof of disability, refer to individual program guides for specifics



SELF-DECLARED INDIVIDUAL HOUSING ASSISTANCE

Applicant Name: _____

- Household without dependent children (complete one form for each adult in the household)
 - Household with dependent children (complete one form for household)
- Number of persons in the household : _____

Approximate Date Homelessness Started: _____

This is to certify that the above name in individual or household is currently homeless based on this executed self-certification and other homeless certification information obtained and attached.

Authorize Signature: _____

Date: _____

Check only one:

- [an / my child / ren] am/are currently homeless and living on the street (i.e. a car, park, abandoned building, bus station, airport, or camp ground).
- [an / my child / ren] am/are the victim(s) of domestic violence and am/are fleeing from abuse.

Approximate Date Homelessness Started: _____

I certify that the information above and any other information I have provided in applying for assistance is true, accurate and complete.

Applicant Signature: _____

Date: _____

Staff Certification of Homelessness

I understand that third-party verification is the preferred method of certifying homelessness for an individual who is applying for assistance. I understand self-declaration is only permitted when I have attempted to but cannot obtain third-party verification.

Documentation of attempt made for third-party verification:

Staff Signature: _____

Date: _____

DECLARATION OF SECTION 214 STATUS

Notice to applicants and tenants: In order to be eligible to receive the housing assistance sought, each applicant for, or recipient of, housing assistance must be lawfully within the U.S. Please read the Declaration statement carefully and sign and return to the Housing Authority's Admissions Office. Please feel free to consult with an immigration lawyer or other immigration expert of your choosing.

Instructions to Family Member For Completing Form: Fill out separate form for each family member. Print or type first name, middle initial(s), and last name. Place an "X" or "✓" in the box below the signature if the signature is by the adult residing in the unit who is responsible for Child.

I, _____, certify, under penalty of perjury, ¹that, to the best of my knowledge, I am lawfully within the United States because (please check the appropriate box):

- I am a citizen by birth, a naturalized citizen or a national of the United States; or
- I have eligible immigration status and I am 62 years of age or older. Attach evidence of proof of age ²; or
- I have eligible immigration status as checked below (see reverse side of this form for explanations). Attach INS document(s) evidencing eligible immigration status and signed verification consent form.
 - Immigrant status under §§101(a)(15) or 101(a)(20) of the Immigration and Nationality Act (INA) ³ or
 - Permanent residence under §249 of INA ⁴; or
 - Refugee, asylum, or conditional entry status under §§207, 208 or 203 of the INA ⁵ or
 - Parole status under §§212(d)(5) of the INA ⁶ or
 - Threat to life or freedom under §243(h) of the INA ⁷; or
 - Amnesty under §245A of the INA ⁸.

(Signature of Family Member)

(Date)

- Check Box on left if signature is of adult residing in the unit who is responsible for child named on statement above.

HA: Enter INS/SAVE Primary Verification #: _____ Date: _____

[See reverse side for footnotes and instructions]

1/ **Warning:** 18 U.S.C. 1001 provides, among other things, that whoever knowingly and willfully makes or uses a document or writing containing any false, fictitious, or fraudulent statement or entry, in any matter within the jurisdiction of any department or agency of the United States, shall be fined not more than \$10,000, imprisoned for not more than five years, or both.

The following footnotes pertain to noncitizens who declare eligible immigration status in one of the following categories:

- 2/ **Eligible immigration status and 62 years of age or older.** For noncitizens who are 62 years of age or older or who will be 62 years of age or older **and** receiving assistance under a Section 214 covered program on June 19, 1995. If you are eligible and elect to select this category, you must include a document providing evidence of proof of age. No further documentation of eligible immigration status is required.
- 3/ **Immigrant status under §§101(a)(15) or 101(a)(20) of INA.** A noncitizen lawfully admitted for permanent residence, as defined by §101(a)(20) of the Immigration and Nationality Act (INA), as an immigrant, as defined by §101(a)(15) of the INA (8 U.S.C., 1101(a)(20 and 1101(a)(15)), respectively [*immigrant status*]. This category includes a noncitizen admitted under §§210 or 210A of the INA (8 U.S.C. 1160 or 1161), [*special agricultural worker status*], who has been granted lawful temporary resident status.
- 4/ **Permanent residence under §249 of INA.** A noncitizen who entered the U.S. before January 1, 1972, or such later date as enacted by law, and has continuously maintained residence in the U.S. since then, and who is not ineligible for citizenship, but who is deemed to be lawfully admitted for permanent residence as a result of an exercise of discretion by the Attorney General under §249 of the INA (8 U.S.C. 1259) [*amnesty granted under INA 249*].
- 5/ **Refugee, asylum, or conditional entry status under §§207, 208 or 203 of INA.** A noncitizen who is lawfully present in the U.S. pursuant to an admission under §207 of the INA (8 U.S.C. 1157) [*refugee status*]; pursuant to the granting of asylum (which has not been terminated) under §208 of the INA (8 U.S.C. 1158) [*asylum status*]; or as a result of being granted conditional entry under §203(a)(7) of the INA (U.S.C. 1153(a)(7)) before April 1, 1980, because of persecution or fear of persecution on account of race, religion, or political opinion or because of being uprooted by catastrophic national calamity [*conditional entry status*].
- 6/ **Parole status under §212(d)(5) of INA.** A noncitizen who is lawfully present in the U.S. as a result of an exercise of discretion by the Attorney General for emergent reasons or reasons deemed strictly in the public interest under §212(d)(5) of the INA (8 U.S.C. 1182(d)(5)) [*parole status*].
- 7/ **Threat to life or freedom under §243(h) of INA.** A noncitizen who is lawfully present in the U.S. as a result of the Attorney General's withholding deportation under §243(h) of the INA (8 U.S.C. 1253(h)) [*threat to life or freedom*].
- 8/ **Amnesty under §245A of INA.** A noncitizen lawfully admitted for temporary or permanent residence under §245A of the INA (8 U.S.C. 1255a) [*amnesty granted under INA 245A*].

<p>Instructions to Housing Authority: Following verification of status claimed by persons declaring eligible immigration status (other than for noncitizens age 62 or older and receiving assistance on June 19, 1995), HA must enter INS/SAVE Verification Number and date that it was obtained. A HA signature is not required.</p>
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